

# Non-Born Oppenheimer Contributions

# Adiabatic Approximation 1

Include diagonal coupling term  $C_{nn}$

(assuming the electron wavefunction is REAL)

$$C_{nn} = \left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| n \right\rangle + \left\langle n \left| -\sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| n \right\rangle \nabla_I$$

$$\begin{aligned} \langle n \| n \rangle &= 1 \rightarrow \nabla_I \langle n \| n \rangle = \nabla_I \int \phi_n^{el*}(\mathbf{r}; \mathbf{R}) \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} \\ &= \int \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} + \int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} \\ &= 2 \left[ \int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} \right] = 2 \left[ \int \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} \right] = 0 \end{aligned}$$

so

$$\int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} = \int \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} = 0$$

# Adiabatic Approximation 2

Include diagonal coupling term  $C_{nn}$

Second term  
is zero

$$C_{nn} = \left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| n \right\rangle + \left\langle n \left| -\sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| n \right\rangle \nabla_I$$

$$\nabla_I^2 \int \phi_n^{el*}(\mathbf{r}; \mathbf{R}) \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r}$$

$$= \nabla_I \int \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} + \nabla_I \int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r}$$

$$= \nabla_I 2 \int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r}$$

$$= 2 \int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I^2 \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} + 2 \int \left| \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \right|^2 d\mathbf{r} = 0$$

$$\int \phi_n^{el}(\mathbf{r}; \mathbf{R}) \nabla_I^2 \phi_n^{el}(\mathbf{r}; \mathbf{R}) d\mathbf{r} = - \int \left| \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \right|^2 d\mathbf{r}$$

$$C_{nn} = \left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| n \right\rangle = \frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \int \left| \nabla_I \phi_n^{el}(\mathbf{r}; \mathbf{R}) \right|^2 d\mathbf{r}$$

# Non-adiabatic Matrix Elements

## when is it important?

$$\sum_m^{\infty} \left\langle n \left| - \sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| m \right\rangle \nabla_I \chi_m(\mathbf{R})$$

Assuming 1 dimension X coordinate of atom I

$$\left( \frac{\partial}{\partial X_I} \hat{H}^0 - \hat{H}^0 \frac{\partial}{\partial X_I} \right) |m\rangle = \left( \hat{H}^0 \frac{\partial}{\partial X_I} + \frac{\partial \hat{H}^0}{\partial X_I} - \hat{H}^0 \frac{\partial}{\partial X_I} \right) |m\rangle = \frac{\partial \hat{H}^0}{\partial X_I} |m\rangle$$



$$\left\langle n \left| \frac{\partial}{\partial X_I} \hat{H}^0 - \hat{H}^0 \frac{\partial}{\partial X_I} \right| m \right\rangle = (E_m(X_I) - E_n(X_I)) \left\langle n \left| \frac{\partial}{\partial X_I} \right| m \right\rangle = \left\langle n \left| \frac{\partial \hat{H}^0}{\partial X_I} \right| m \right\rangle$$



$$\left\langle n \left| \frac{\partial}{\partial X_I} \right| m \right\rangle = \frac{\left\langle n \left| \frac{\partial \hat{H}^0}{\partial X_I} \right| m \right\rangle}{(E_m(X_I) - E_n(X_I))}$$

$$\hat{H}^0(\mathbf{r}; \mathbf{R}) = -\frac{1}{2} \sum_{i=1}^n \nabla_i^2 + \left[ \sum_{I=1}^N \sum_{J \neq I}^N \frac{Z_I Z_J}{|\mathbf{R}_I - \mathbf{R}_J|} - \sum_{I=1}^N \sum_{i=1}^n \frac{Z_I}{|\mathbf{R}_I - \mathbf{r}_i|} + \sum_{i=1}^n \sum_{j \neq i}^n \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \right]$$

# Non-adiabatic Matrix Element

$$\left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| m \right\rangle$$

Assuming 1 dimension X coordinate of atom I  
Electronic wavefunction is a real function

$$\begin{aligned} \frac{\partial}{\partial X_I} \left\langle n \left| \frac{\partial}{\partial X_I} \right| m \right\rangle &= \left\langle \frac{\partial}{\partial X_I} n \left| \frac{\partial}{\partial X_I} \right| m \right\rangle + \left\langle n \left| \frac{\partial^2}{\partial X_I^2} \right| m \right\rangle \\ &= \sum_l \left\langle \frac{\partial}{\partial X_I} n \left| l \right\rangle \left\langle l \left| \frac{\partial}{\partial X_I} m \right\rangle + \left\langle n \left| \frac{\partial^2}{\partial X_I^2} \right| m \right\rangle \end{aligned}$$



Assuming real electron wavefunction

$$\left\langle n \left| \frac{\partial^2}{\partial X_I^2} \right| m \right\rangle = \frac{\partial}{\partial X_I} \left\langle n \left| \frac{\partial}{\partial X_I} \right| m \right\rangle - \sum_l \left\langle l \left| \frac{\partial}{\partial X_I} n \right\rangle \left\langle l \left| \frac{\partial}{\partial X_I} m \right\rangle$$

