

# Introduction to Optics and Spectroscopy beyond the diffraction limit

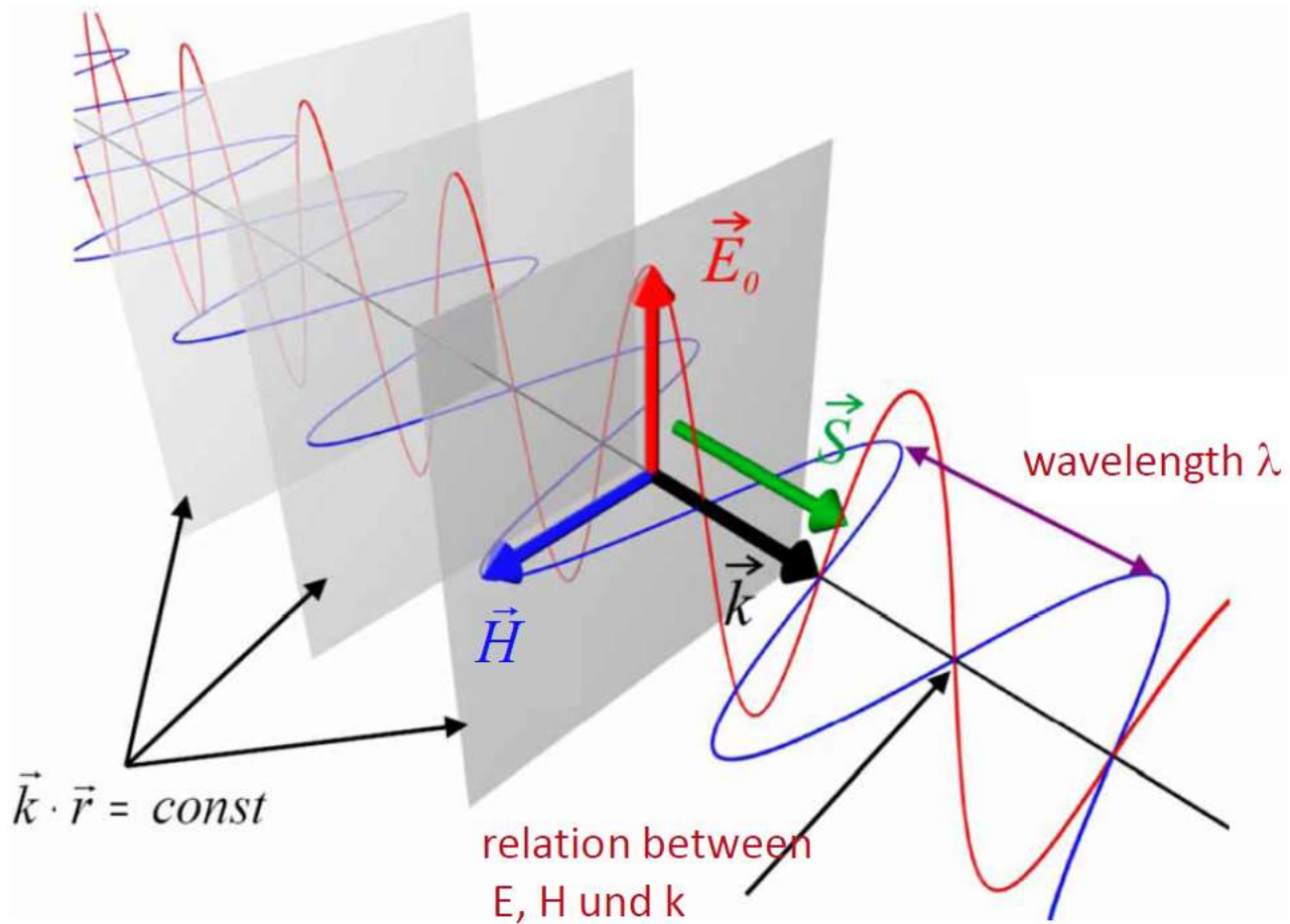
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Research Center for Applied Science, Academia Sinica

2015Apr09

# Light and Optics

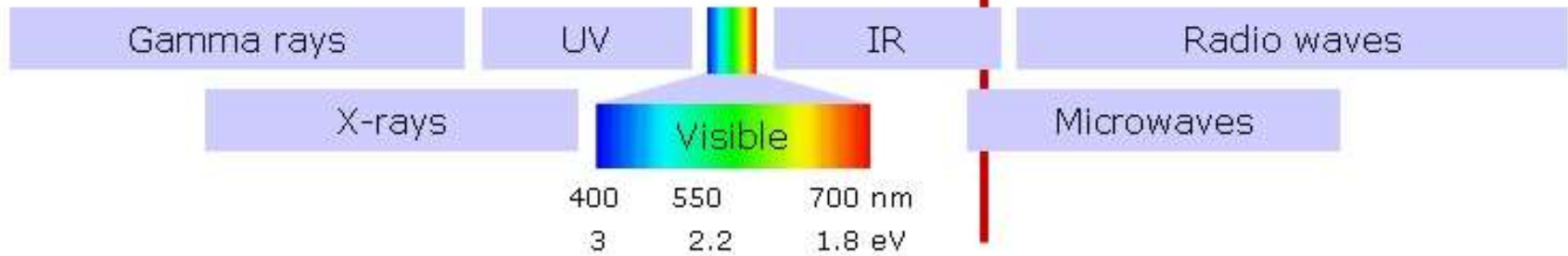
# Light as Wave



# Electromagnetic Spectrum

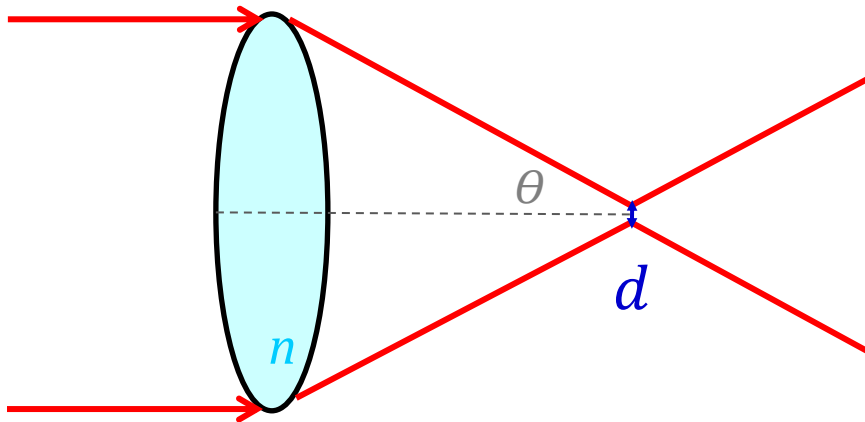
$k_B T_R$  -The thermal energy at room temperature

$\lambda/m$	$10^{-13}$	$10^{-12}$	$10^{-11}$	$10^{-10}$	$10^{-9}$	$10^{-8}$	$10^{-7}$	$10^{-6}$	$10^{-5}$	$10^{-4}$	$10^{-3}$	$10^{-2}$	$10^{-1}$	1	$10^1$	$10^2$	$10^3$	$10^4$
	pm			Å	nm				μm	mm			m			km		
E/eV	$10^7$	$10^6$	$10^5$	$10^4$	$10^3$	$10^2$	$10^1$	1	$10^{-1}$	$10^{-2}$	$10^{-3}$	$10^{-4}$	$10^{-5}$	$10^{-6}$	$10^{-7}$	$10^{-8}$	$10^{-9}$	



# Diffraction Limit

- **Abbe diffraction limit** --- by Ernst Abbe, 1873



$$d = \frac{\lambda}{2n \sin \theta}$$

$$= \frac{\lambda}{2N.A.}$$

(Numerical Aperture)

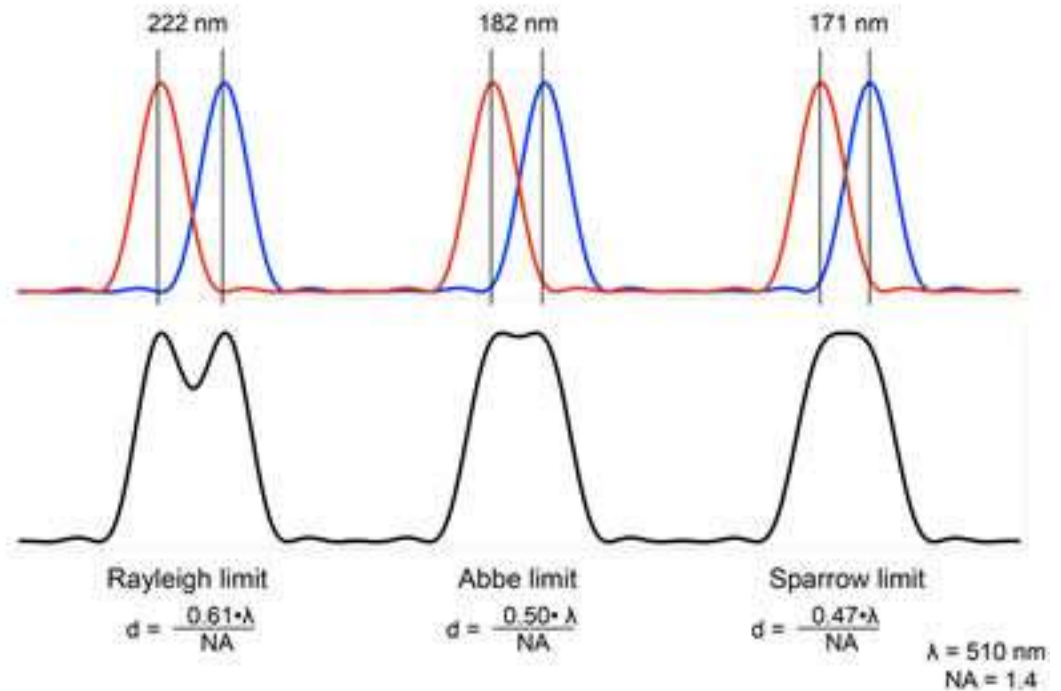
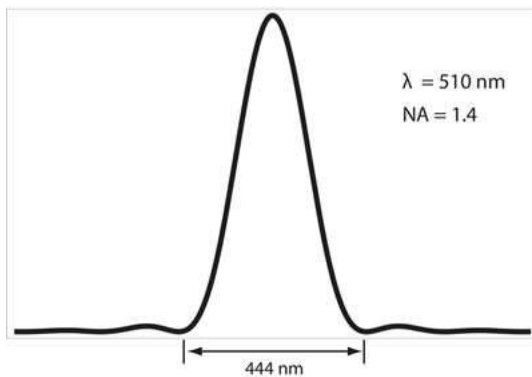
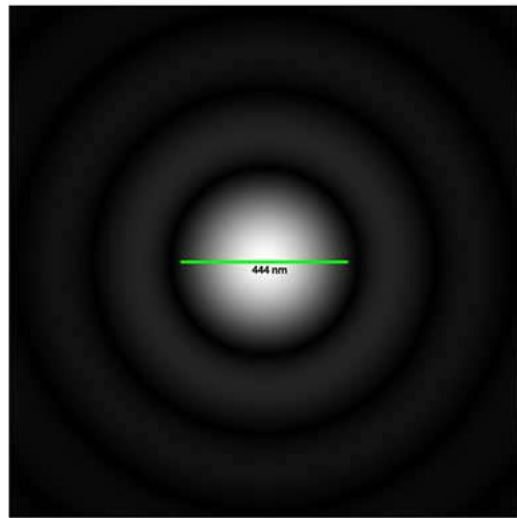
# High N.A. Objectives



$$d = \frac{\lambda}{2N.A.} = \frac{532nm}{3} \sim 180nm$$

- n is usually around 1.5-1.52 for immersion oils, so  $\theta \rightarrow 90^\circ$

# Resolution Limits



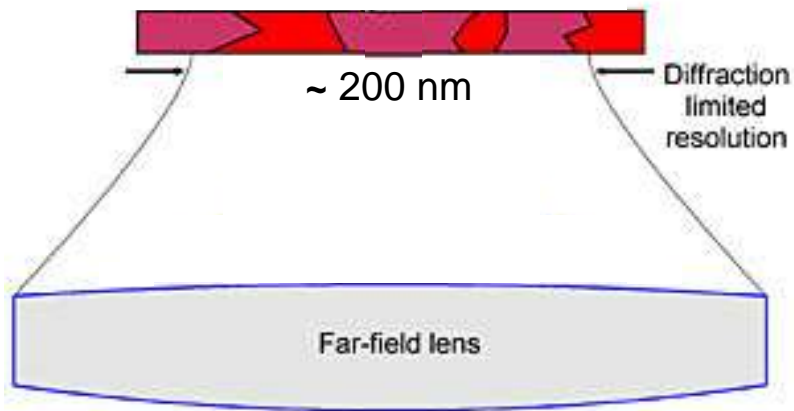
Methods to go beyond  
the diffraction limit - 1

Near Field Optics



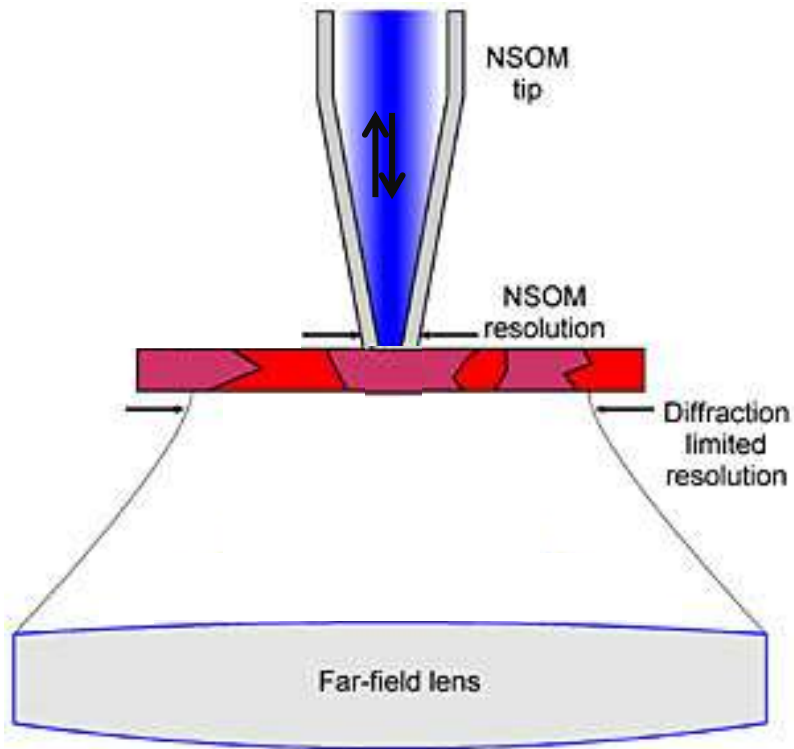
# Far Field Optics

## Far-Field (Diffraction Limited)



$$d = \frac{\lambda}{2\text{N.A.}}$$

# Near Field Optics



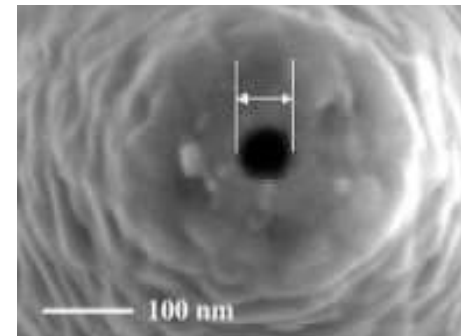
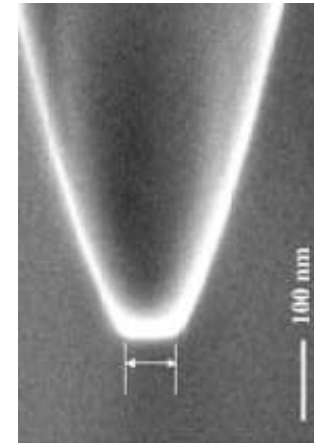
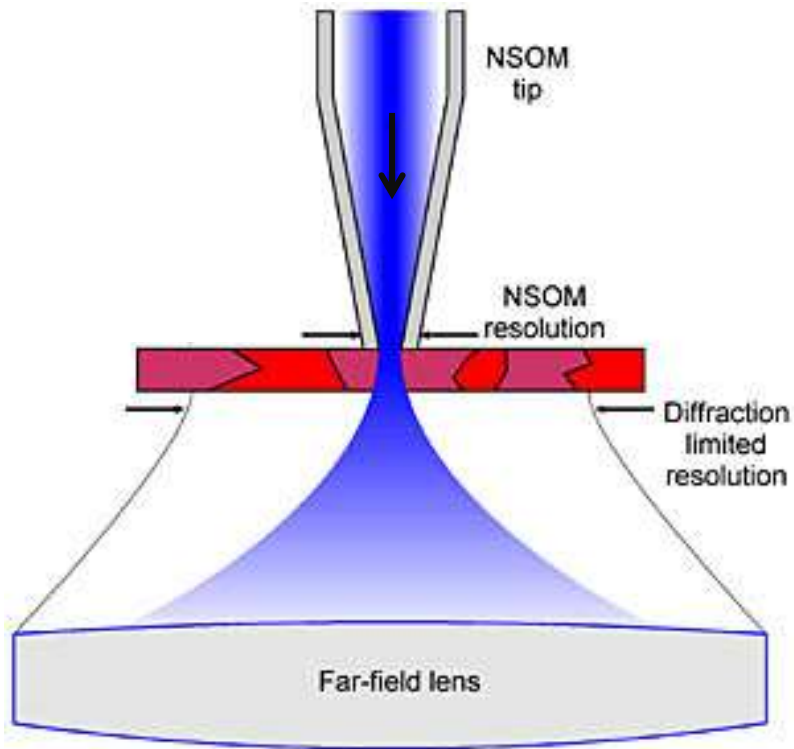
## **SNOM:**

Scanning Nearfield Optical Microscopy.

## **Aperture SNOM:**

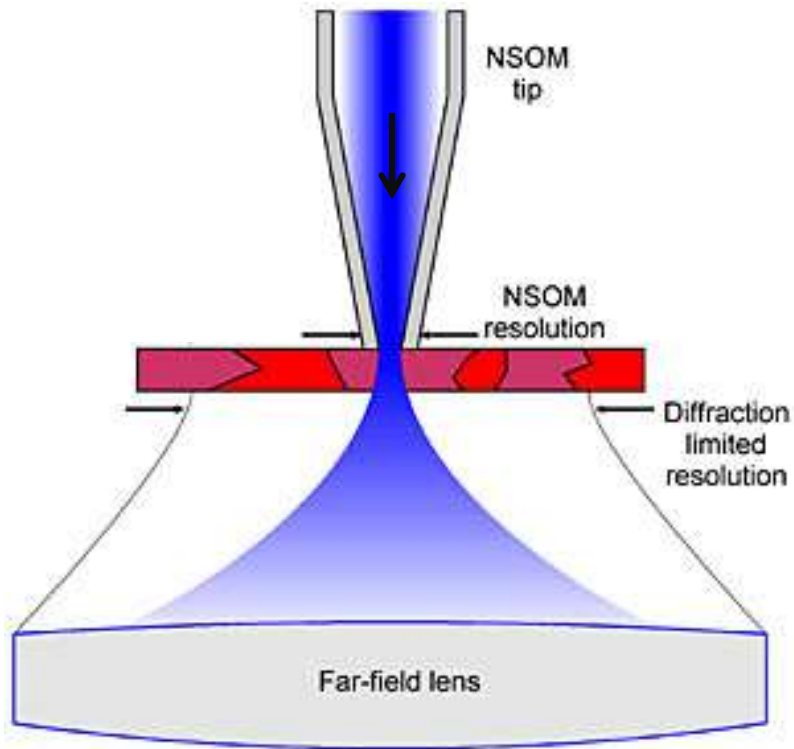
Clear aperture for light transmission.

# Aperture SNOM

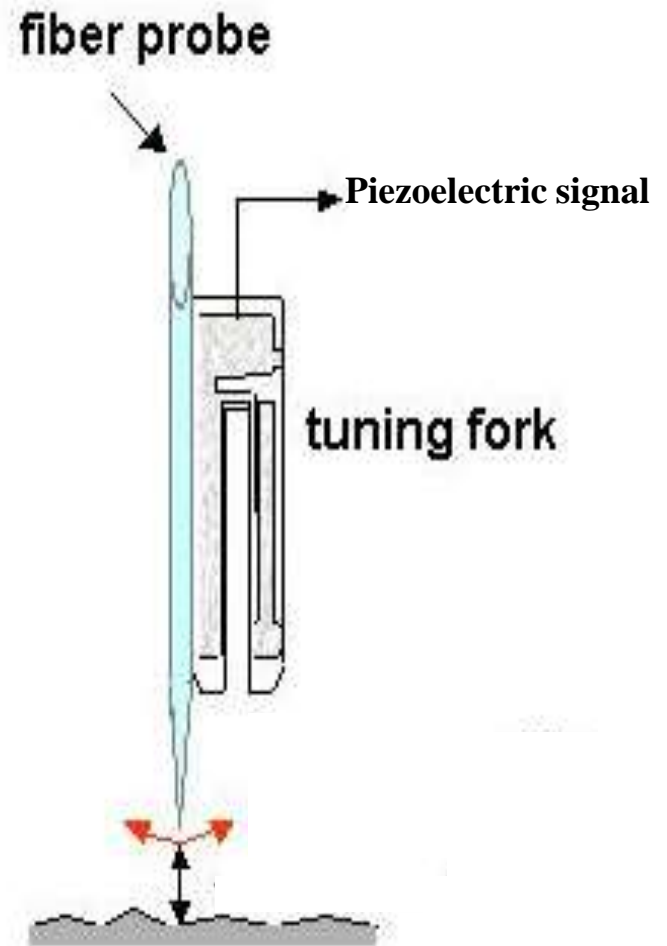


- Typical aperture size: 30-100 nm.

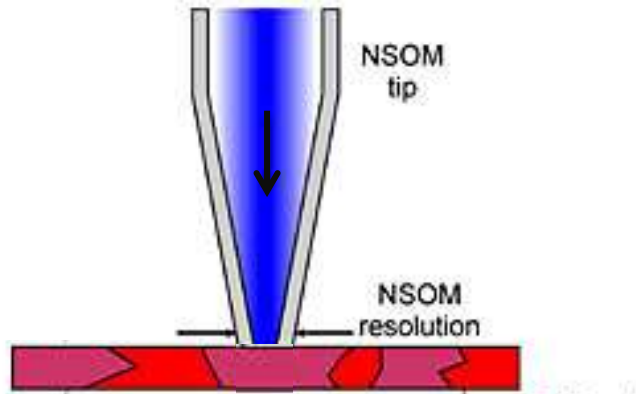
# Aperture SNOM



- Typical aperture size: 50-100 nm.

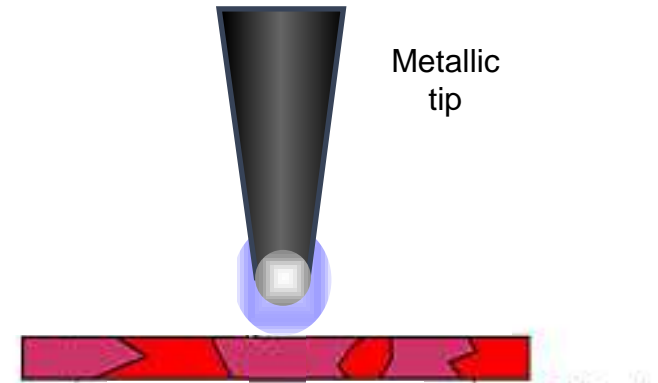


# Apertureless SNOM



Aperture SNOM

Resolution: > 30- 50 nm

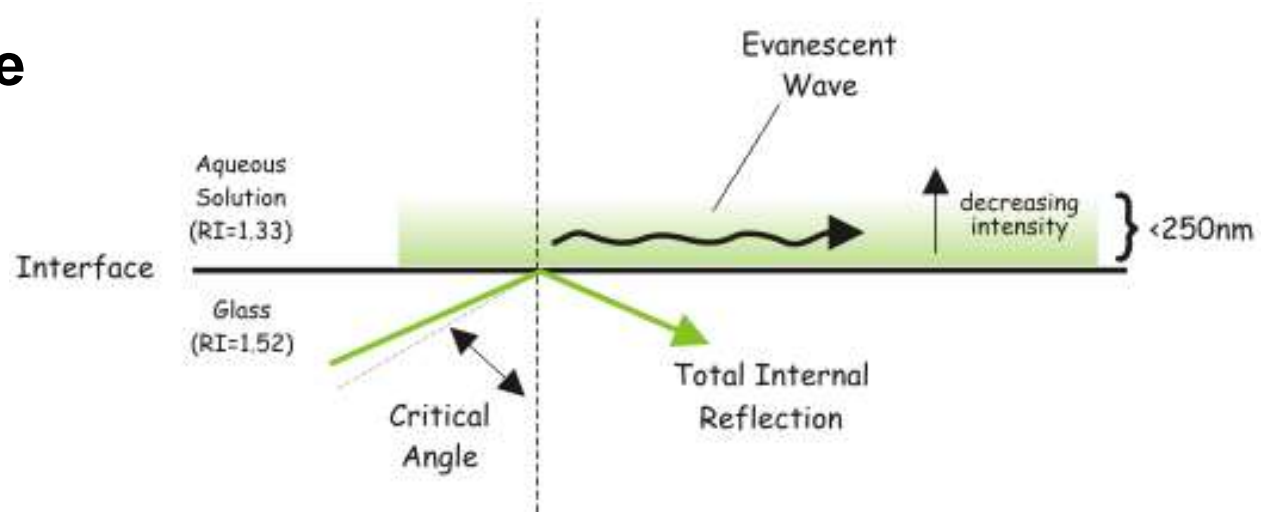


Apertureless SNOM  
(Scattering SNOM)  
(Tip enhanced SNOM)

Resolution: < 10- 20 nm

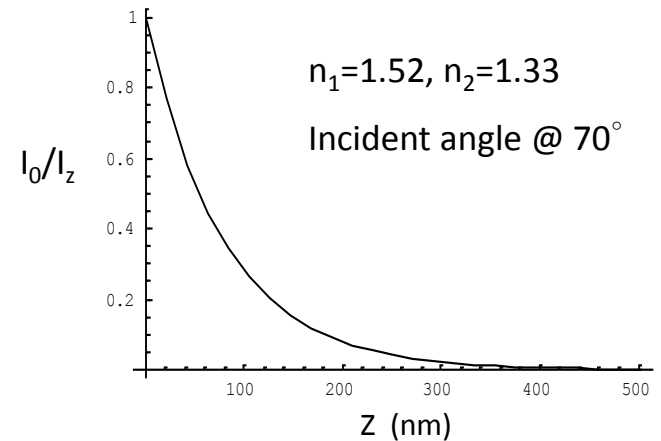
# How Near is Near Field ?

- Evanescent wave



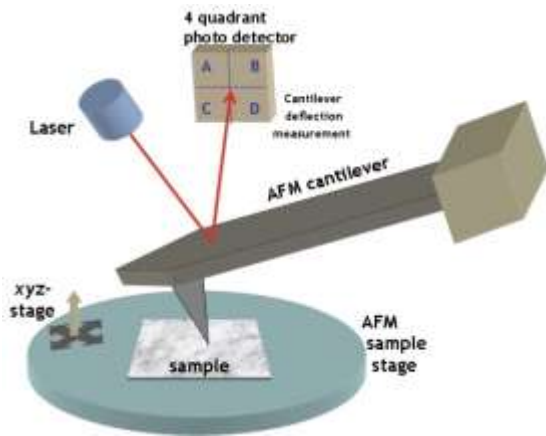
- Intensity decay  $I_z = I_0 e^{-z/d}$

$$d = \lambda / 4\pi \sqrt{n_1^2 \sin^2 \theta_1 - n_2^2}$$



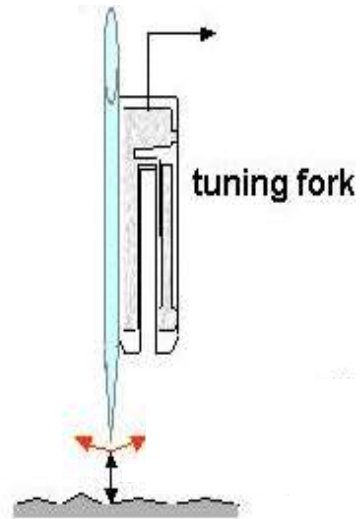
# Typical SPM Working Range

Cantilever AFM



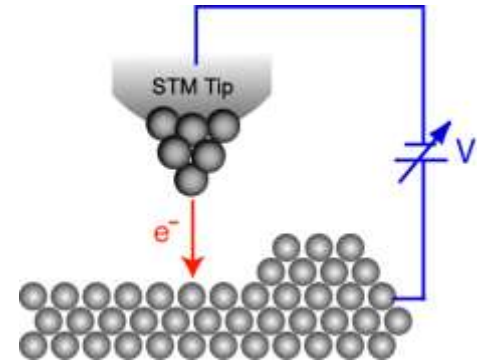
0.5-50nm

Tuning fork AFM



0.5-5 nm

STM

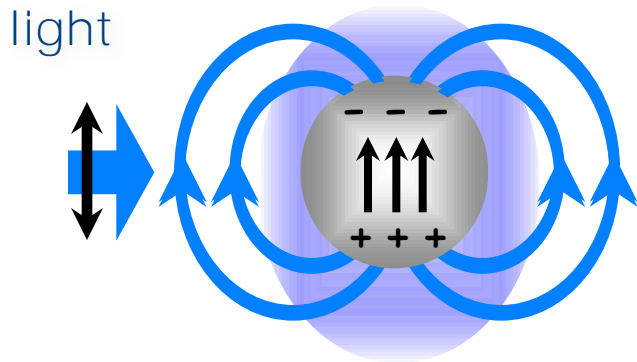


0.5-1 nm

# Confine Photon into Nanoscale

## Localized Surface Plasmon

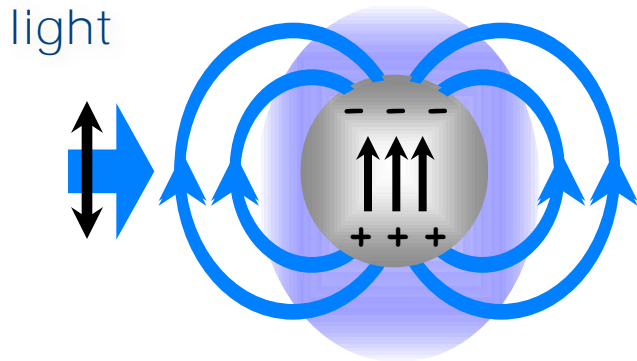
- Collective oscillation of free electrons on a nanoparticle.





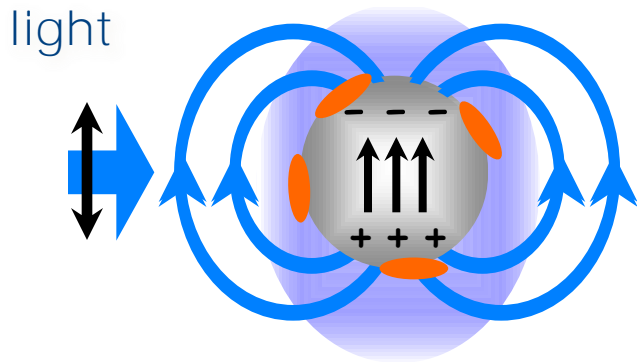
# Surface Enhancement

- LSP results in enhancement of EM field.

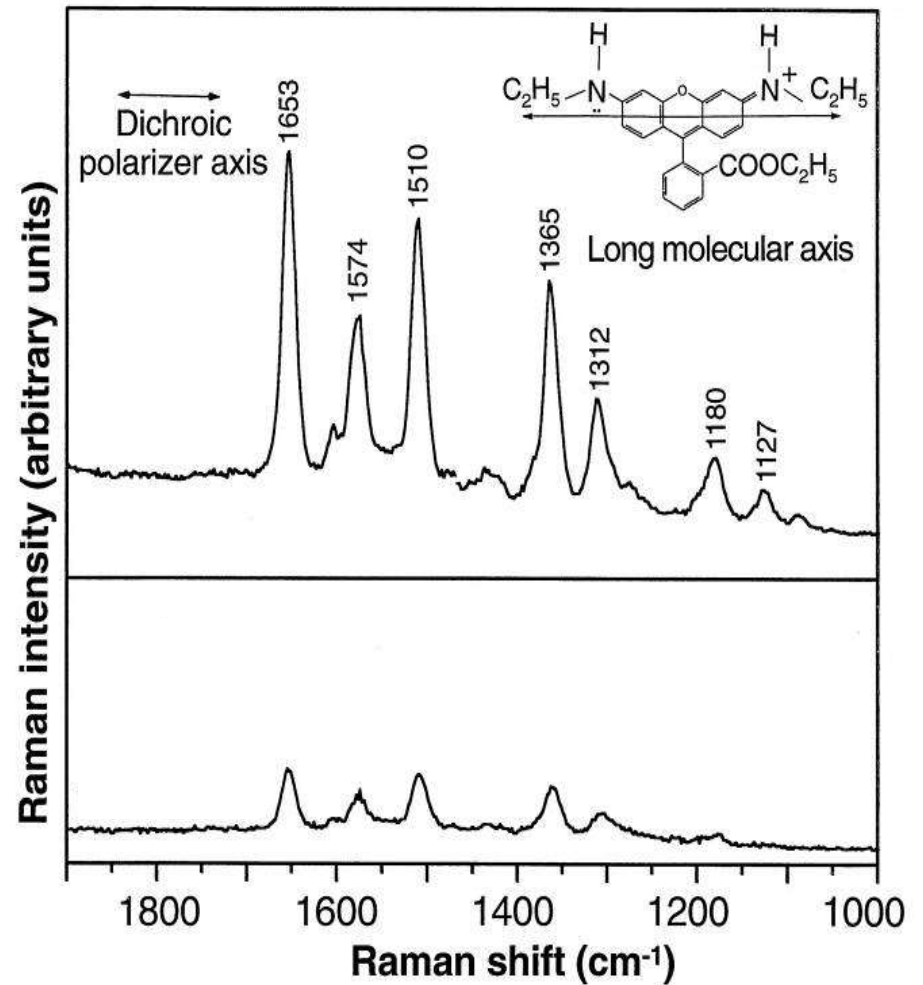
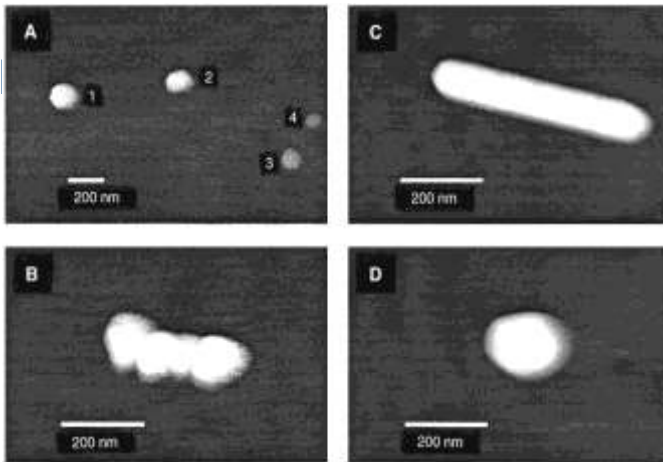


# Surface Enhancement

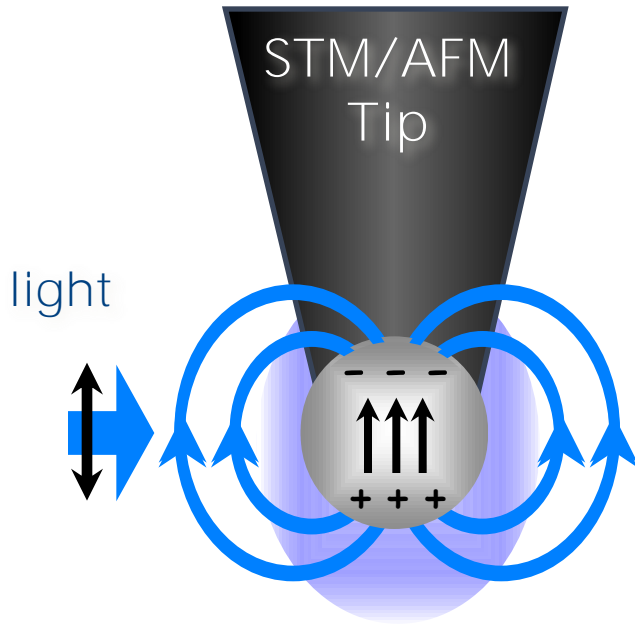
- LSP results in enhancement of EM field.



# Surface Enhancement

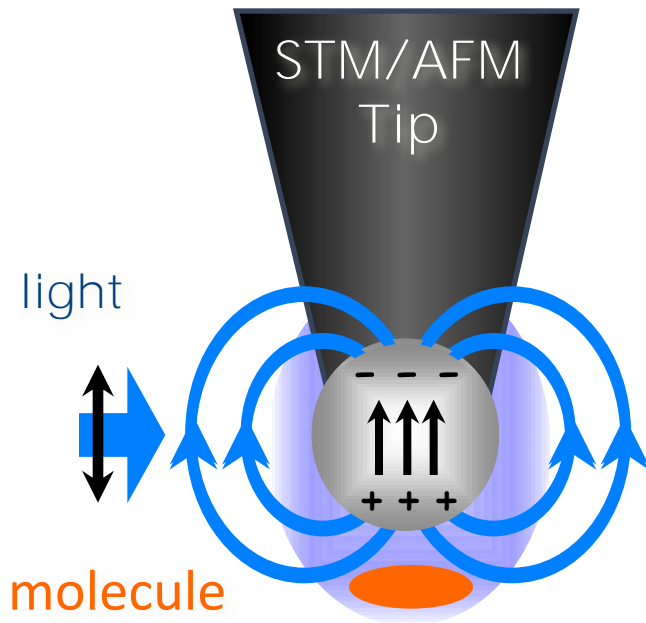


# Tip Enhancement



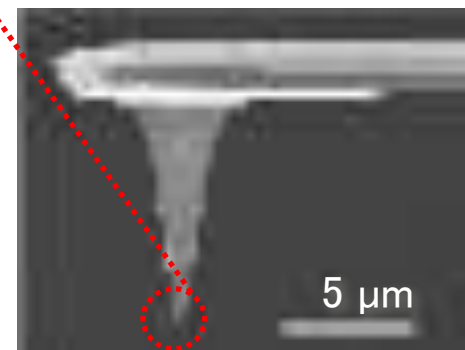
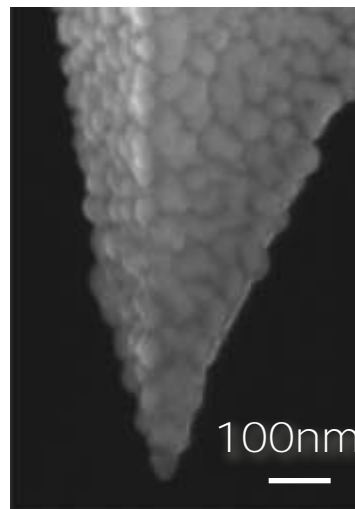
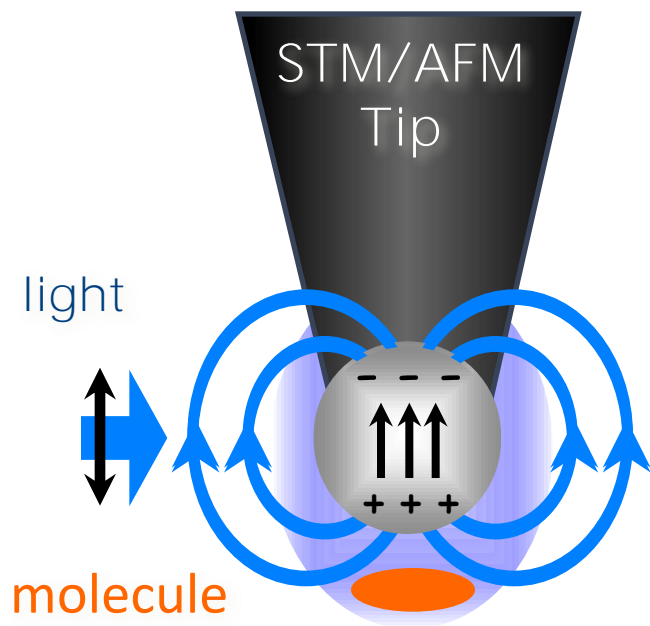
- LSP results in enhancement of EM field.