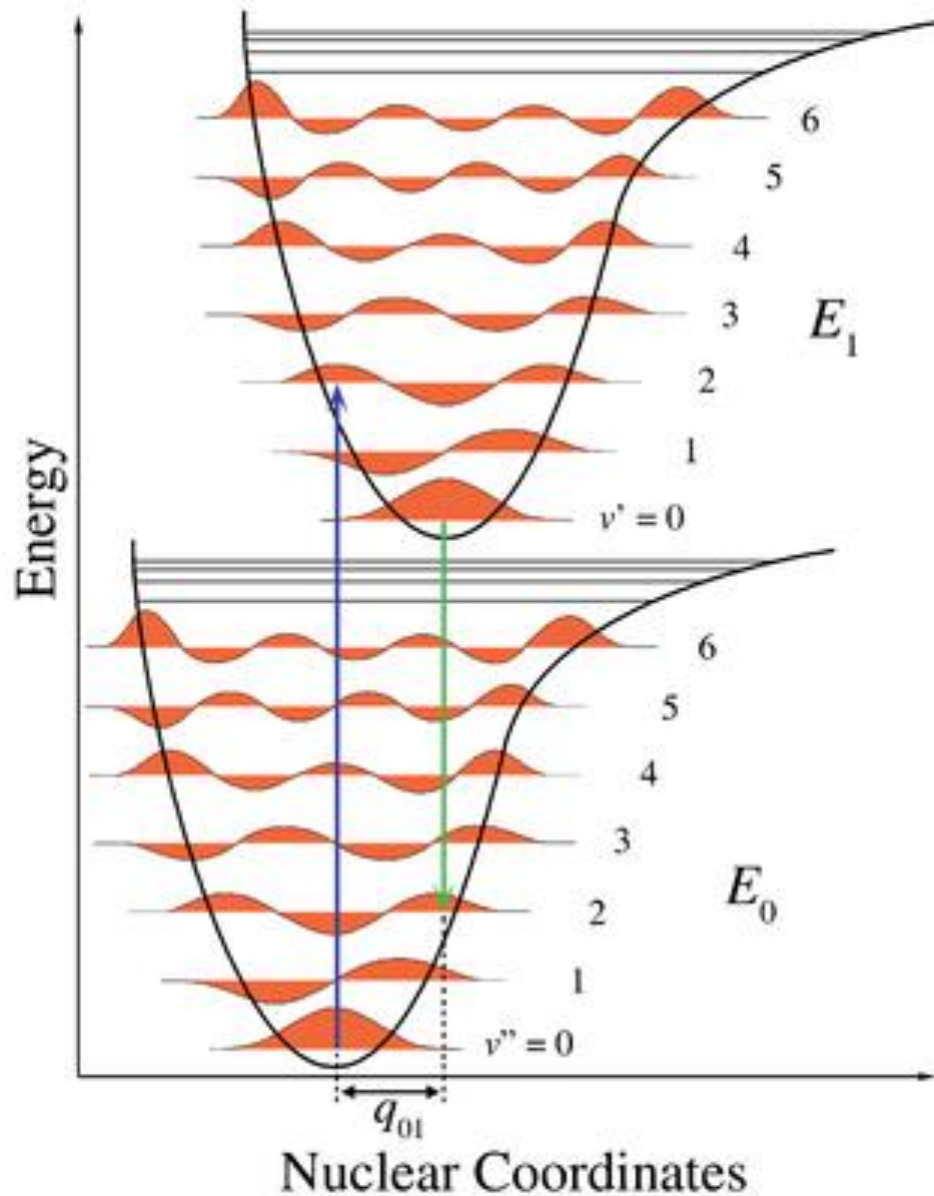
Second derivative term for the non-adiabatic matrix element is **derivative of the first matrix derivative and product of two first derivative matrix**, so if first derivative matrix is small these terms will also be small

# Non-adiabatic Matrix Element

$$\begin{aligned}
 & \sum_m^{\infty} C_{nm} \chi_m(\mathbf{R}) \\
 & \equiv \sum_m^{\infty} \left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| m \right\rangle \chi_m(\mathbf{R}) + \sum_m^{\infty} \left\langle n \left| -\sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| m \right\rangle \nabla_I \chi_m(\mathbf{R}) \\
 & \left\langle n \left| -\sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| m \right\rangle = \sum_{I=1}^N -\frac{1}{M_I} \frac{\langle n | \nabla_I H^0 | m \rangle}{E_m(\mathbf{R}) - E_n(\mathbf{R})} \\
 & \left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| m \right\rangle = -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I \langle n | \nabla_I | m \rangle \\
 & \quad + \frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \sum_{l=1}^N \langle l | \nabla_I | n \rangle \langle l | \nabla_I | m \rangle
 \end{aligned}$$

# Normal Potential Surface

BO is good



This is when BO is good?

How much is error from BO approximation?

# Present State of Art Gas Phase

## Water results: using time

**Table 1.** Predicted VBOs for various theoretical models. Results are presented as differences from the observed values (Obs) in  $\text{cm}^{-1}$  (34). The standard deviation,  $\sigma$ , is for all experimentally known VBOs. 5Z, aug-cc-pV5Z MRCI calculation; 6Z, aug-cc-pV6Z MRCI calculation; CBS, MRCI calculation extrapolated to the complete basis set limit; PS, partially augmented cc-pV5Z MRCI

calculation plus core correlation owing to Partridge and Schwenke (5); CBS + CV, CBS with core correlation correction; Rel, CBS + CV with relativistic effects included; QED, Rel with one electron Lamb shift included; BODC, QED with Born–Oppenheimer diagonal correction included; Nonad, BODC with vibrational nonadiabatic effects included. Dashes indicate no data available.

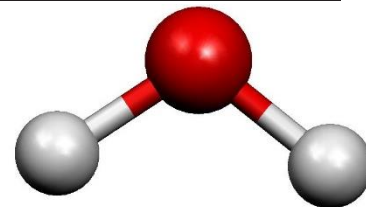
State	Obs	5Z	6Z	CBS	PS	CBS + CV	Rel	QED	BODC	Nonad
(010)	1,594.74	-2.99	-2.29	-0.32	-2.79	0.48	-0.81	-0.75	-0.32	-0.27
(020)	3,151.63	-4.22	-2.38	-0.78	-5.38	1.16	-1.57	-1.44	-0.56	-0.44
(030)	4,666.78	-6.30	-3.24	-1.52	-7.91	2.05	-2.37	-2.16	-0.78	-0.60
(040)	6,134.01	-9.81	-5.53	-2.74	-10.38	3.20	-3.30	-3.00	-1.06	-0.83
(050)	7,542.43	-14.70	-9.18	-4.71	-12.90	4.82	-4.45	-4.02	-1.41	-1.14
(101)	7,249.81	12.51	10.76	9.32	-4.78	-5.35	1.70	1.43	0.60	2.00
(201)	10,613.35	18.72	16.46	13.97	-6.96	-7.47	2.98	2.57	1.23	-
(301)	13,830.93	25.72	22.81	18.74	-8.41	-8.95	4.59	4.06	2.05	-
(401)	16,898.84	32.56	28.92	23.06	-9.47	-10.17	6.11	5.49	2.74	-
(501)	19,781.10	40.72	35.96	28.68	-9.31	-10.72	9.04	8.28	4.65	-
(601)	22,529.44	51.14	43.41	34.17	-7.61	-11.88	11.69	10.81	5.94	-
(701)	25,120.27	63.29	51.75	38.66	-5.49	-13.13	13.70	12.75	6.46	-
All	$\sigma$	22.84	19.74	16.56	10.44	7.85	4.23	3.83	1.90	-

Complete basis set gets you to  $15 \text{ cm}^{-1}$  accuracy

Addition of core valence gets you to  $8 \text{ cm}^{-1}$

Relativistic lowers  $4 \text{ cm}^{-1}$ , QED does not change much  
and addition of nonBO gets you to  $2 \text{ cm}^{-1}$

Include transitions to  $30,000 \text{ cm}^{-1}$  O L Polyansky et al. Science 299, 539 (





# Non-adiabatic Matrix Element

$$\sum_m^{\infty} C_{nm} \chi_m(\mathbf{R})$$

$$\equiv \sum_m^{\infty} \left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| m \right\rangle \chi_m(\mathbf{R}) + \sum_m^{\infty} \left\langle n \left| -\sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| m \right\rangle \nabla_I \chi_m(\mathbf{R})$$

$$\left\langle n \left| -\sum_{I=1}^N \frac{1}{M_I} \nabla_I \right| m \right\rangle = \sum_{I=1}^N -\frac{1}{M_I} \frac{\langle n | \nabla_I H^0 | m \rangle}{E_m(\mathbf{R}) - E_n(\mathbf{R})}$$

$$\left\langle n \left| -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I^2 \right| m \right\rangle = -\frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \nabla_I \langle n | \nabla_I | m \rangle$$

$$+ \frac{1}{2} \sum_{I=1}^N \frac{1}{M_I} \sum_{l=1}^N \langle l | \nabla_I | n \rangle \langle l | \nabla_I | m \rangle$$

What happens if  
nergies of two  
electronic states  
are close to each  
other!

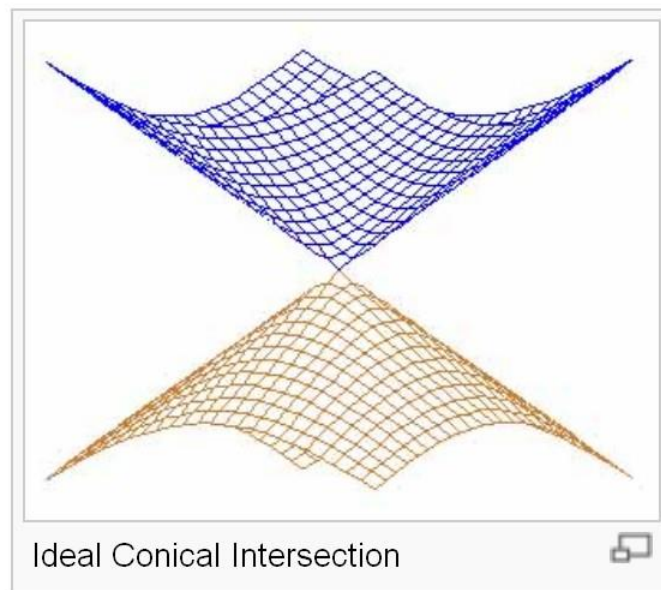
$$E_m(\mathbf{R}) - E_n(\mathbf{R}) \rightarrow 0$$

# Conical intersection

From Wikipedia, the free encyclopedia

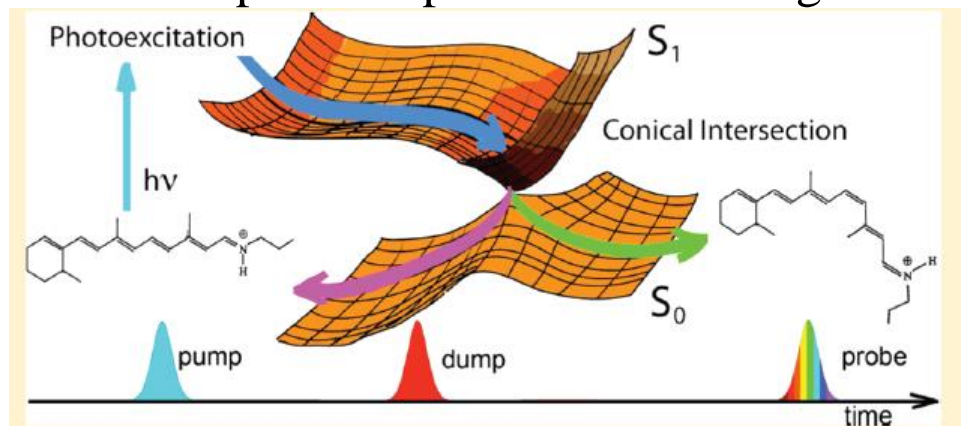
In **quantum chemistry**, a **conical intersection** of two **potential energy surfaces** is the set of **molecular geometry** points where the two **potential energy surfaces** are **degenerate** (intersect) and the **non-adiabatic couplings** between these two states are non-vanishing. In the vicinity of conical intersections, the **Born-Oppenheimer approximation** breaks down, allowing non-adiabatic processes to take place. The location and characterization of conical intersections are therefore essential to the understanding to a wide range of reactions, such as photo-reactions, explosion and combustion reactions, etc.

Conical intersections are ubiquitous in both trivial and non-trivial chemical systems.