# Tip Enhancement

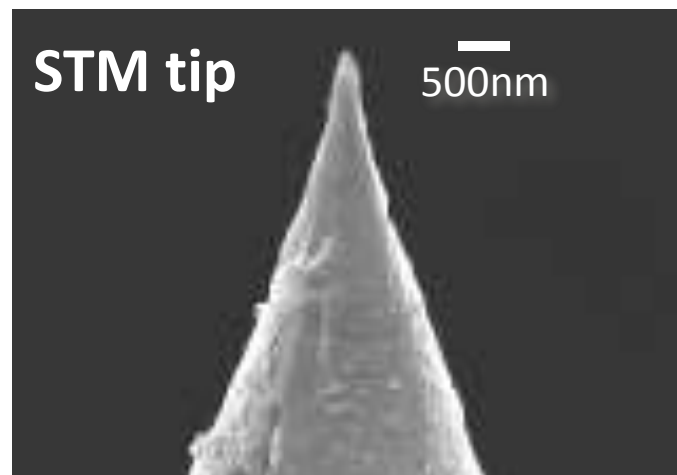


- LSP results in enhancement of EM field.
- Similar to surface enhanced Raman scattering (SERS)
- Provides location dependent tip enhanced Raman spectrum.

# Tip Enhancement



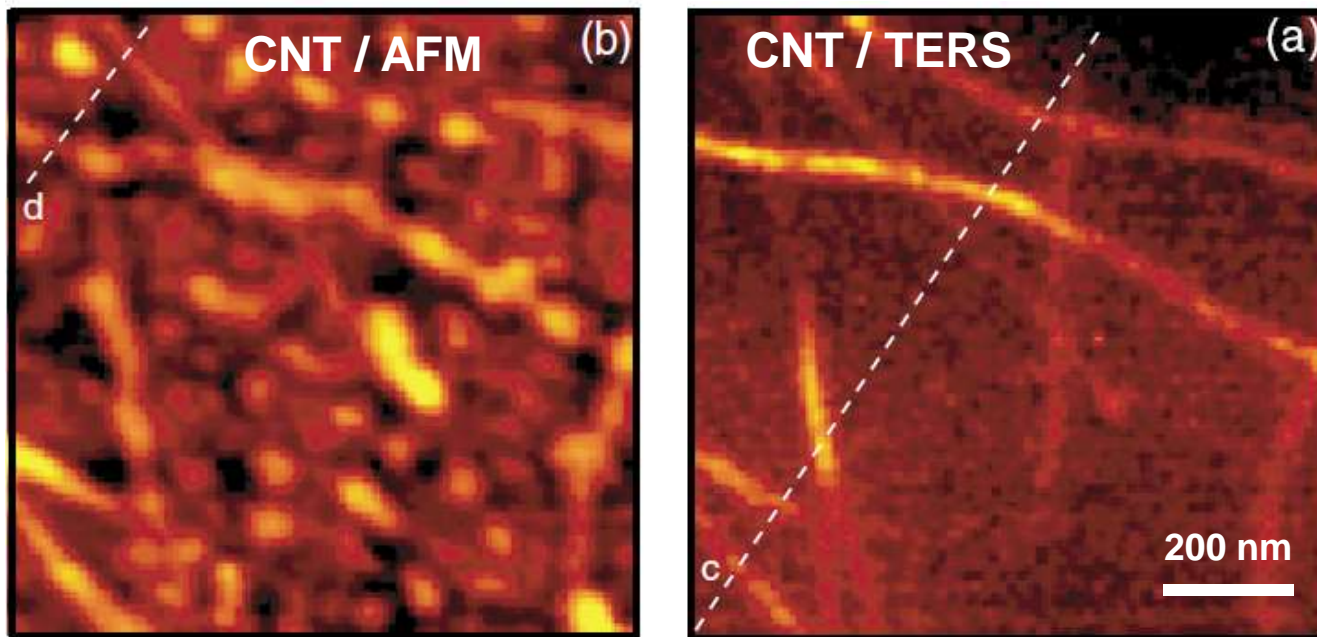
**AFM tip**



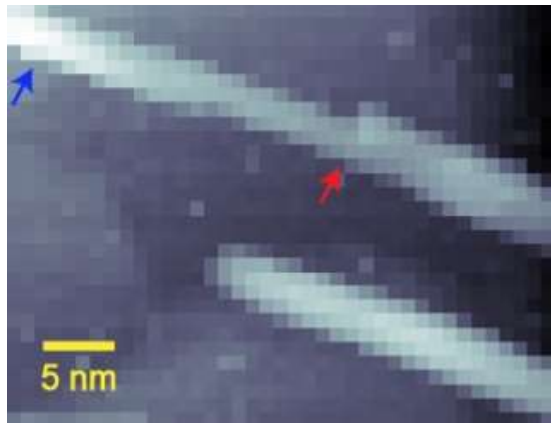
**STM tip**

# Tip Enhanced Raman Imaging

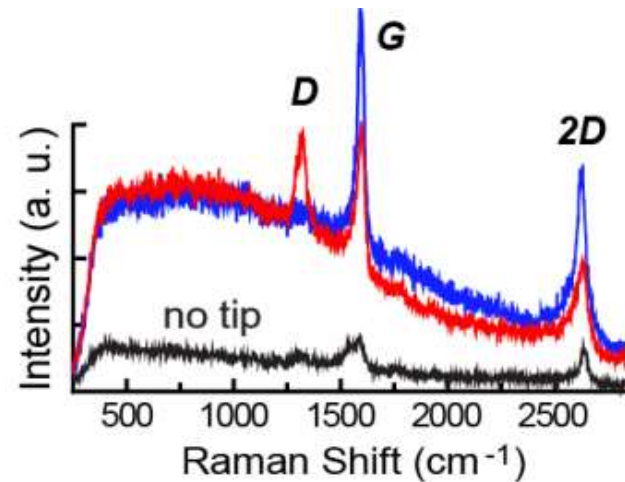
- Near field Raman (tip enhanced Raman).
- *Optical resolution*  $< 20\text{ nm}$ .



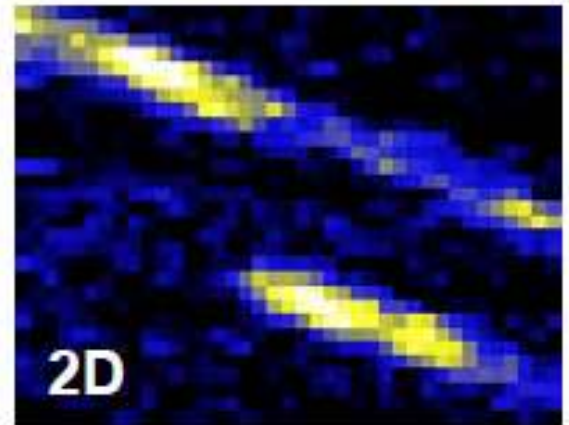
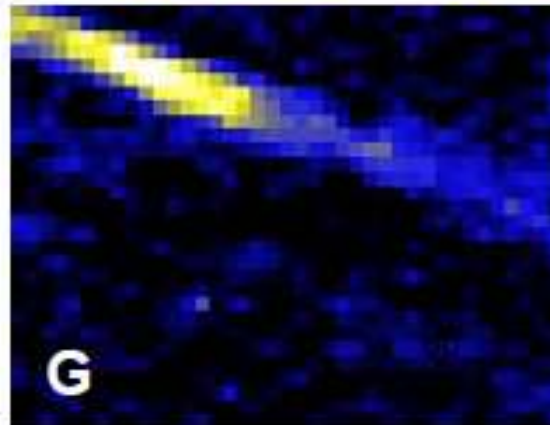
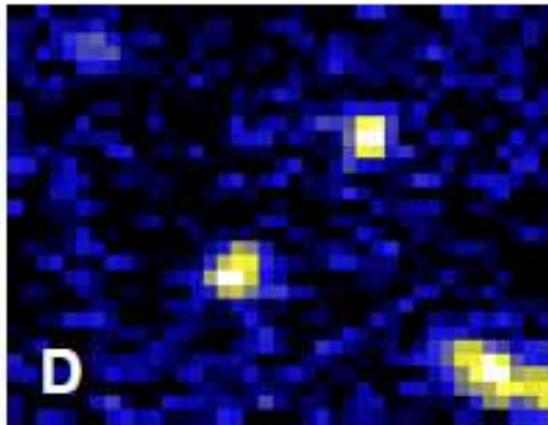
# STM TERS Imaging of CNT



40 x 30 px



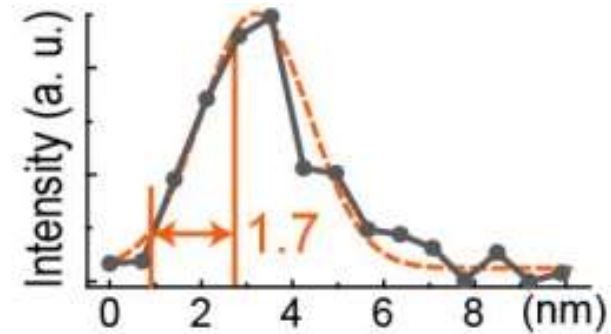
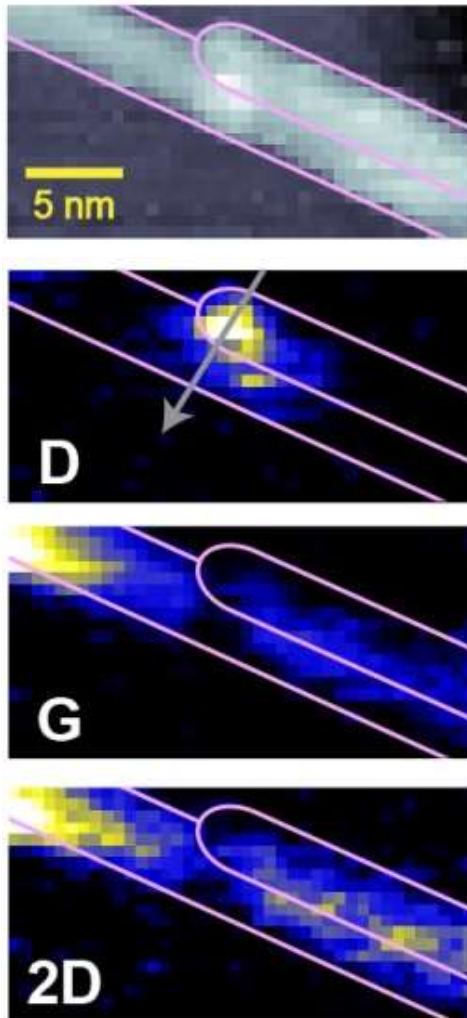
Simultaneous STM and Raman imaging.



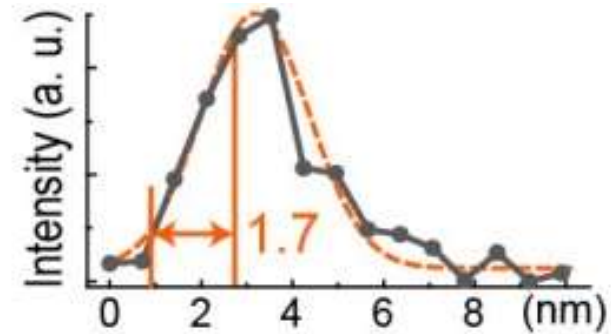
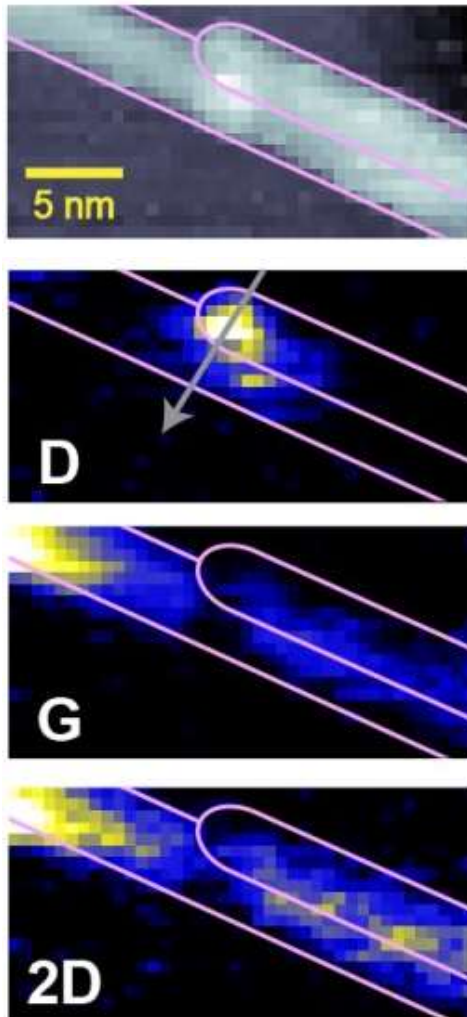
TERS Imaging @ 1nm/pixel



# 1.7 nm Optical Resolution



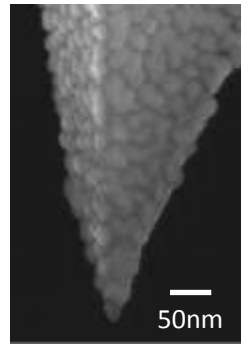
# 1.7 nm Optical Resolution



World best spatial resolution in optics, spectroscopy, and chemical analysis in the ambient condition.

# Near Field Method

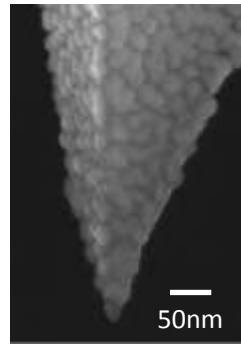
- Scanning Probe Microscope
- Sharp tips or probes



- Ultrahigh spatial resolution  $\sim 1\text{nm}$ .
- Various spectroscopic information.
- 2D mapping for samples on surface.
- Not yet successful in liquid.

# Near Field Method

- Scanning Probe Microscope
- Sharp tips or probes



- Ultrahigh spatial resolution  $\sim 2\text{nm}$ .
- Various spectroscopic information.
- 2D mapping for samples on surface.
- Not yet successful in liquid.

# Far Field Method

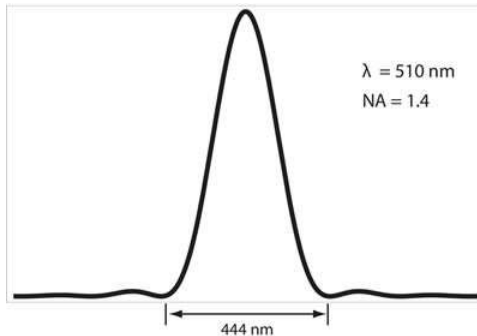
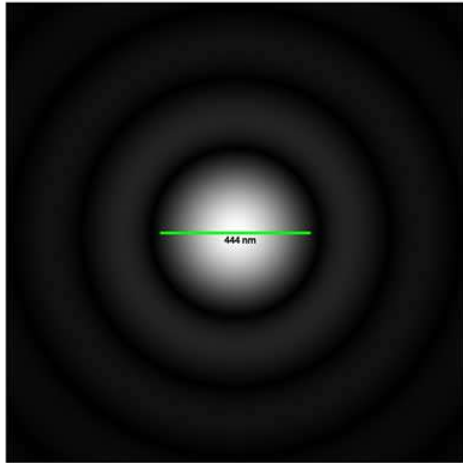
- Inverted Microscope
- High speed imaging CCD



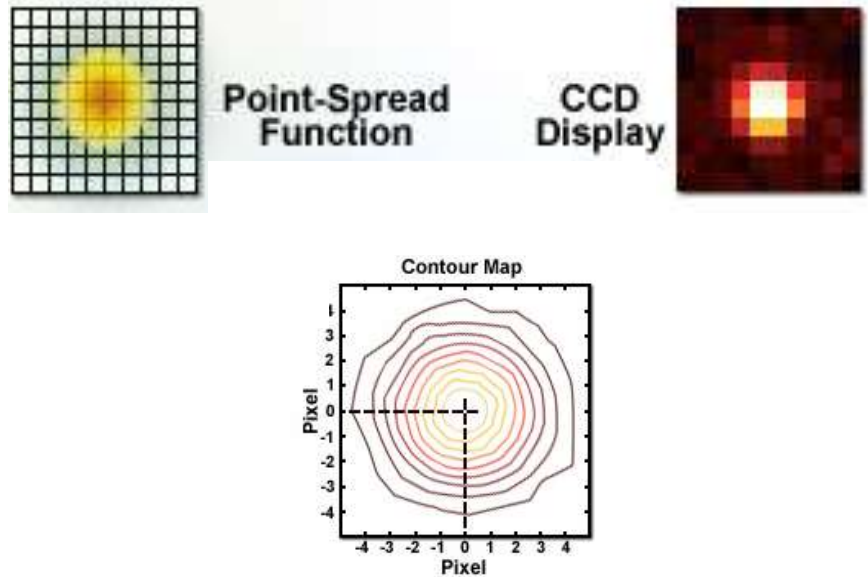
Methods to go beyond  
the diffraction limit - 2  
Near Field Optics

# Far Field Super Resolution

- Airy disk (point spread function)

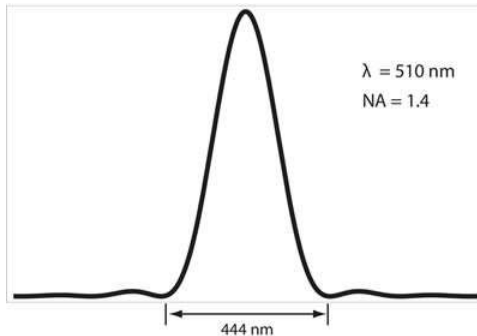
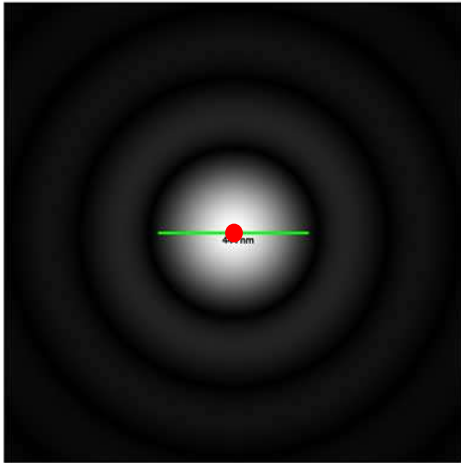


- From a single emitter.  
(Fluorescence protein or dye)
- Localize the center of PSF.



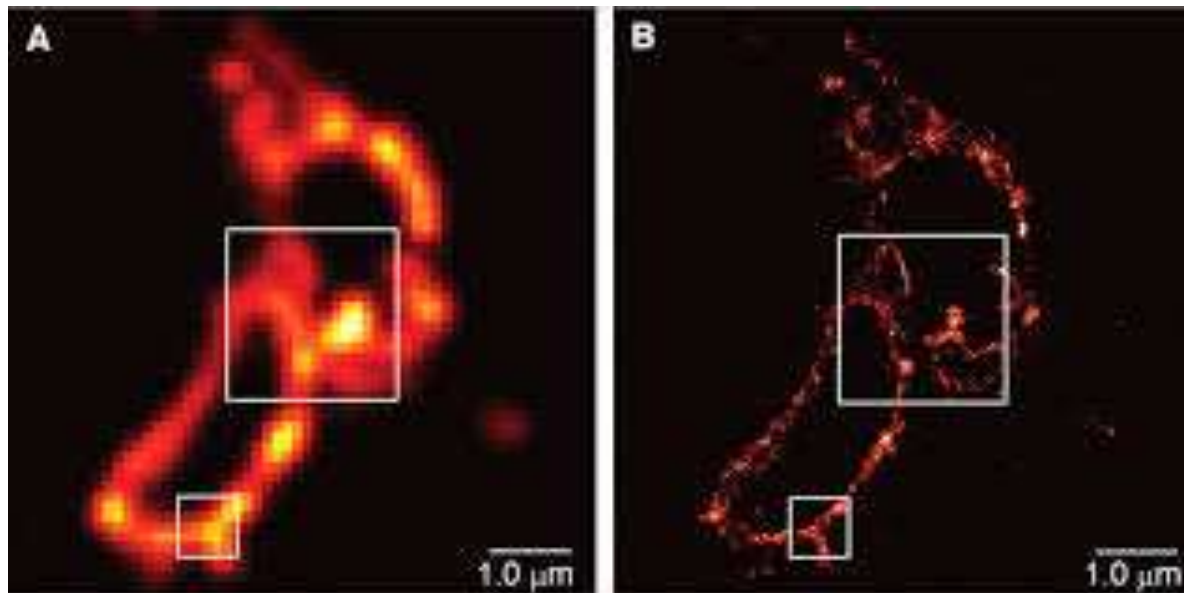
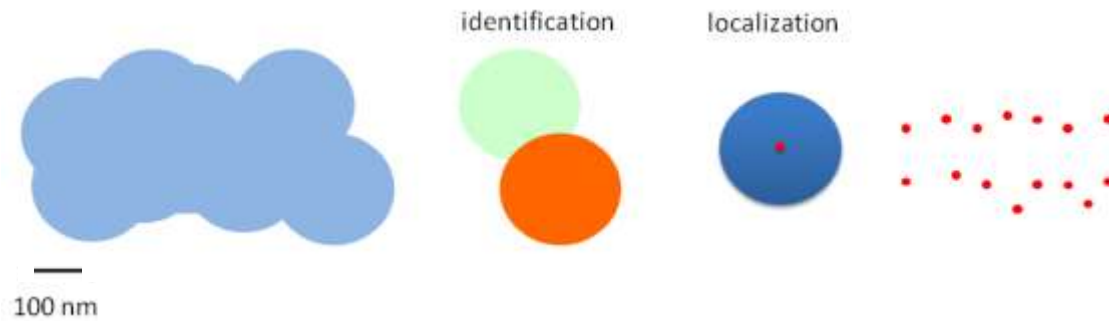
# Far Field Super Resolution

- Airy disk (point spread function)



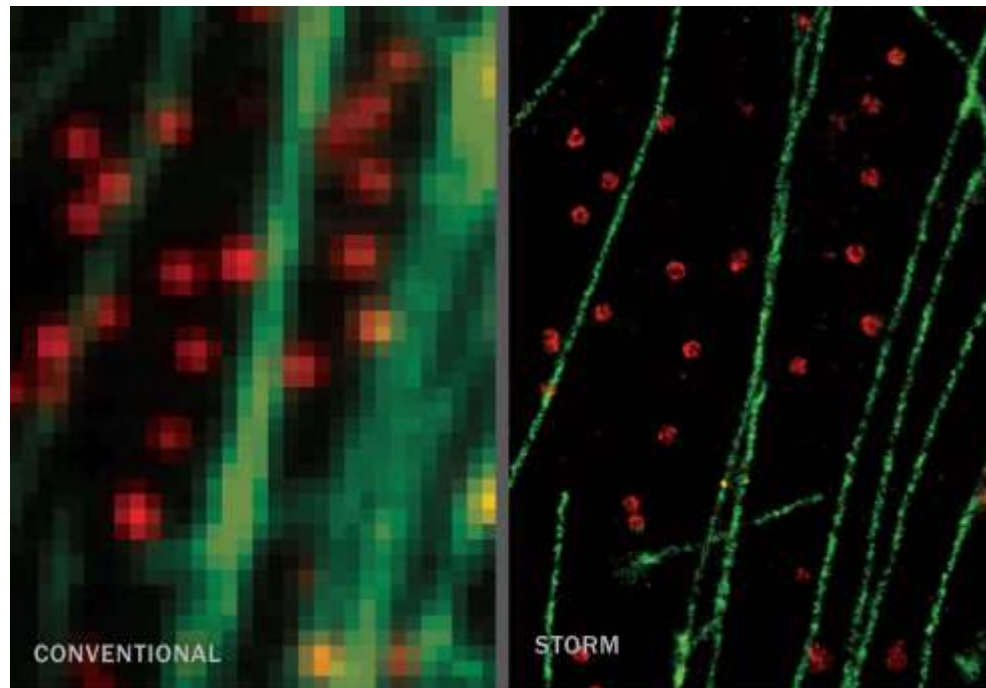
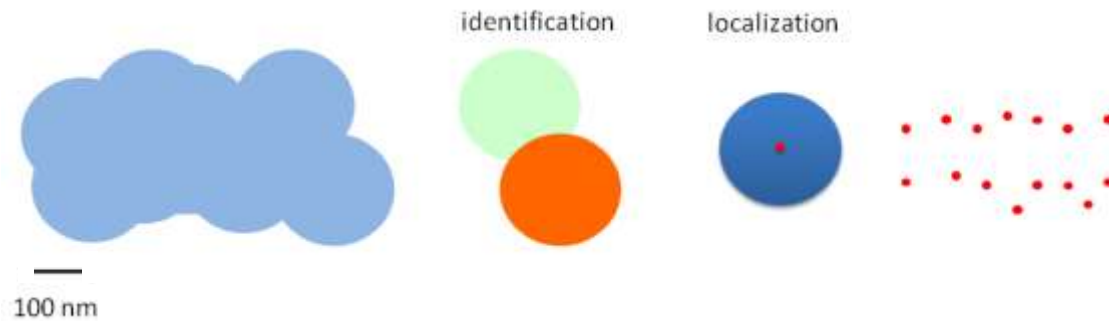
- From a single emitter.  
(Fluorescence protein or dye)
- Localize the center of PSF.
- **Resolution  $> 20\text{nm}$**  due to molecular diffusion and motions

# Localization - PALM



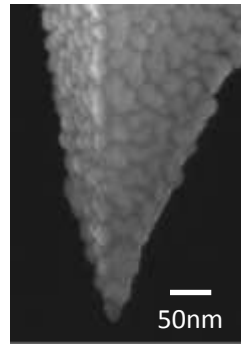


# Localization - STORM



# Near Field Method

- Scanning Probe Microscope
- Sharp tips or probes



- Ultrahigh spatial resolution  $\sim 1\text{nm}$ .
- Various spectroscopic information.
- 2D mapping for samples on surface.
- Not yet successful in liquid.

# Far Field Method

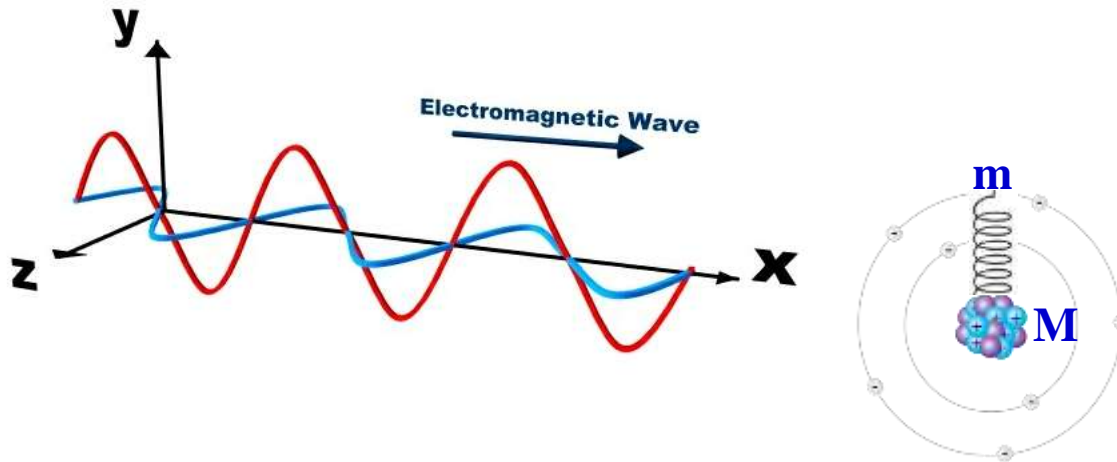
- Inverted Microscope
- High speed imaging CCD



- Good for biological samples.
- Pseudo 3D imaging.
- Resolution  $> 20\text{ nm}$ .
- Need huge amount of computation.
- Fluorescence label needed.

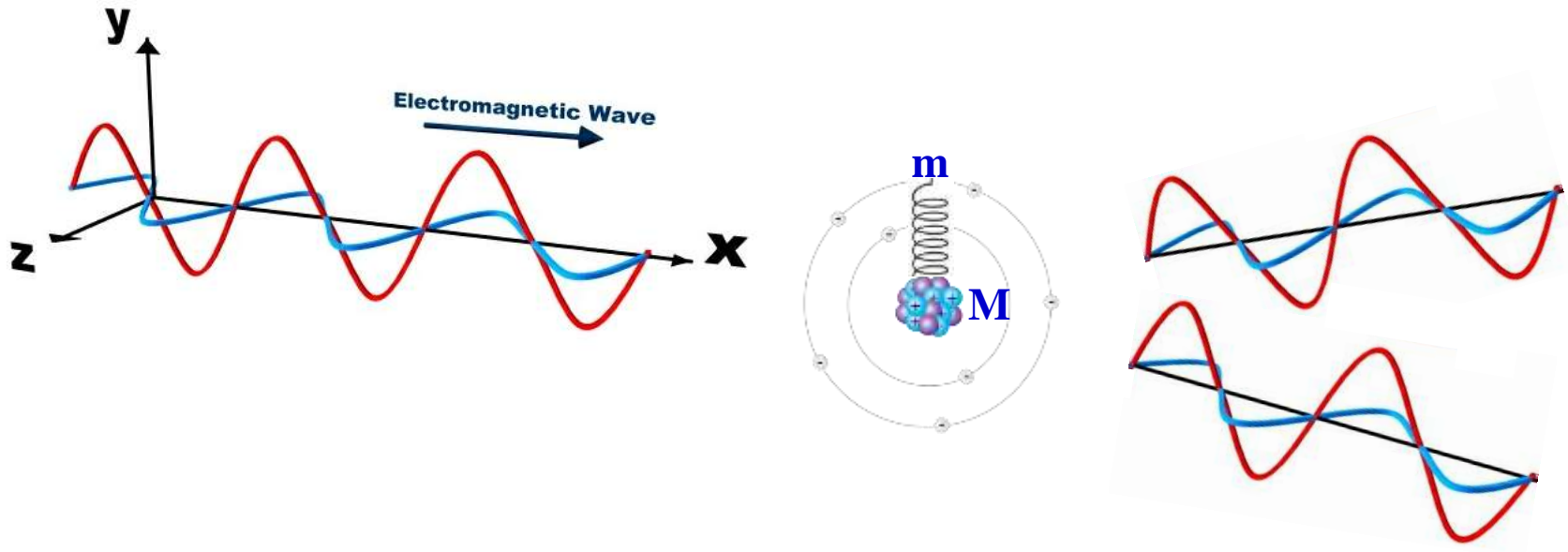
# Absorption and Emission

# Interaction of Electron and E Field



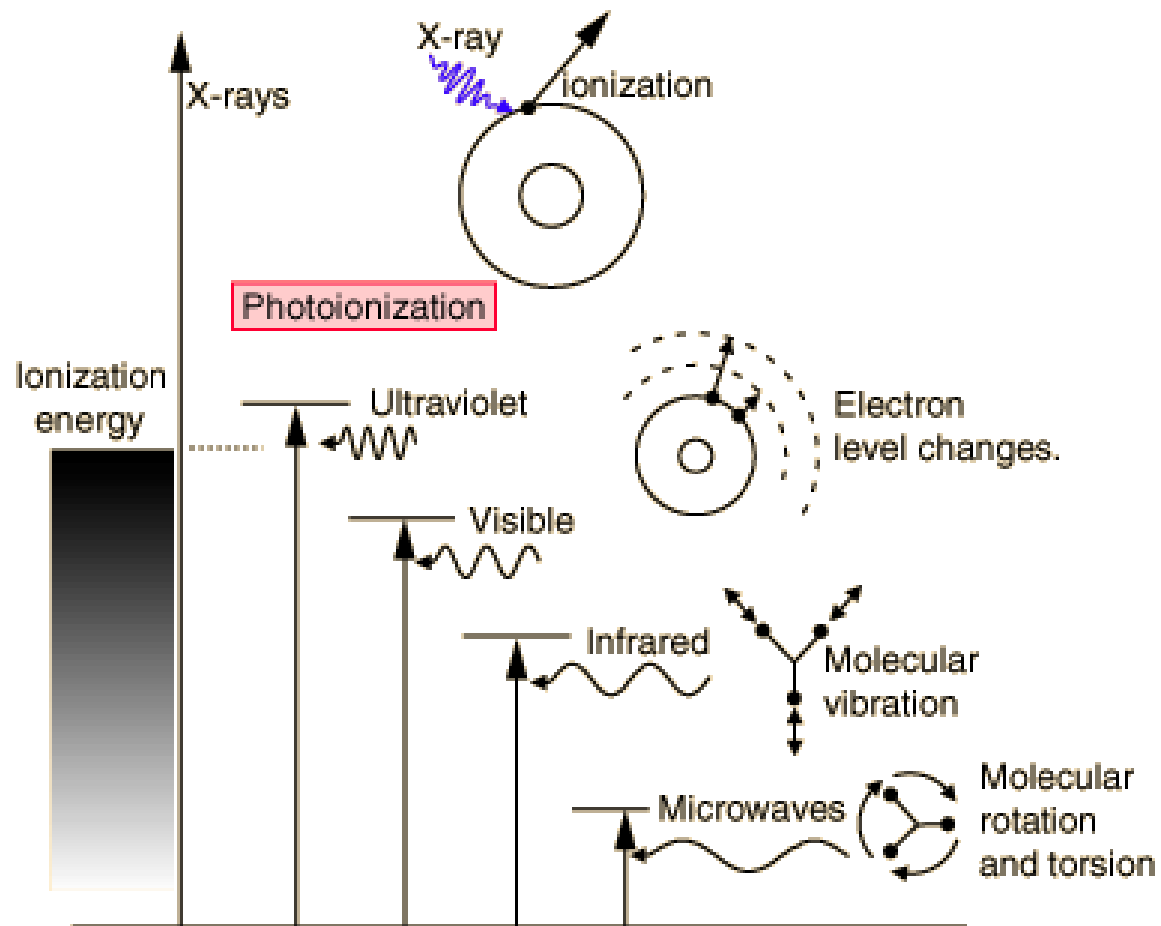
- Electrons response to the E field.
- Electrons  $\Rightarrow$  Polarizability  $\Rightarrow$  Susceptibility  $\Rightarrow$  Dielectric function  $\Rightarrow$  Refraction index.
- Resonance will result in absorption and dispersion

# Re-emission and Resonance

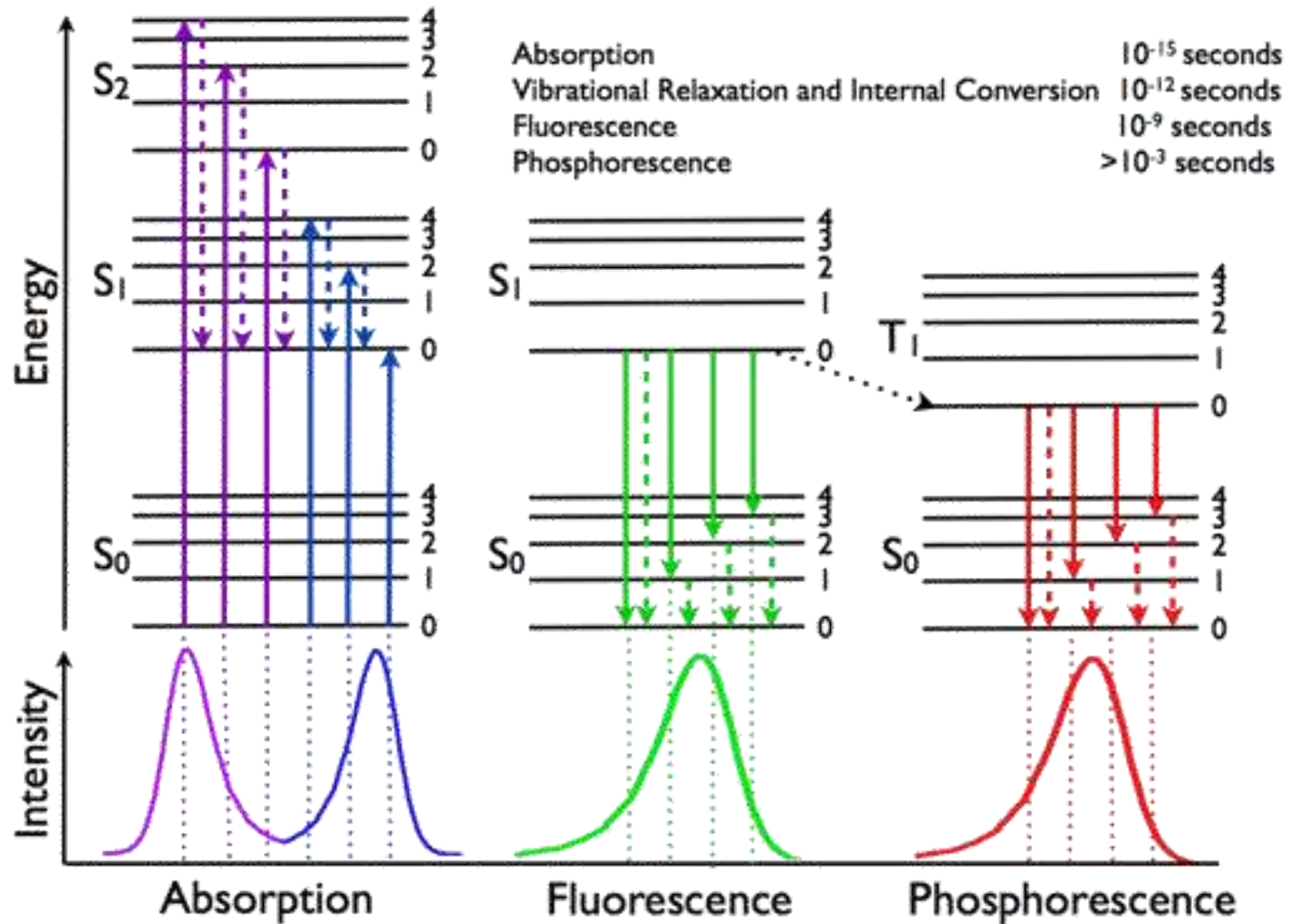


- Nonresonance  $\xrightarrow{1-10\text{ fs}}$  re-emission (**scattering**)
- Resonance : absorption  $\xrightarrow[0.1-100\text{ ns}]{} \text{re-emission}$  (**photoluminescence**)