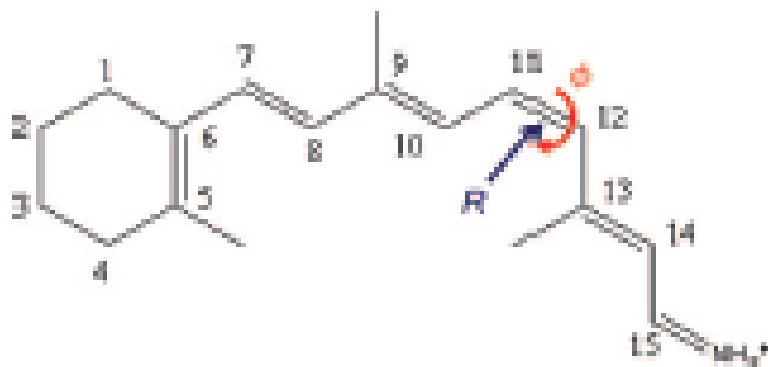


# Nonadiabatic Effects curve crossing

## Retinal Chromophore Population Branching at Conical Intersection



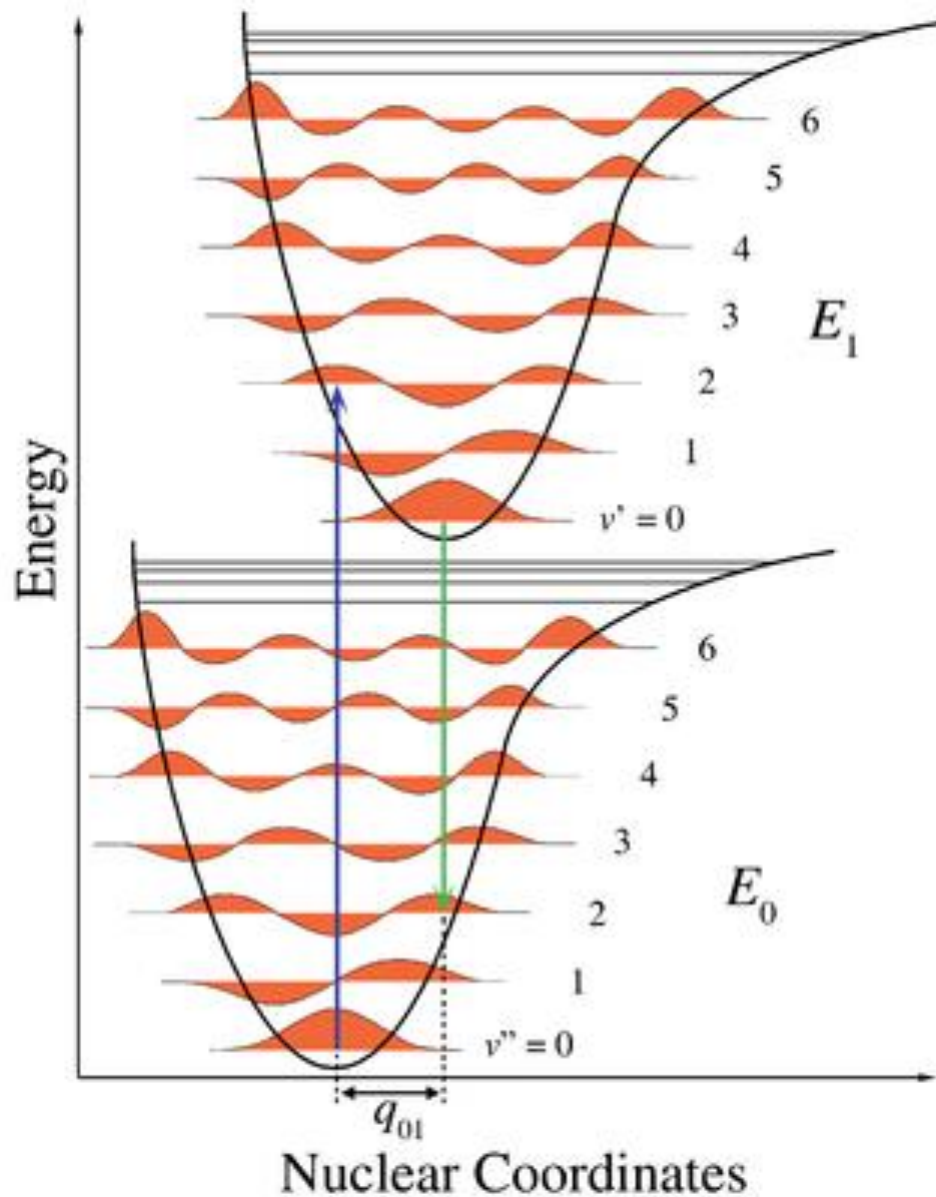
Parmigiani et al.  
JACS, 134, 955 (2011).



T Ishida et al. JPC A 113, 4356 (2003)

# Normal Potential Surface

BO is good

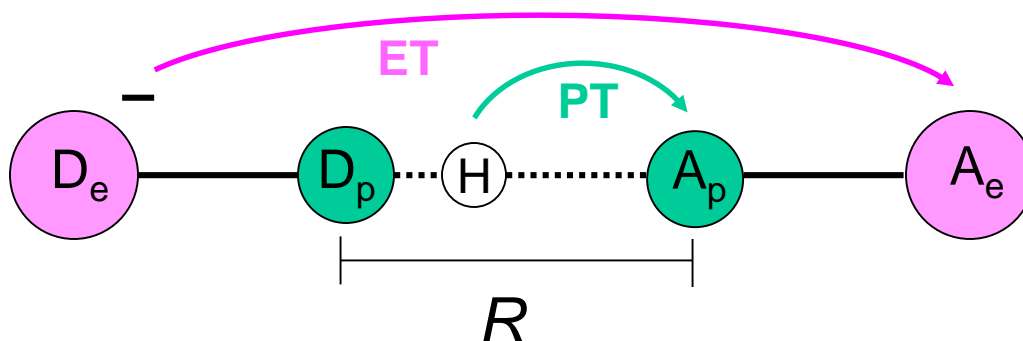


When will BO not be good?

Steal FROM Sharon Hammes-Schiffer's web page  
<http://www.scs.illinois.edu/schiffer/>

# Theory of Proton-Coupled Electron Transfer

Sharon Hammes-Schiffer  
Pennsylvania State University

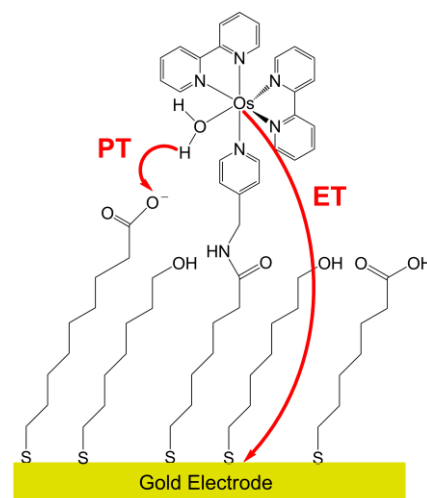
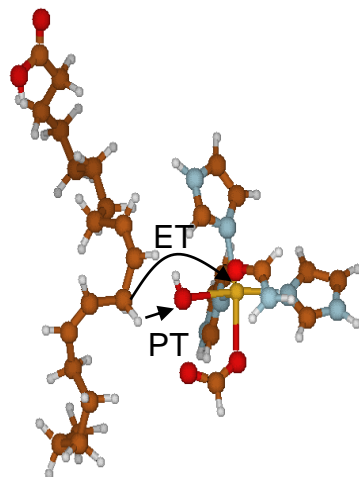
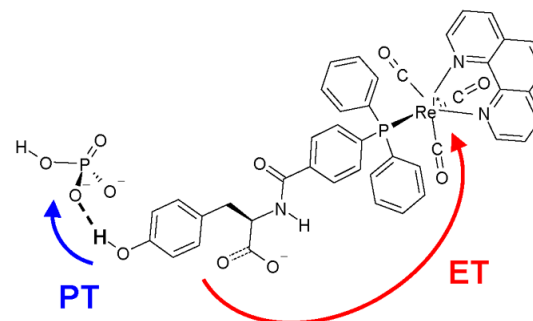
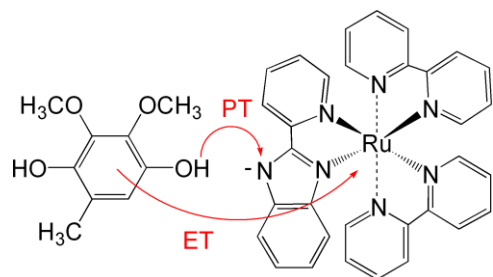
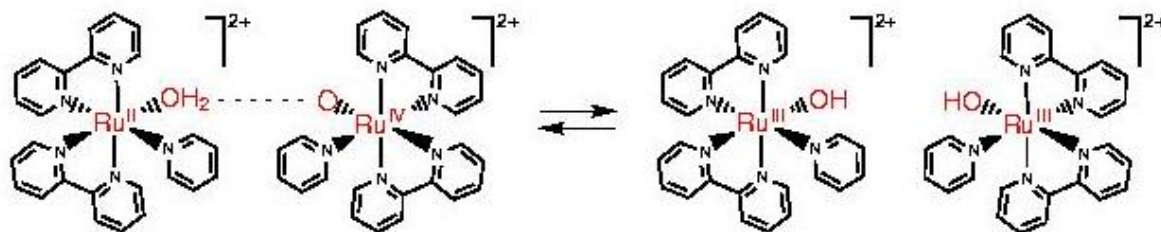