# Resonance



# Energy Diagram

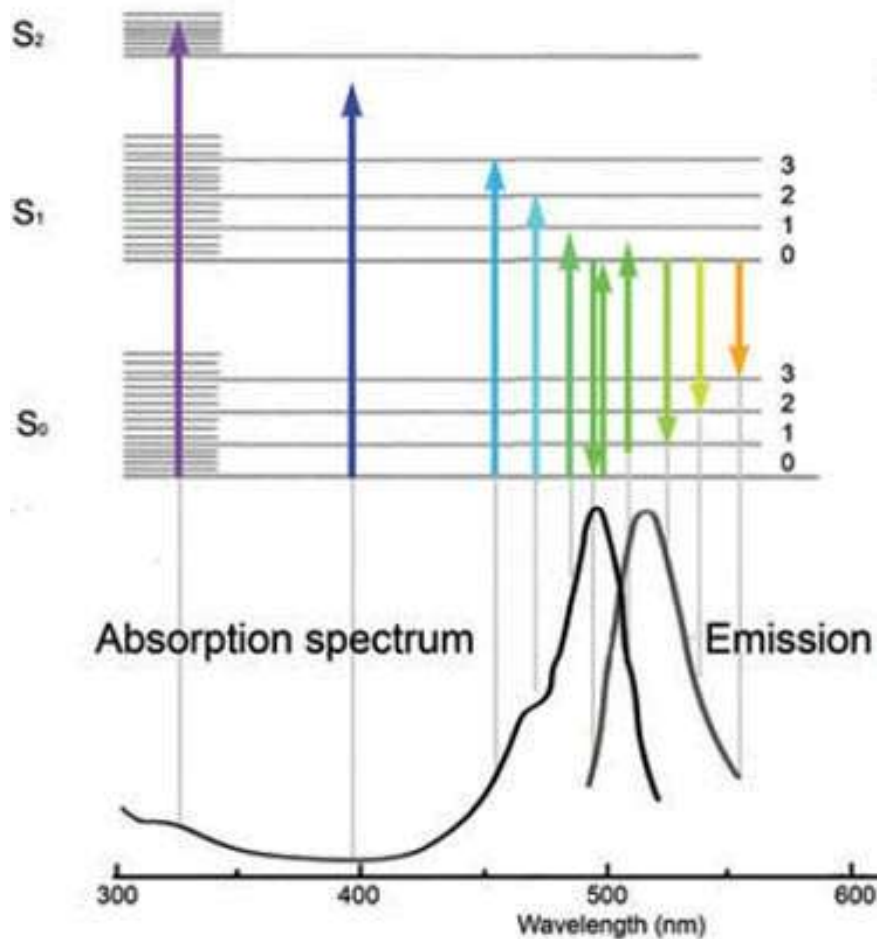


# Fluorescence



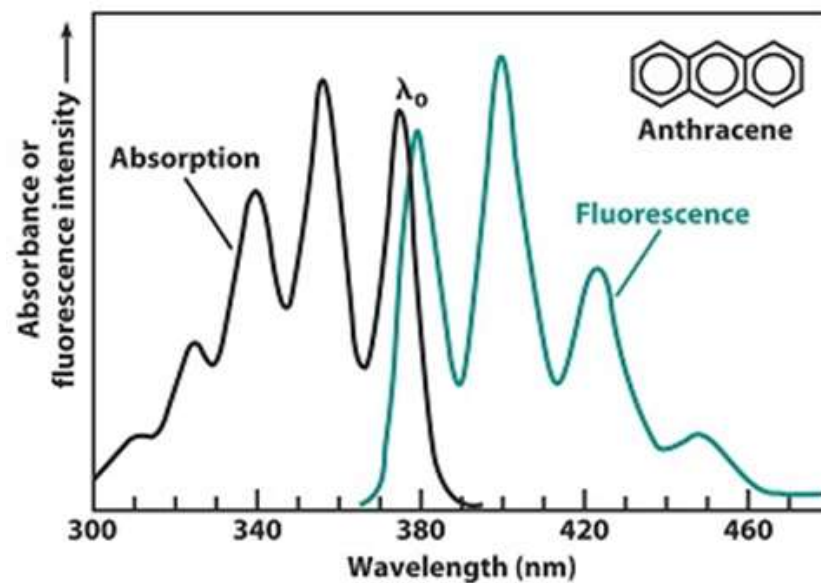
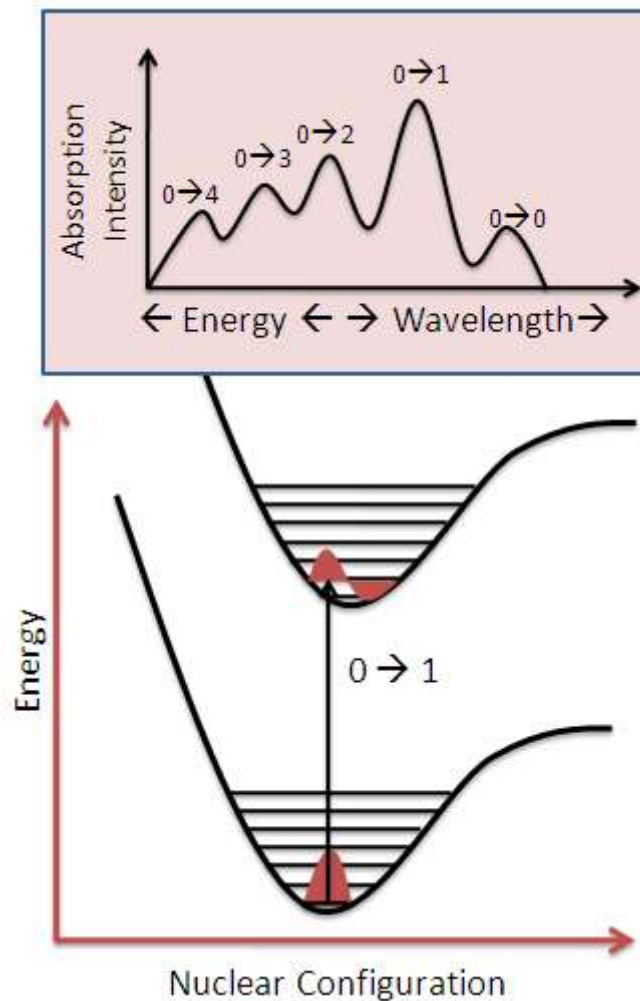


# Stokes Shift

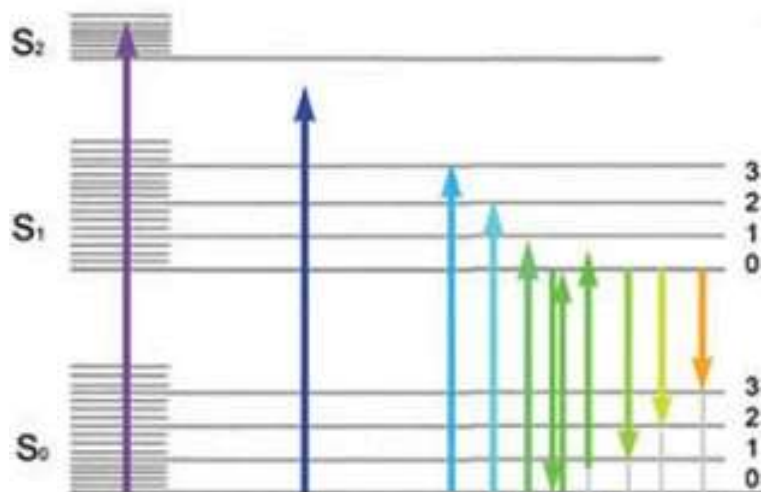


- The *Stokes shift* is the gap between the maximum of the absorption band and the maximum of the spectrum.
- Loss of vibrational energy (relaxation) in the excited state is dissipated as heat by collision with solvent.

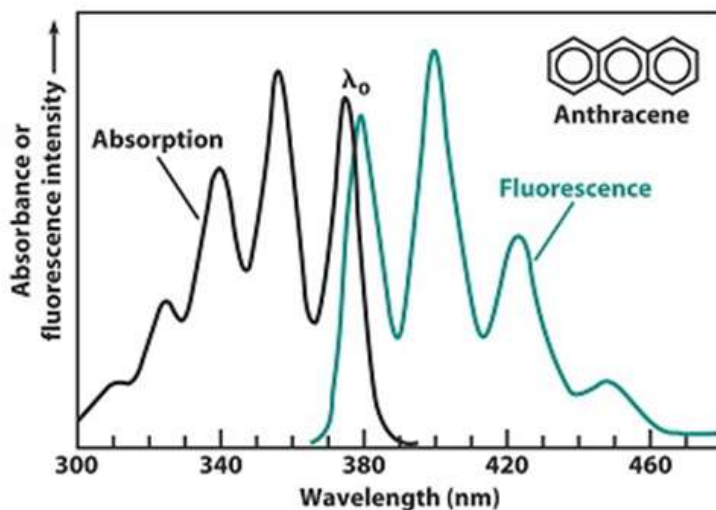
# Vibronic Transition



# Mirror Image of Abs and FI

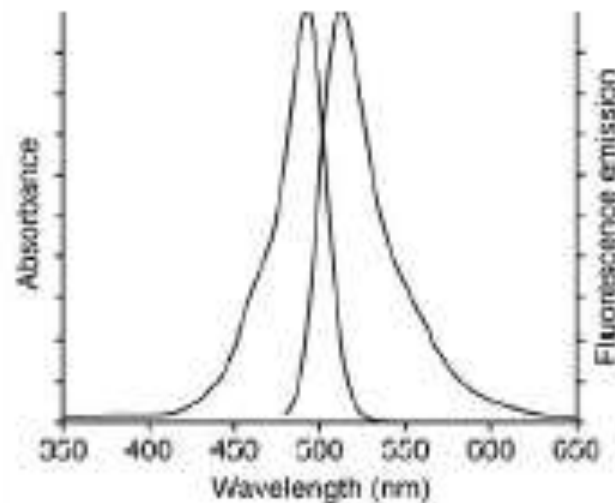
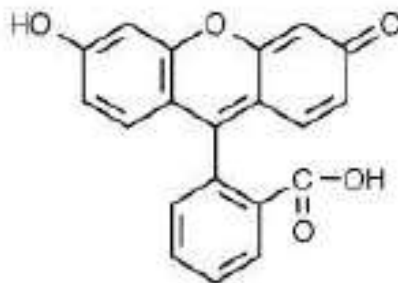


- Absorption spectrum  $\Rightarrow$  vibrational levels of the electronically excited state
- Emission spectrum  $\Rightarrow$  vibrational levels of the electronic ground state
- Fluorescence spectrum is mirror image of absorption spectrum

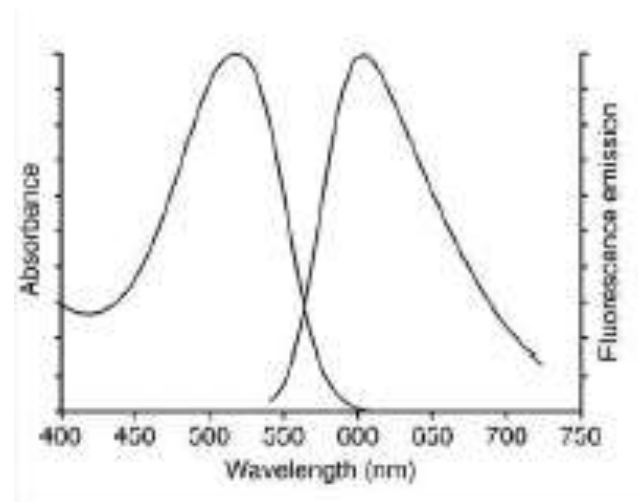
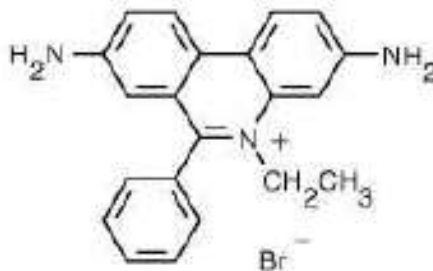


# Example of Fluorescent Dyes

**fluorescein**

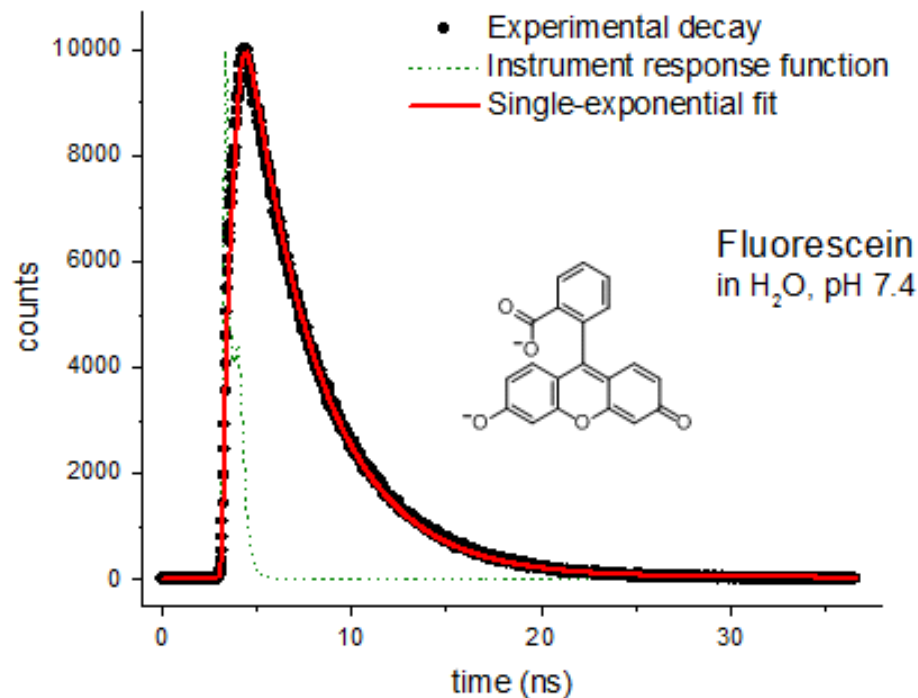


**ethidium bromide  
bound to DNA.**



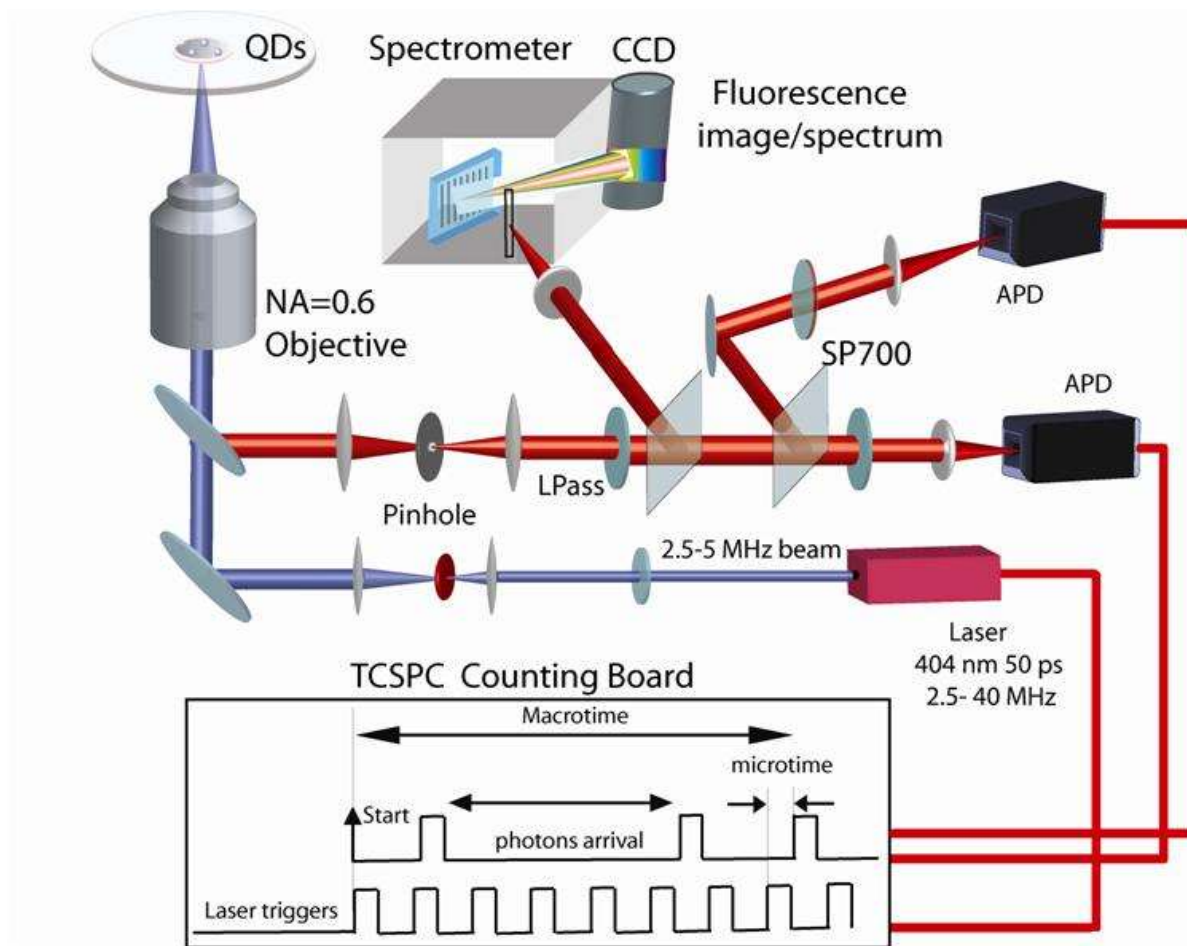
# Fluorescence Lifetime

- The lifetime ( $\tau$ ) of the lowest excited singlet state.
- The average time the molecule spends in the excited state prior to return to the ground state.
- Generally, fluorescence lifetimes are around 10 nsec.