Note: Much of this information, along with more details, additional rate constant expressions, and full references to the original papers, is available in the following JPC Feature Article:

Hammes-Schiffer and Soudackov, JPC B **112**, 14108 (2008)

Copyright 2009, Sharon Hammes-Schiffer, Pennsylvania State University

# Examples of Concerted PCET



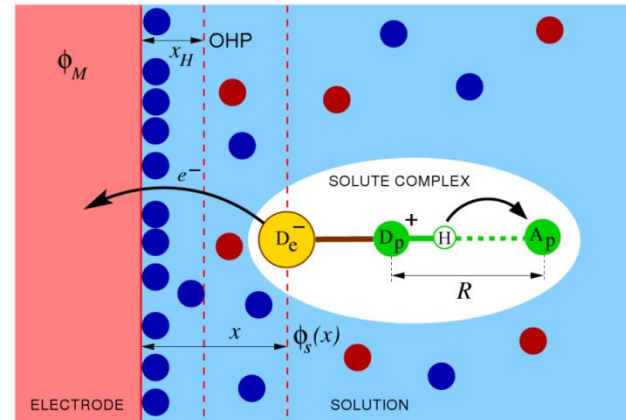
# Importance of PCET

- Biological processes
  - photosynthesis
  - respiration
  - enzyme reactions
  - DNA



Cytochrome c oxidase  
 $4e^- + 4H^+ + O_2 \rightarrow 2(H_2O)$

- Electrochemical processes
  - fuel cells
  - solar cells
  - energy devices



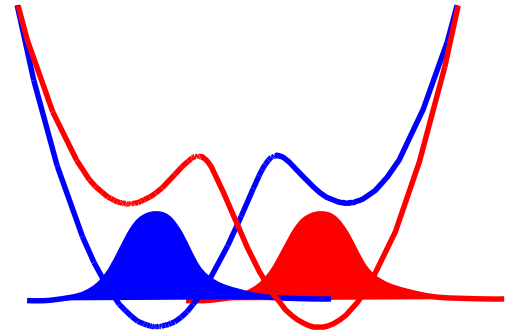


# Electron-Proton Vibronic States

## H treated quantum mechanically

Calculate proton vibrational states  
for electronic states I and II

- electronic states:  $\Psi_I(\mathbf{r}_e, \mathbf{r}_p)$ ,  $\Psi_{II}(\mathbf{r}_e, \mathbf{r}_p)$
- proton vibrational states:  $\varphi_{I\mu}(\mathbf{r}_p)$ ,  $\varphi_{II\nu}(\mathbf{r}_p)$



Reactant vibronic states:  $\Phi^I(\mathbf{r}_e, \mathbf{r}_p) = \Psi_I(\mathbf{r}_e, \mathbf{r}_p) \varphi_{I\mu}(\mathbf{r}_p)$

Product vibronic states:  $\Phi^{II}(\mathbf{r}_e, \mathbf{r}_p) = \Psi_{II}(\mathbf{r}_e, \mathbf{r}_p) \varphi_{II\nu}(\mathbf{r}_p)$

Coupling between reactant and product vibronic states typically much smaller than thermal energy because of small overlap →  
Describe reactions in terms of nonadiabatic transitions between reactant and product vibronic states

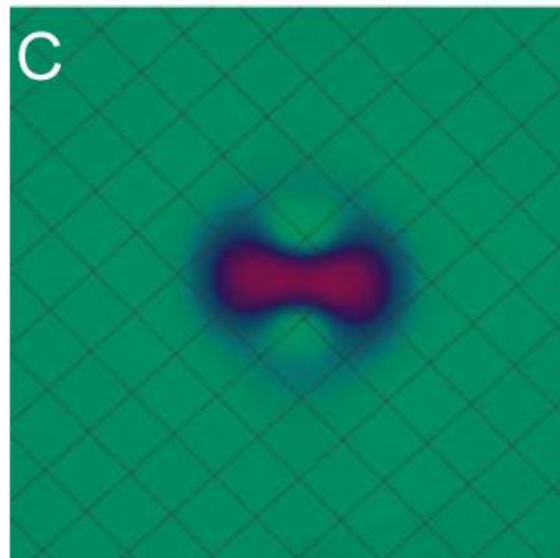
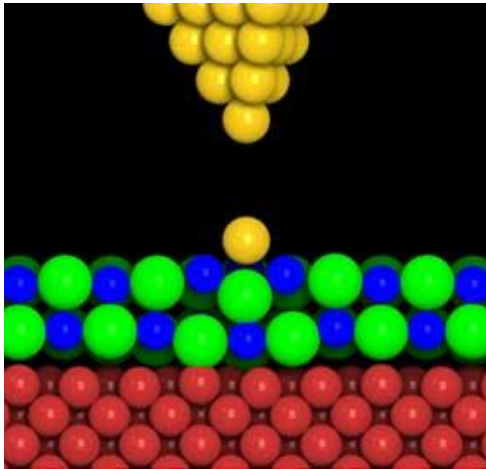
Vibronic states depend parametrically on other nuclear coords