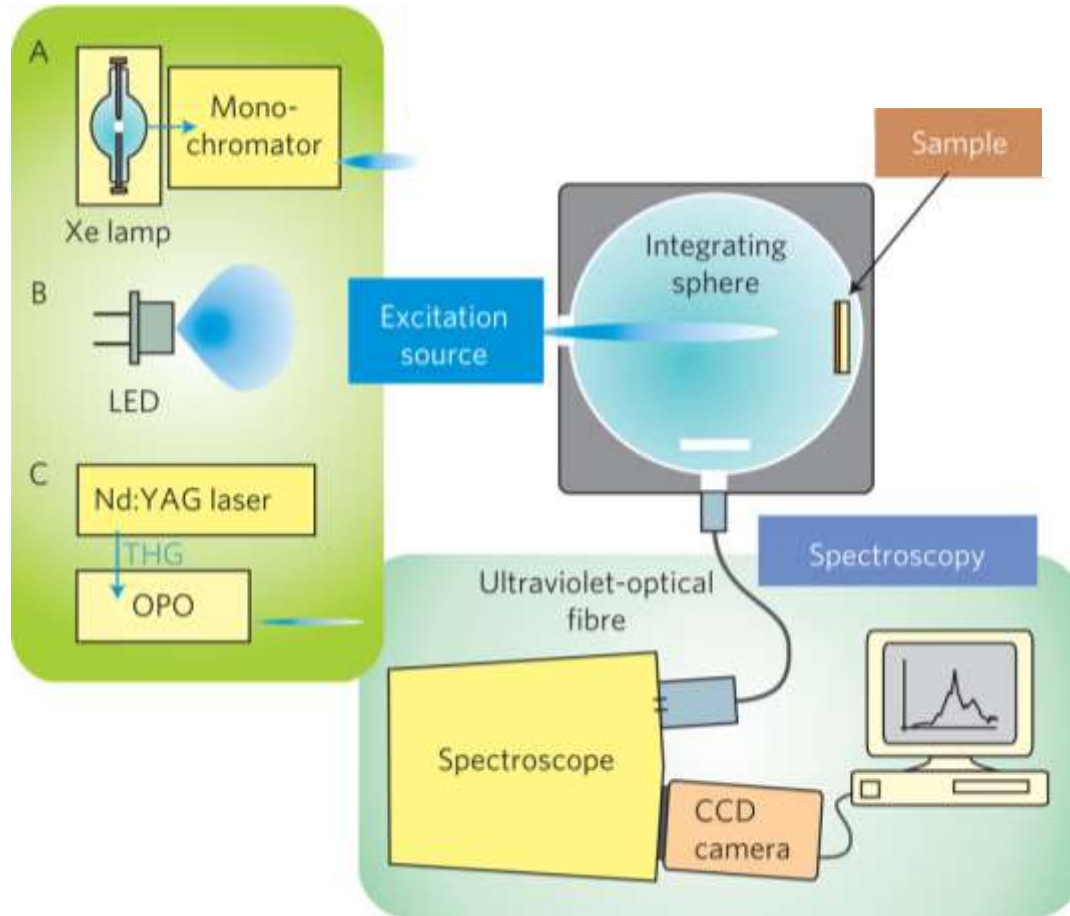
# Fluorescence Lifetime

- Time correlated single photon counting.

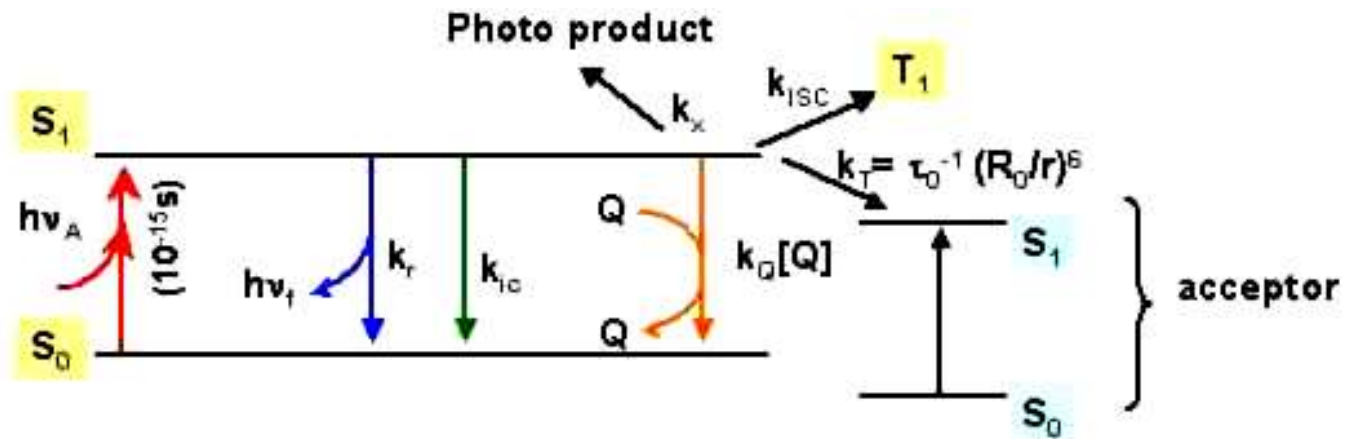


# Quantum Yield

- Quantum Yield  $\Phi_f = \frac{\text{number of photons emitted}}{\text{number of photons absorbed}}$



# Quantum Yield



$k_r$  = fluorescence radiative rate

$k_{ic}$  = internal conversion (collision with solvent + internal vibrational modes)  
→ increases with T

$k_q$  = quenching (collision with solute molecules, such as  $O_2$ )

$k_T$  = energy transfer (FRET)

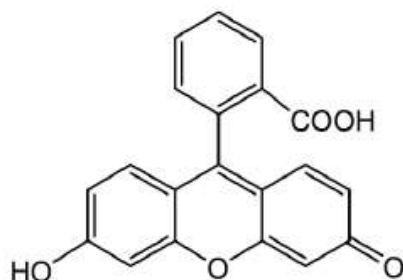
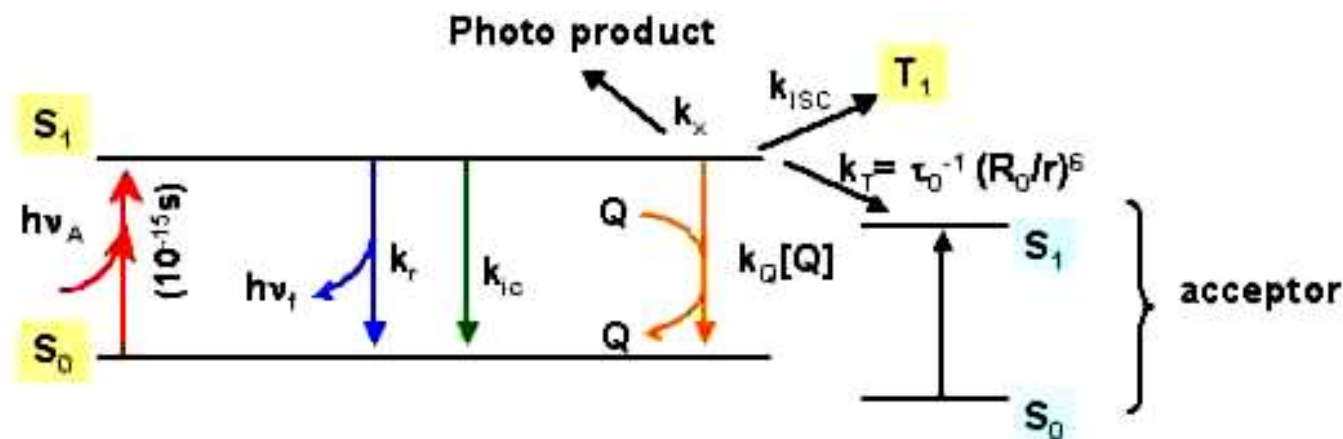
$k_x$  = reaction from excited state

$k_{ISC}$  = intersystem crossing (forbidden)

$$k = (k_r + k_{ic} + k_{ISC} + k_x + k_q[Q] + k_{FRET}...)$$



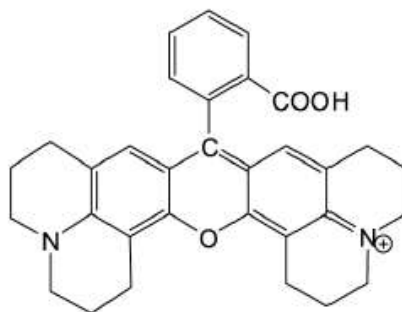
# Quantum Yield and Molecular Structure



fluorescein

$\Phi = 0.79$

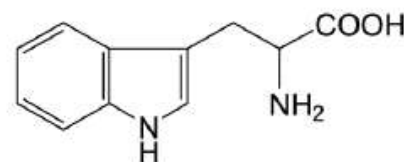
At pH 12



rhodamine 101

$\Phi = \sim 1.00$

EtOH + HCl



tryptophan

$\Phi = 0.14$

In solution

In proteins?

$\Phi = 0.00 - 0.3$

# Raman Scattering

# Scattering of Light



True solution  
(No scattering)

Colloidal solution  
(Scattering of light)

# Scattering of Light

Remitted photon without  
energy resonance.



Colloidal solution  
(Scattering of light)

# Scattering of Light

Remitted photon without  
energy resonance.



99.99% of scattered photon keeps the  
same energy as incident photon.

→ **Rayleigh scattering.**

# Scattering of Light



99.99% of scattered photon keeps the same energy as incident photon.

→ **Rayleigh scattering.**

< 0.01 % of scattered photon exchanges energy with the media molecules.

→ **Raman scattering.**

# Discovery of Raman Scattering

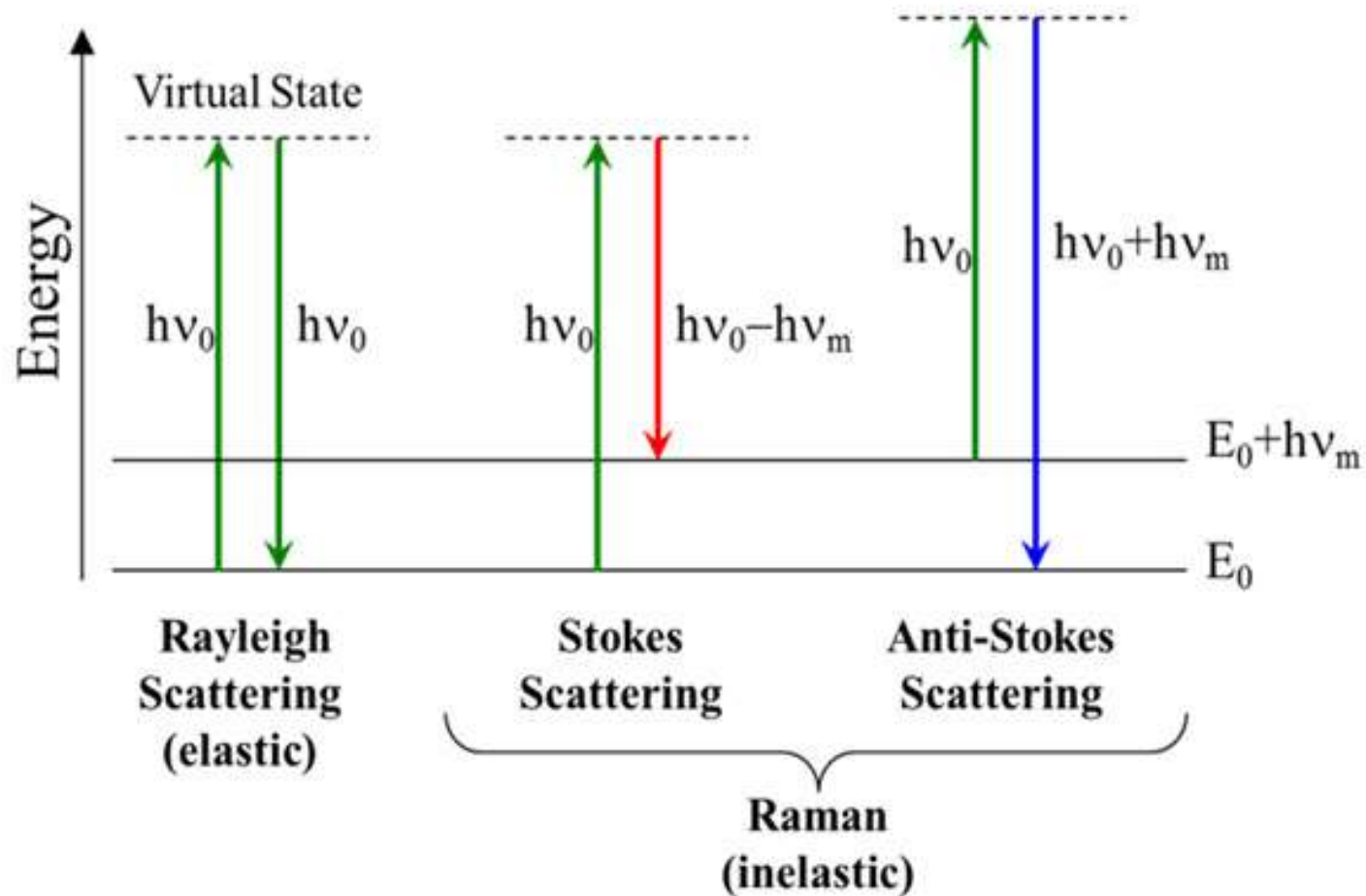
## **C.V. Raman (India)**

1928 - Discovery of the inelastic scattering of photon.

1930 - Nobel prize in physics.

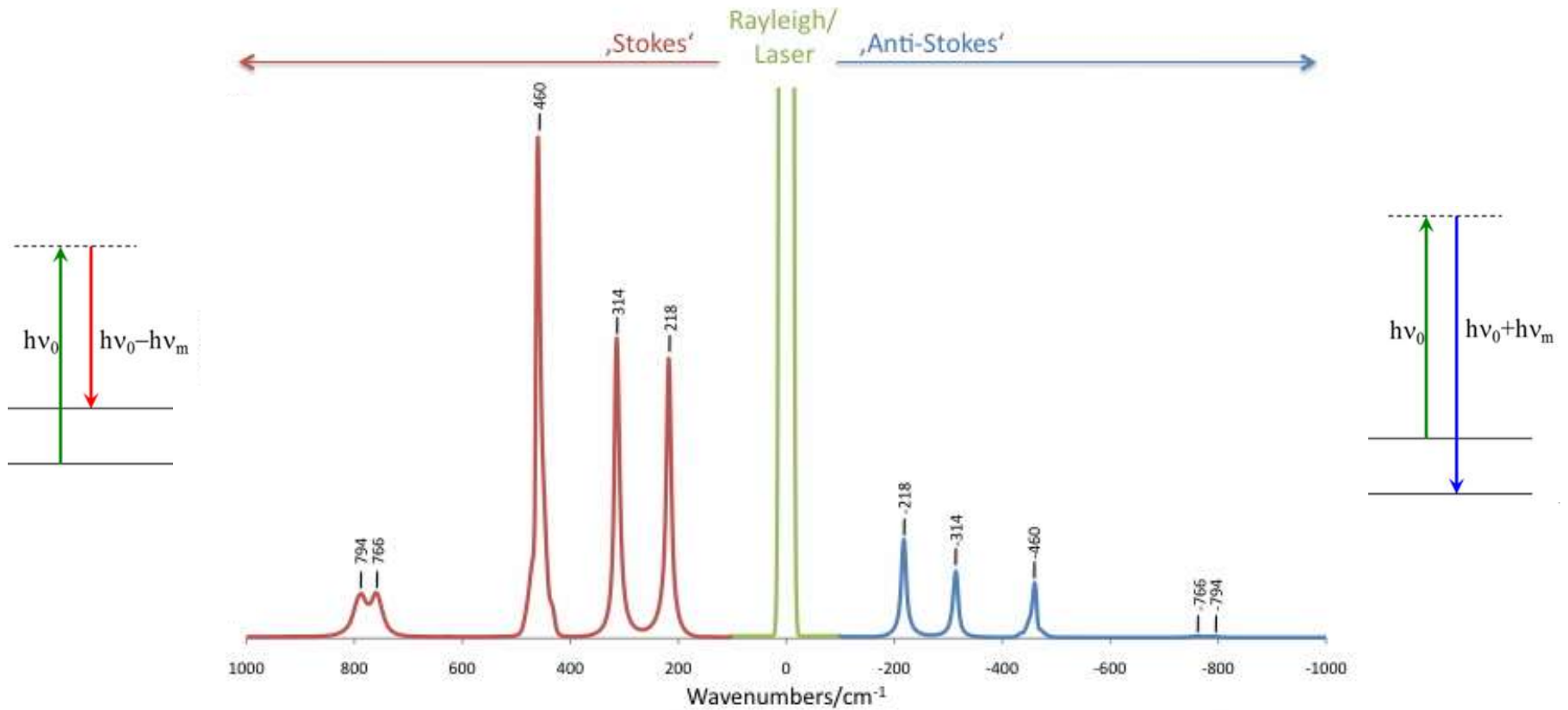


# Raman and Rayleigh Scattering

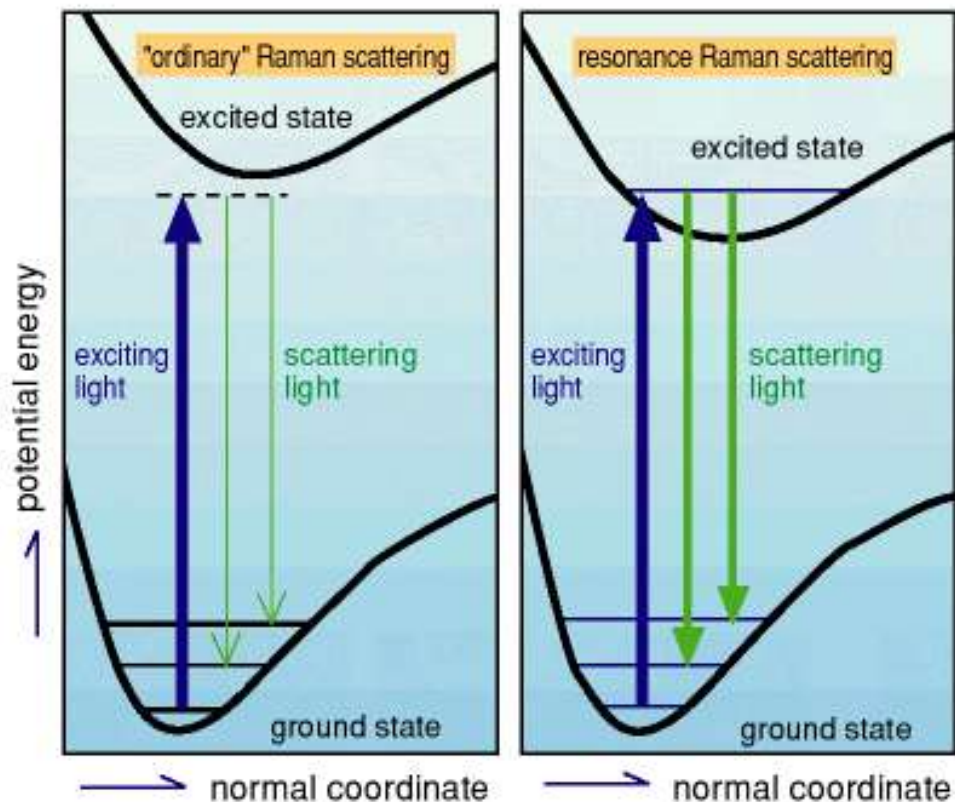




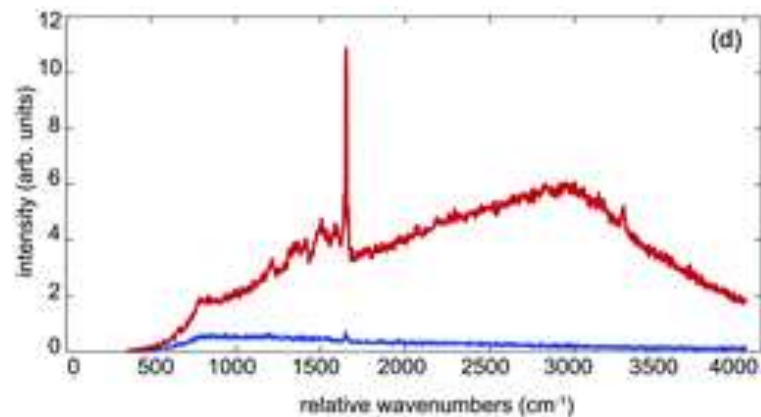
# Raman and Rayleigh Scattering



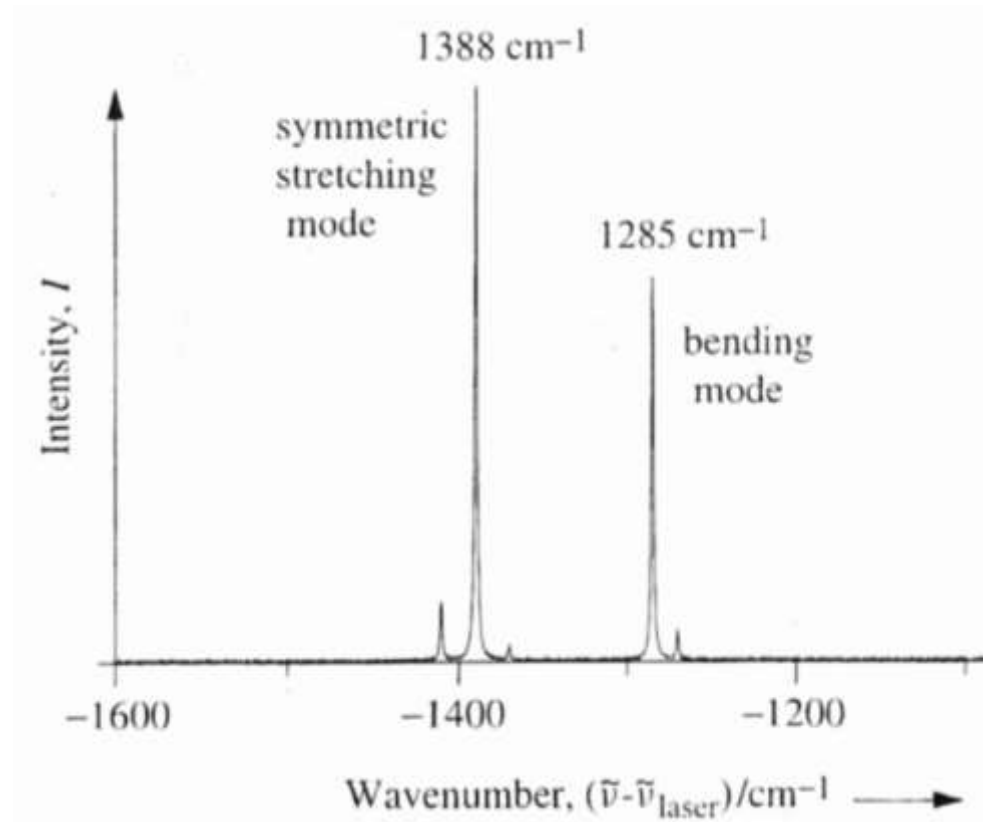
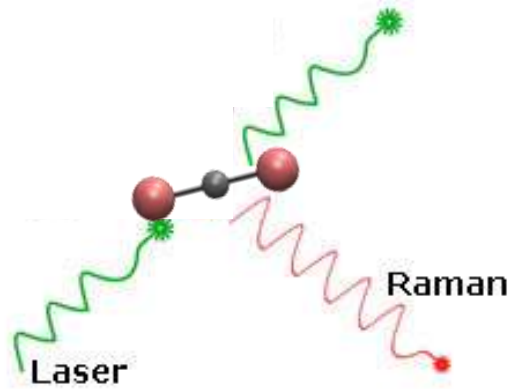
# Resonance Raman