# Examples of Nonadiabatic effects

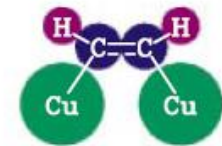
# Molecular Vibrational Microscopy

HCCH on Cu surface

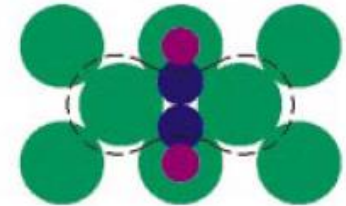


D

Side

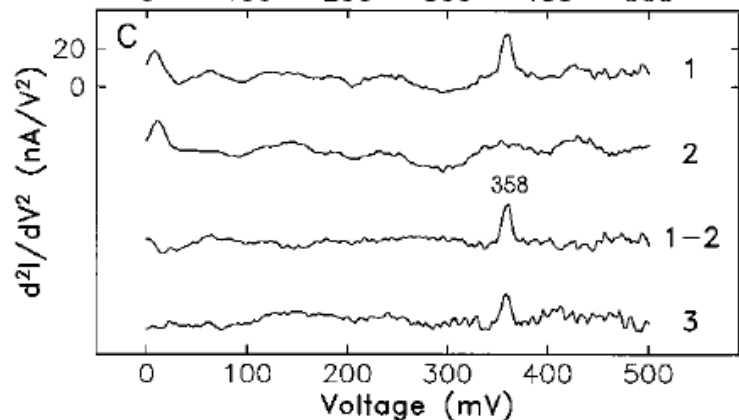
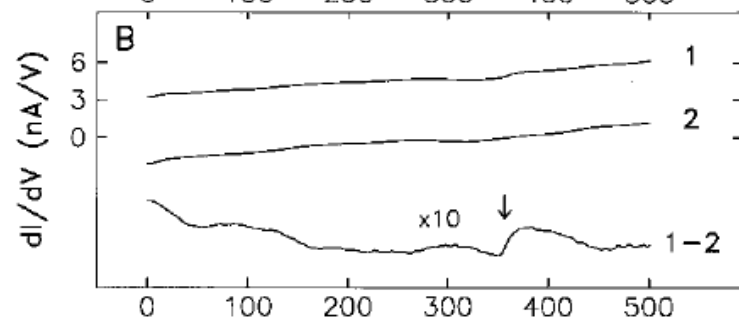
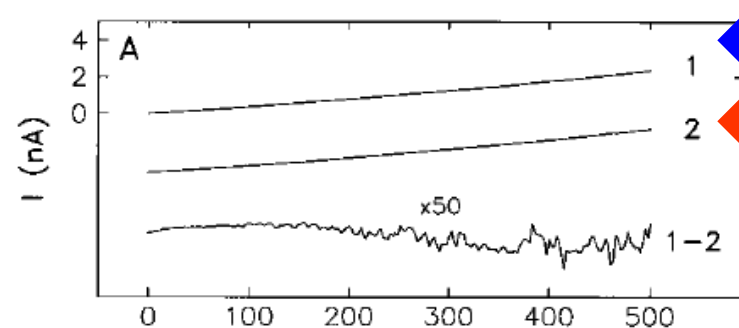