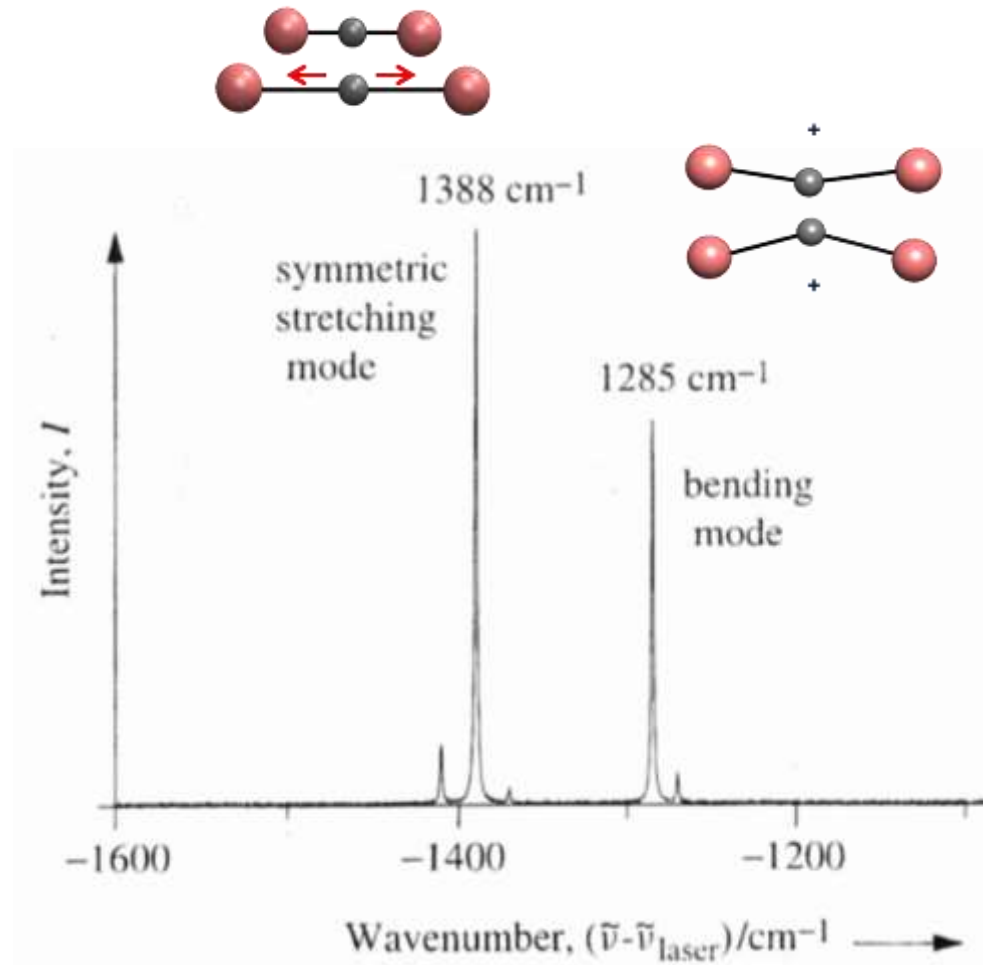
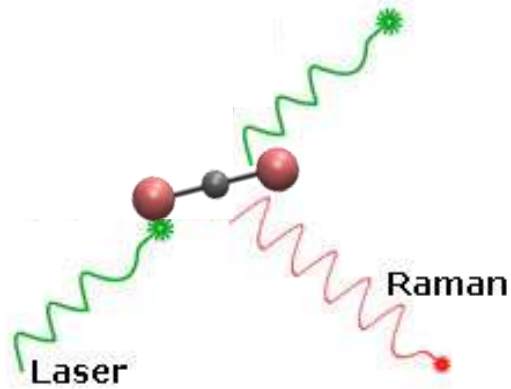
- Stronger Raman signal due to electronic resonance .
- May accompany with fluorescence.



# Raman and Molecular Vibration



# Raman and Molecular Vibration

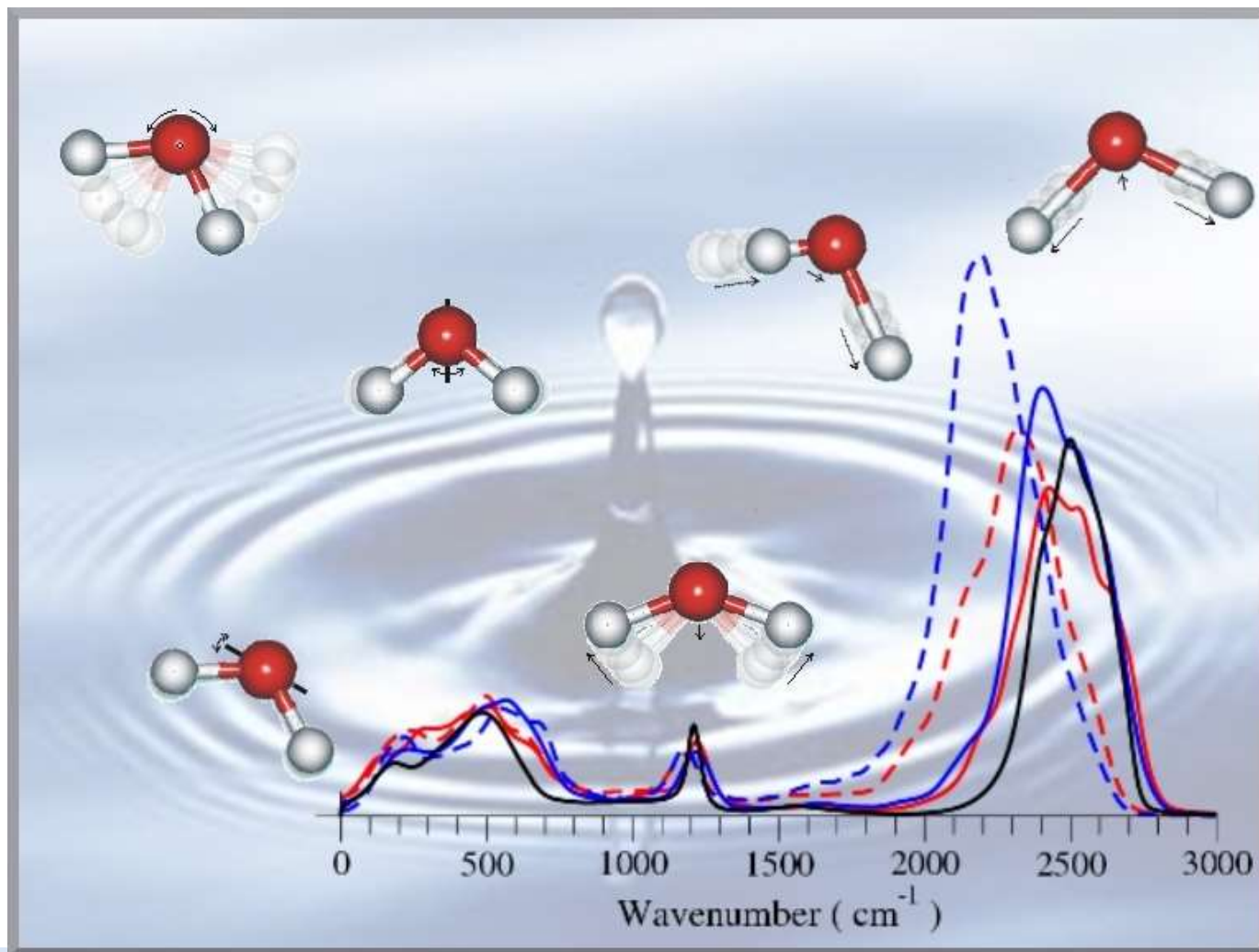


# Gymnastics of Water Molecule

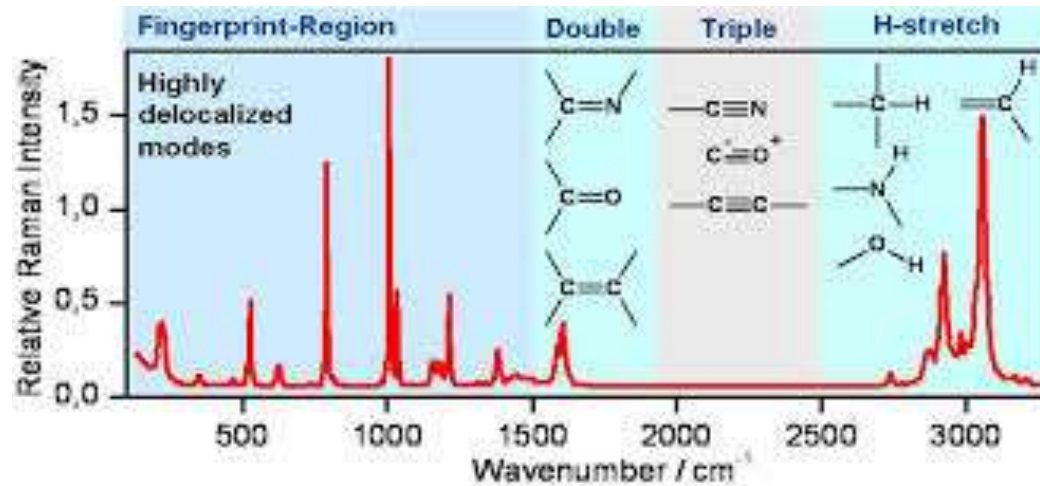
Rotation

Bending

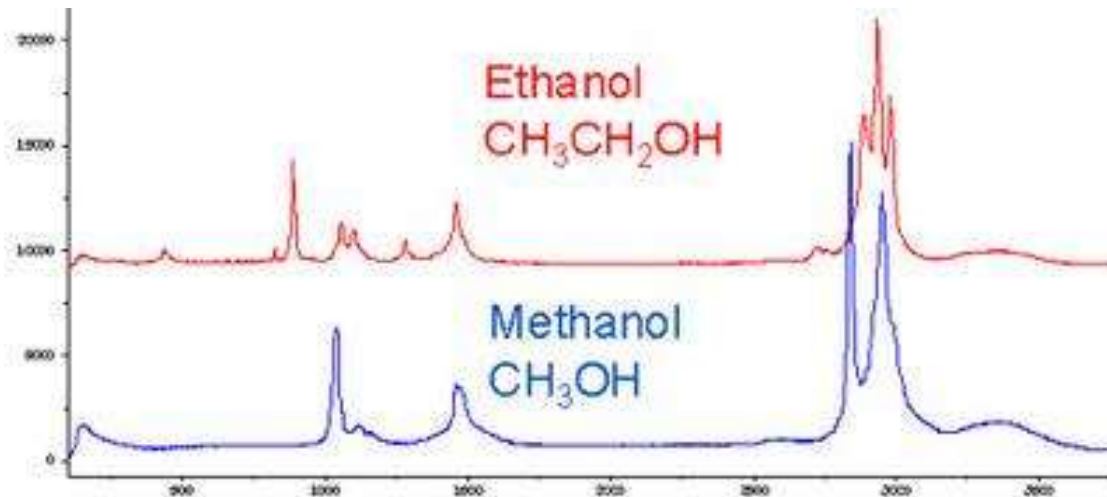
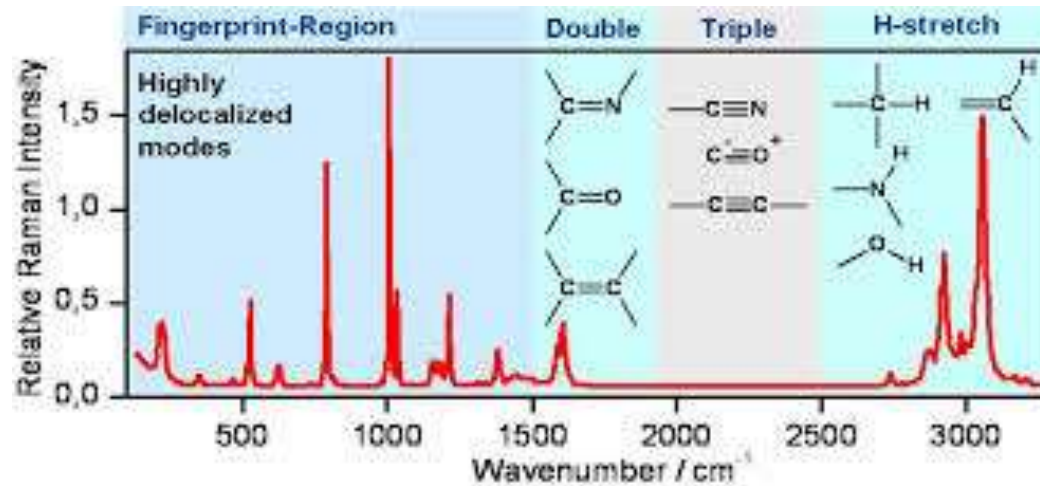
Stretching



# Raman Spectroscopy for Molecular Fingerprint

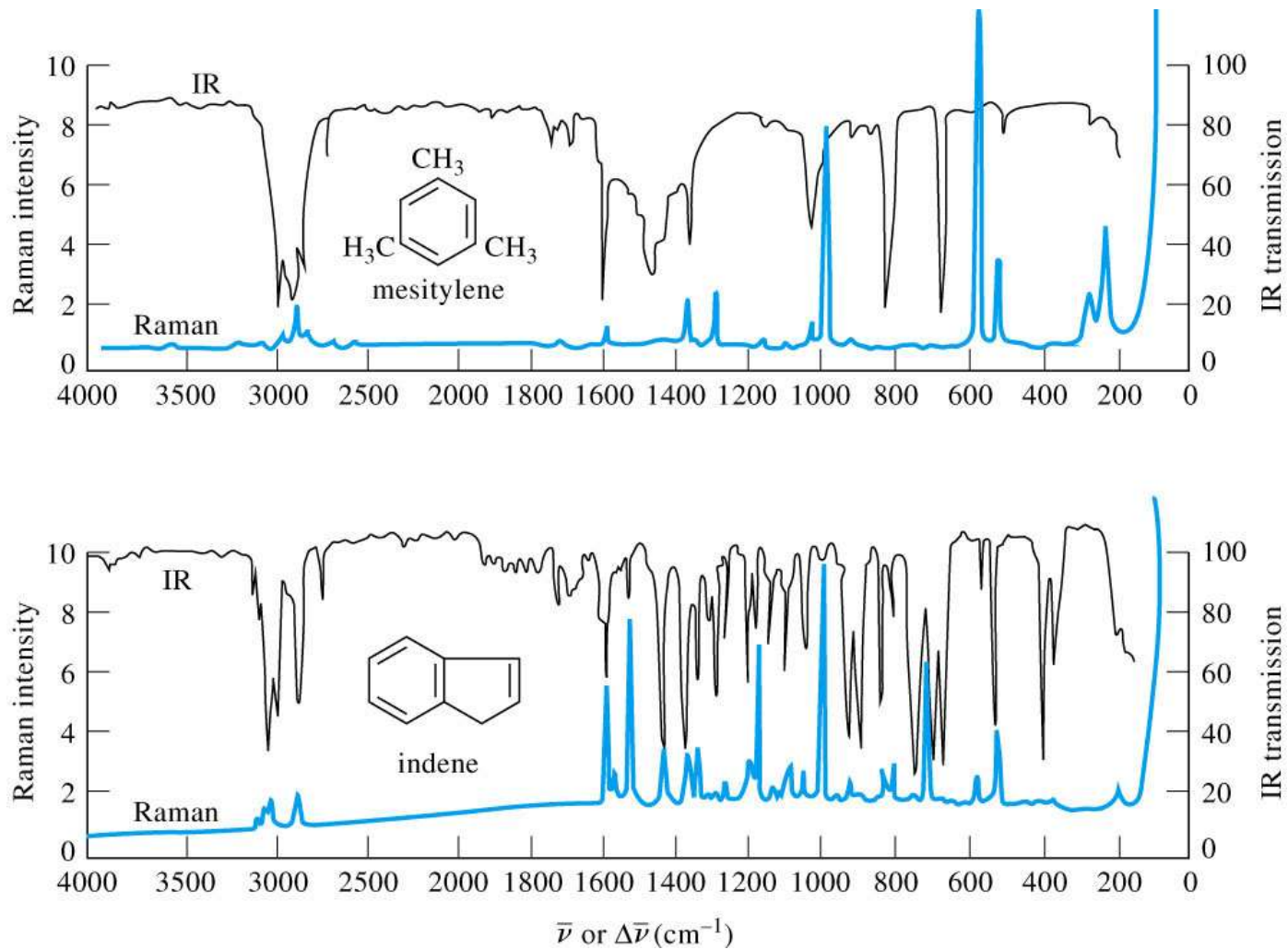


# Raman Spectroscopy for Molecular Fingerprint



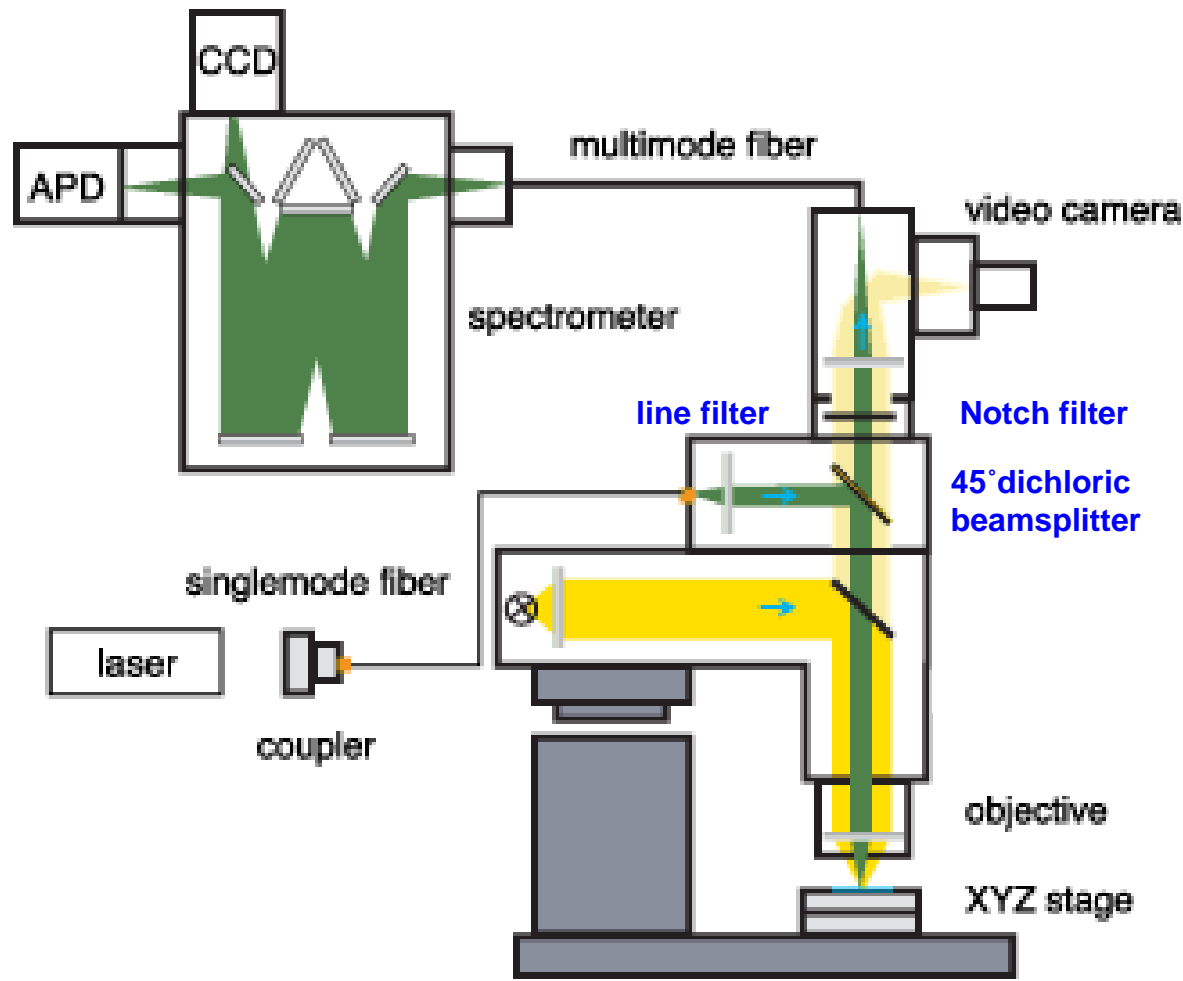


# Vibration Spectroscopy: Raman v. s. IR



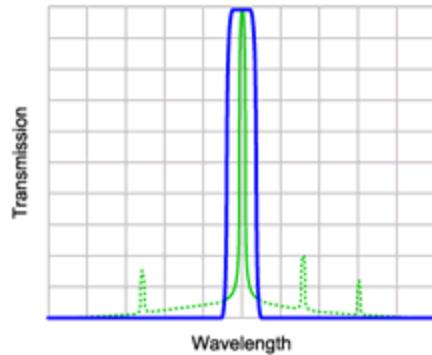


# Experimental Setup

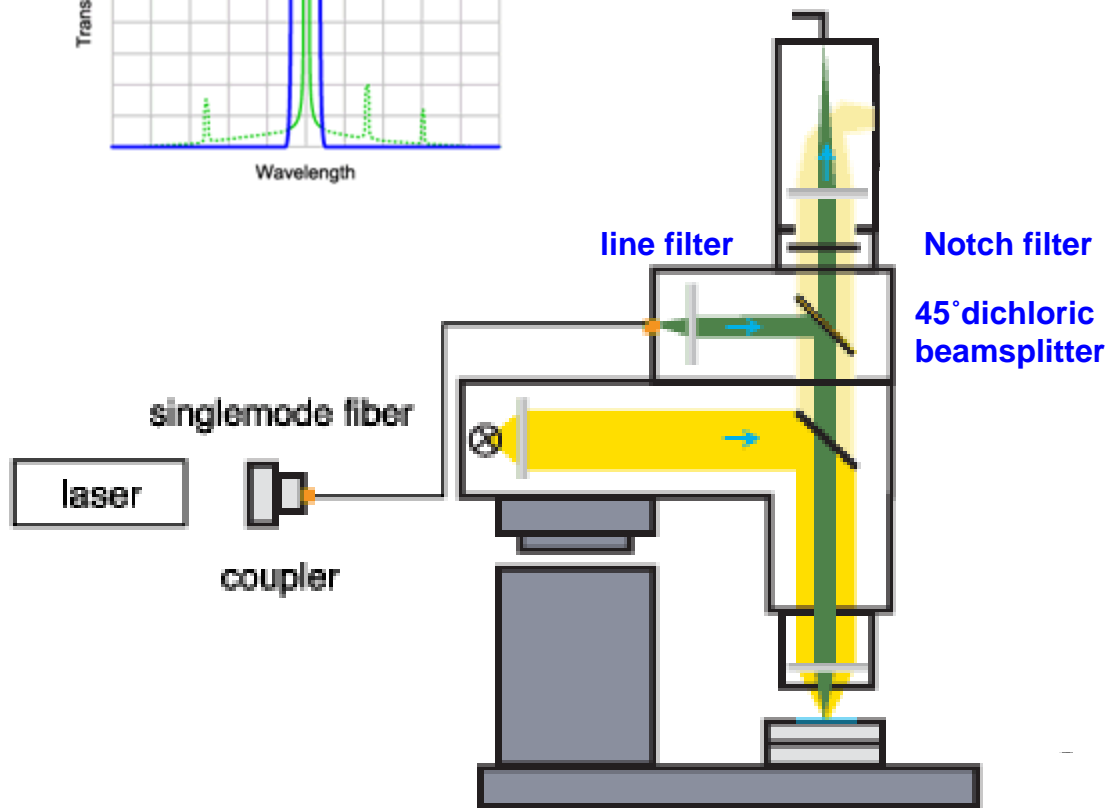
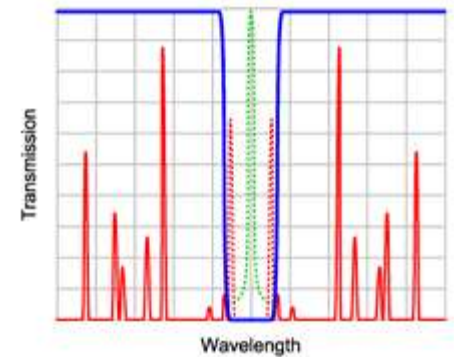


# Extremely Expensive Filters

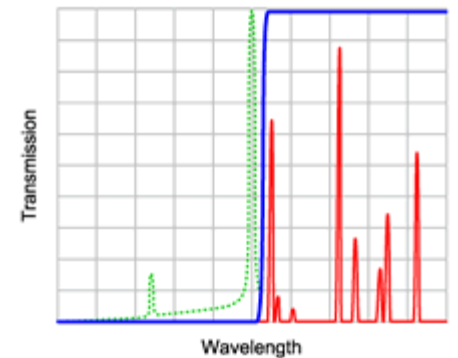
Laser line cleanup filter



Notch filter

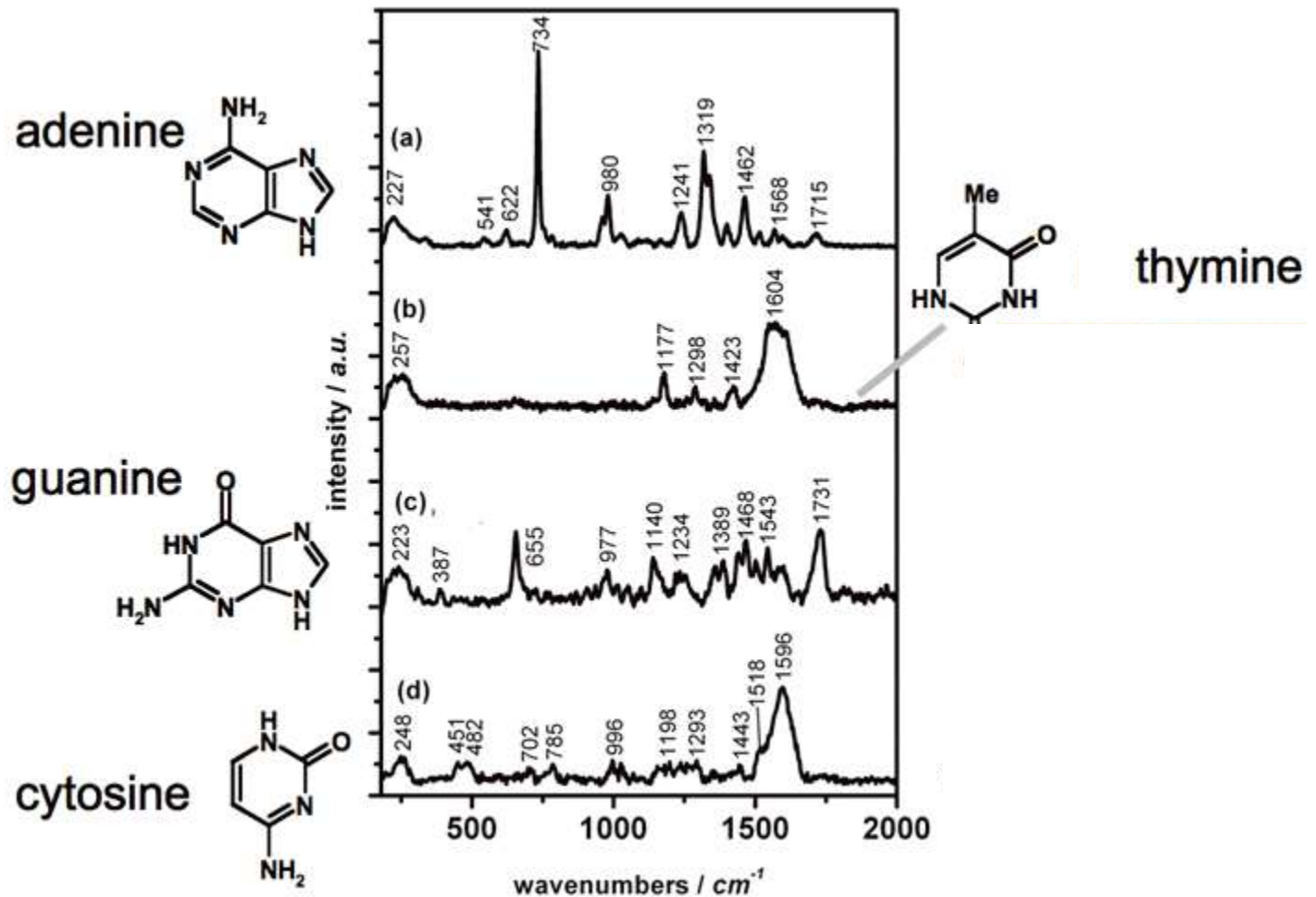


45° dichloric beamsplitter



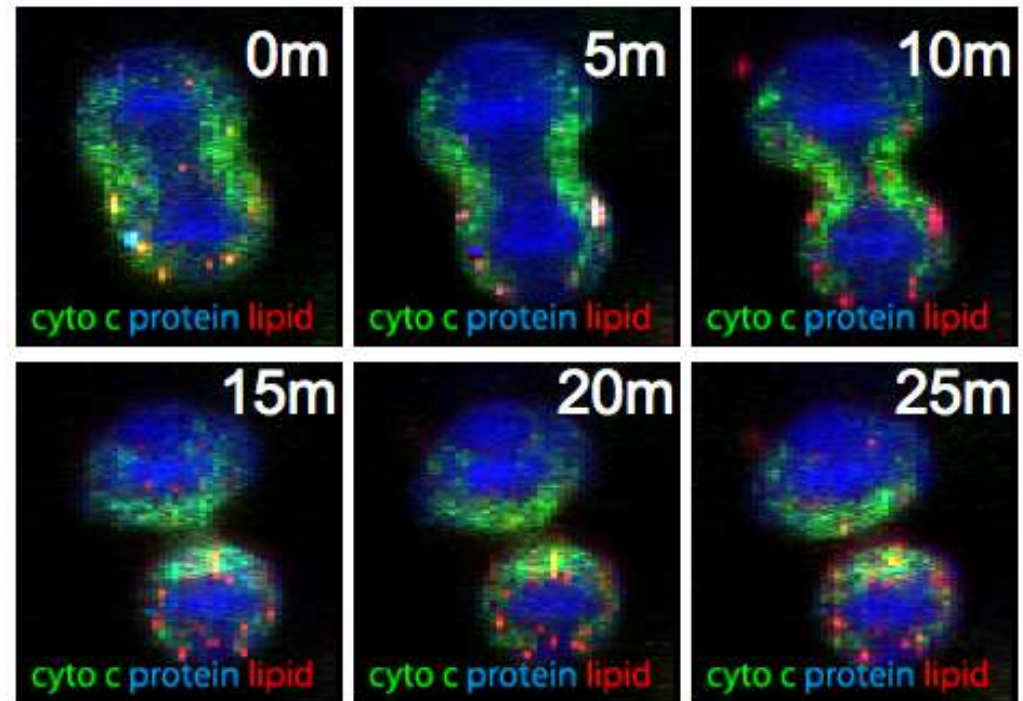
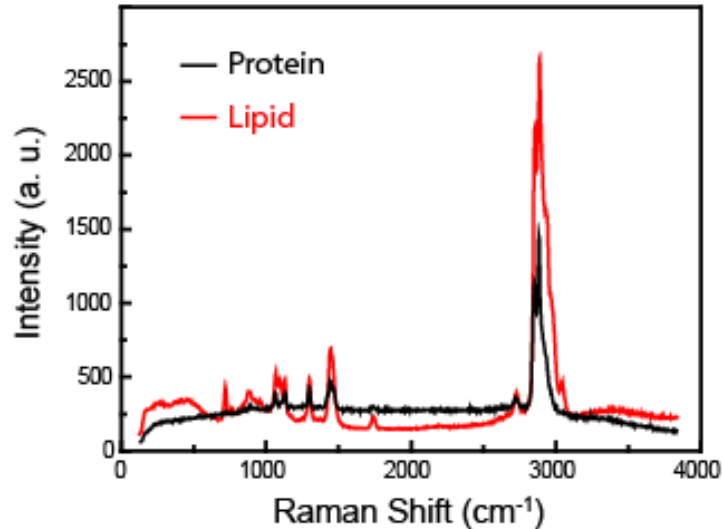
# Raman for Bio Molecules

- DNA



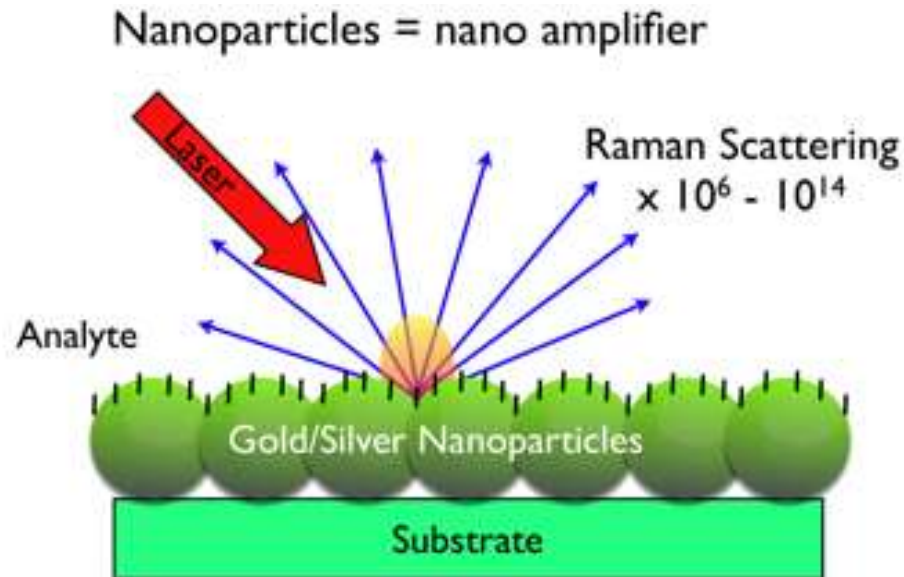
# Raman for Bio Molecules

- Label-free imaging for different cellular components



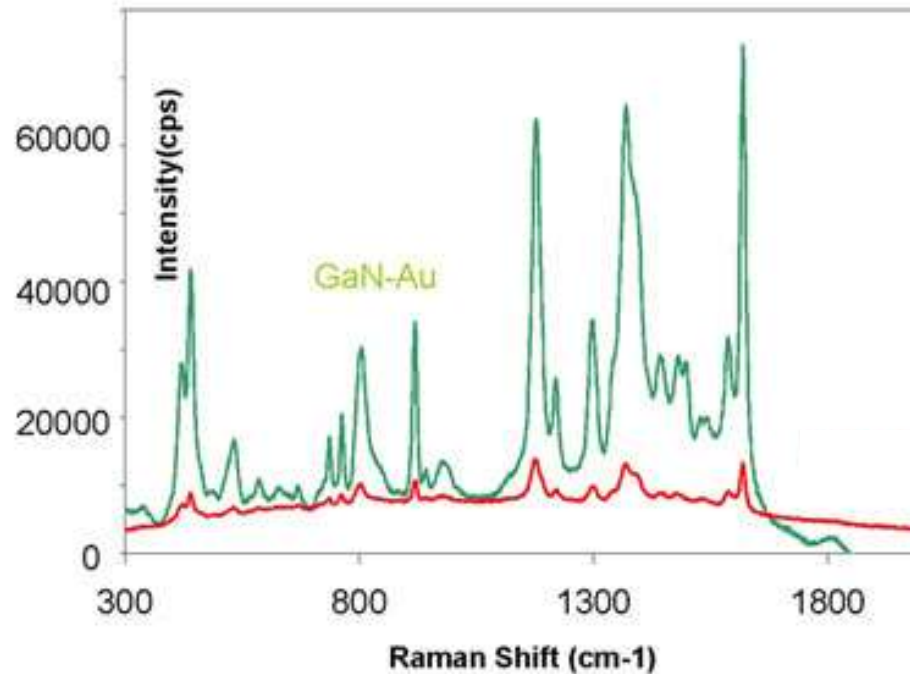
# SERS

## Surface Enhanced Raman Scattering



- SERS is a surface sensitive technique.
- Huge enhancement on rough metal surfaces.
- The enhancement factor can be as much as  $10^{14} - 10^{15}$ .
- High sensitivity possibly for single molecule detection.

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