Top

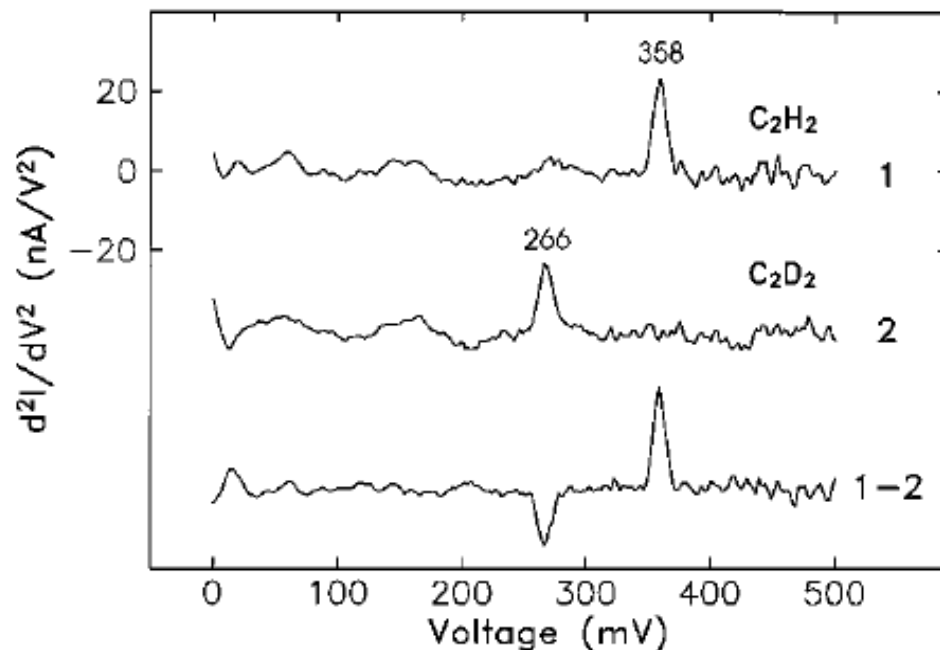


# Molecular Vibrational Microscopy 2

Current as function of voltage

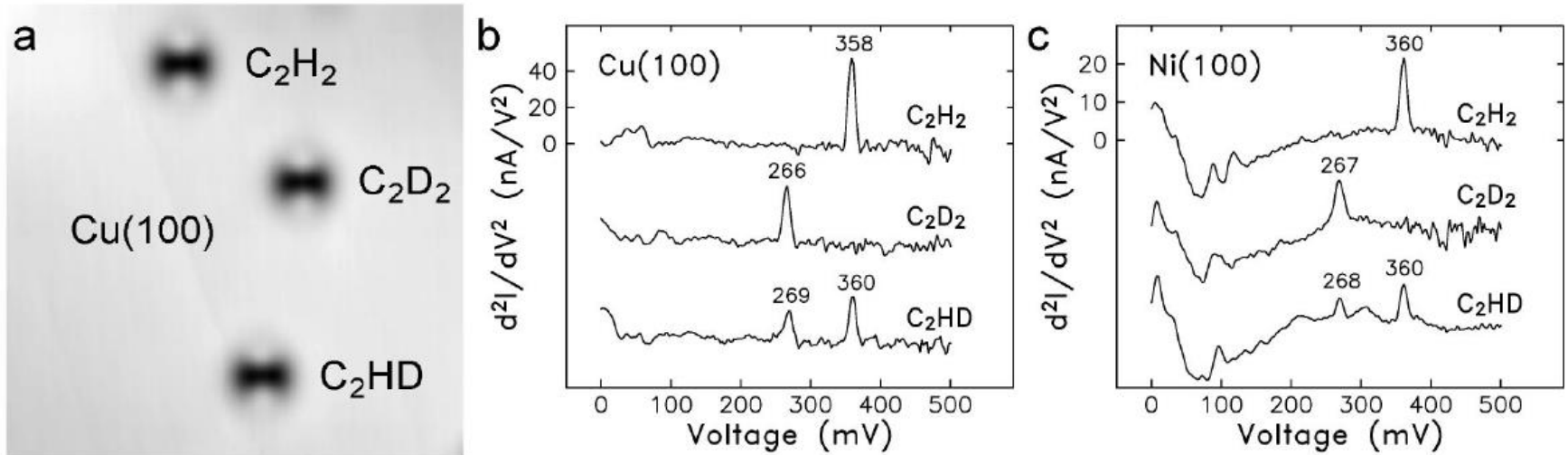


Clean Cu surface  
HCCH on Cu surface



Putting on HCCH and DCCD

# What about HCCD



$$\begin{aligned} 358 \text{ meV} &= 2887 \text{ cm}^{-1} \\ 266 \text{ meV} &= 2145 \text{ cm}^{-1} \\ 2887/2145 &= 1.35 \sim \sqrt{2} \end{aligned}$$

Electron motion is exciting  
vibrational motion of nuclei

# LCAO Approximation for Diatomic Molecules

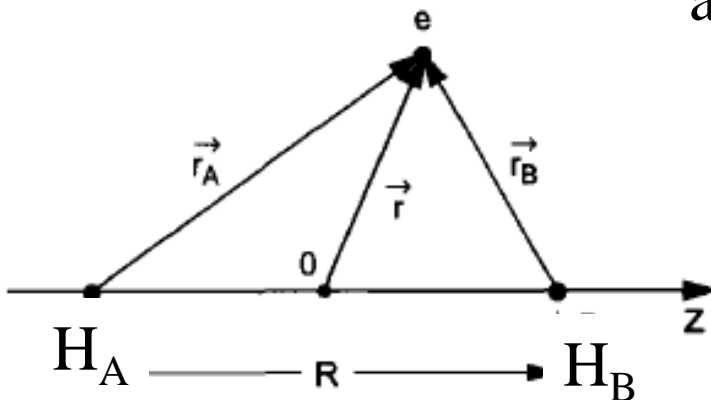
Kaito Takahashi

# $\text{H}_2^+$ Most Simple Diatom

First find ways to solve the electronic wave function when given distance between to hydrogen nuclei

$$\left[ -\frac{1}{2} \nabla^2 + \left[ \frac{1}{|\mathbf{R}|} - \frac{1}{|\mathbf{r}_A|} - \frac{1}{|\mathbf{r}_B|} \right] \right] \Psi_n^{el}(\mathbf{r}; \mathbf{R}) = E_n(\mathbf{R}) \Psi_n^{el}(\mathbf{r}; \mathbf{R})$$

- Solve this problem:
1. Use exact solution
  2. Use **linear combination of atomic orbital** approximation



# Atomic Orbital Review

TABLE 7.2

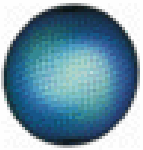
The Hydrogen-like Radial Wave Functions,  $R_{nl}(r)$ , for  $n = 1, 2$ , and  $3$ <sup>a</sup>

	$\text{Exp}(-\zeta r)$
$R_{10}(r) = 2 \left( \frac{Z}{a_0} \right)^{3/2} e^{-\rho}$	For atom
$R_{20}(r) = \left( \frac{Z}{2a_0} \right)^{3/2} (2 - \rho) e^{-\rho/2}$	$\zeta = \text{nuclear charge}$
$R_{21}(r) = \frac{1}{\sqrt{3}} \left( \frac{Z}{2a_0} \right)^{3/2} \rho e^{-\rho/2}$	
$R_{30}(r) = \frac{2}{27} \left( \frac{Z}{3a_0} \right)^{3/2} (27 - 18\rho + 2\rho^2) e^{-\rho/3}$	
$R_{31}(r) = \frac{1}{27} \left( \frac{2Z}{3a_0} \right)^{3/2} \rho(6 - \rho) e^{-\rho/3}$	
$R_{32}(r) = \frac{4}{27\sqrt{10}} \left( \frac{Z}{3a_0} \right)^{3/2} \rho^2 e^{-\rho/3}$	

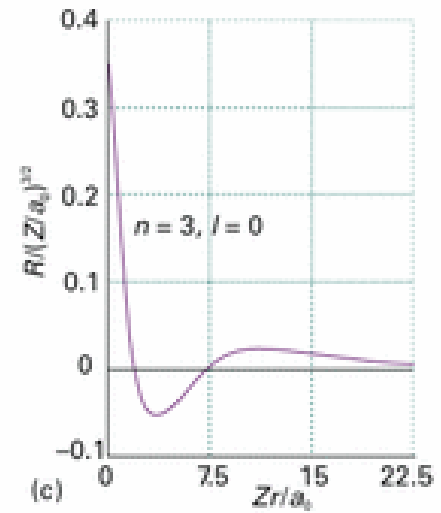
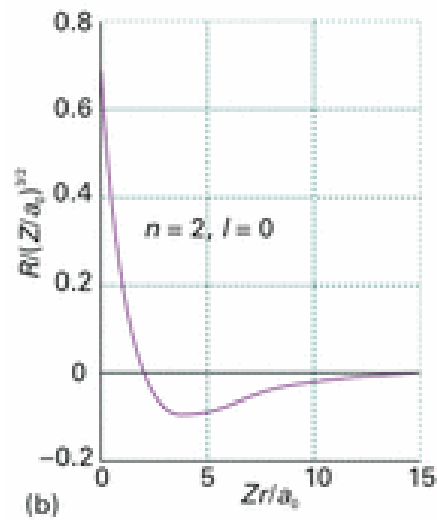
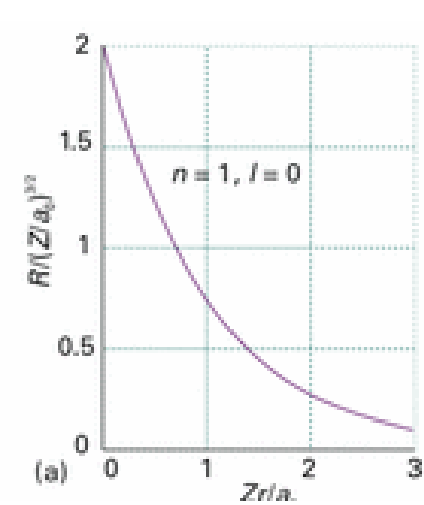
a. The quantity  $Z$  is the nuclear charge, and  $\rho = Zr/a_0$ , where  $a_0$  is the Bohr radius.

Table 9.3 The spherical harmonics

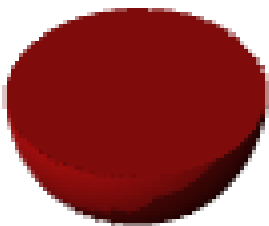
$l$	$m_l$	$Y_{lm_l}(\theta, \phi)$
0	0	$\left( \frac{1}{4\pi} \right)^{1/2}$
1	0	$\left( \frac{3}{4\pi} \right)^{1/2} \cos \theta$
	$\pm 1$	$\mp \left( \frac{3}{8\pi} \right)^{1/2} \sin \theta e^{\pm i\phi}$
2	0	$\left( \frac{5}{16\pi} \right)^{1/2} (3 \cos^2 \theta - 1)$
	$\pm 1$	$\mp \left( \frac{15}{8\pi} \right)^{1/2} \cos \theta \sin \theta e^{\pm i\phi}$
	$\pm 2$	$\left( \frac{15}{32\pi} \right)^{1/2} \sin^2 \theta e^{\pm 2i\phi}$
3	0	$\left( \frac{7}{16\pi} \right)^{1/2} (5 \cos^3 \theta - 3 \cos \theta)$
	$\pm 1$	$\mp \left( \frac{21}{64\pi} \right)^{1/2} (5 \cos^2 \theta - 1) \sin \theta e^{\pm i\phi}$
	$\pm 2$	$\left( \frac{105}{32\pi} \right)^{1/2} \sin^2 \theta \cos \theta e^{\pm 2i\phi}$
	$\pm 3$	$\mp \left( \frac{35}{64\pi} \right)^{1/2} \sin^3 \theta e^{\pm 3i\phi}$



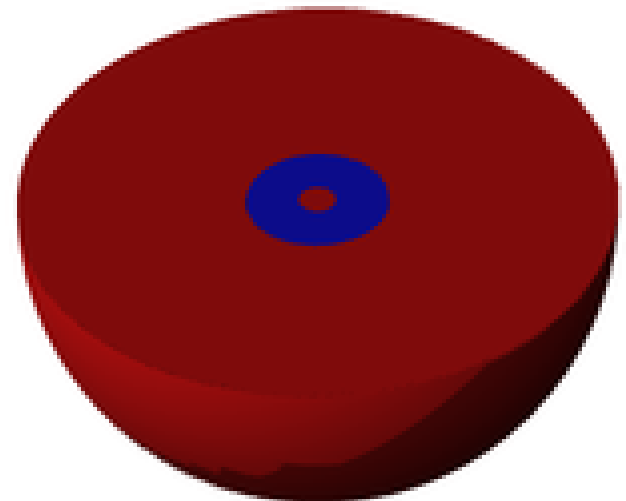
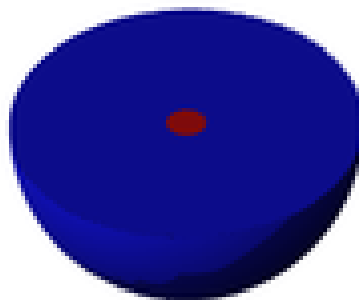
# s Wavefunction



1s

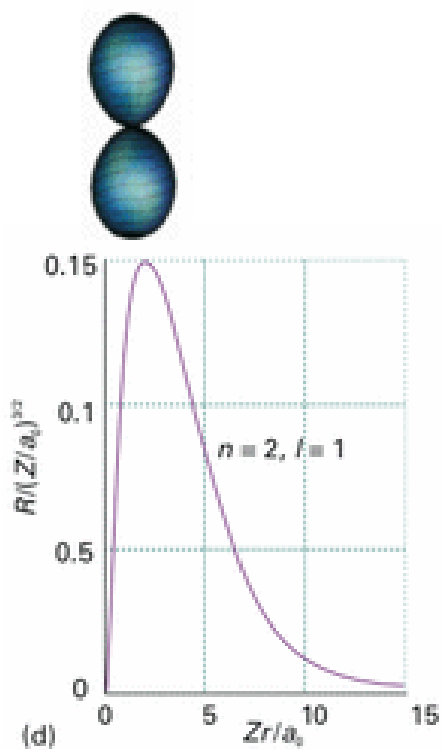


2s

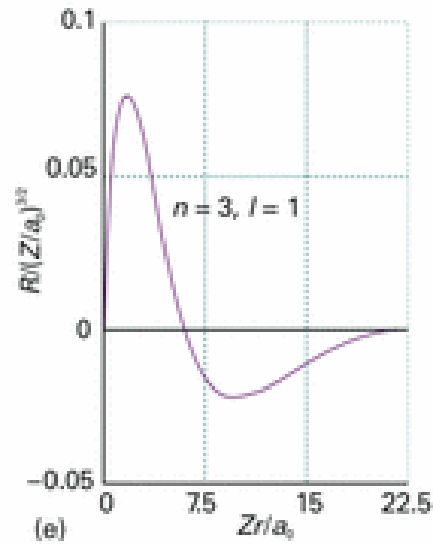
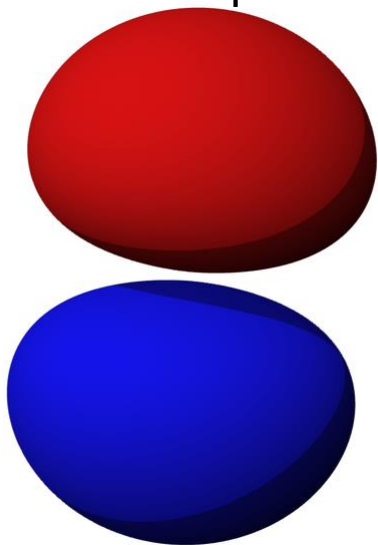




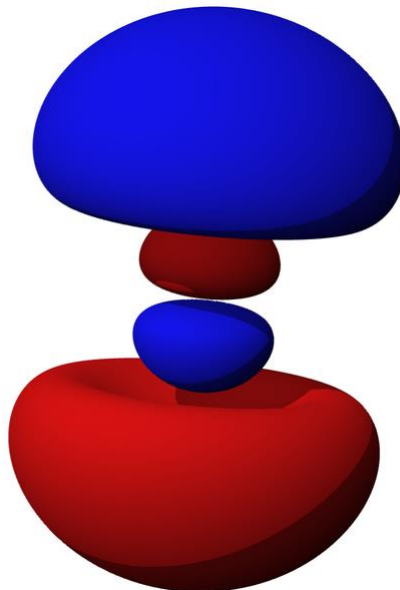
# p Wavefunction



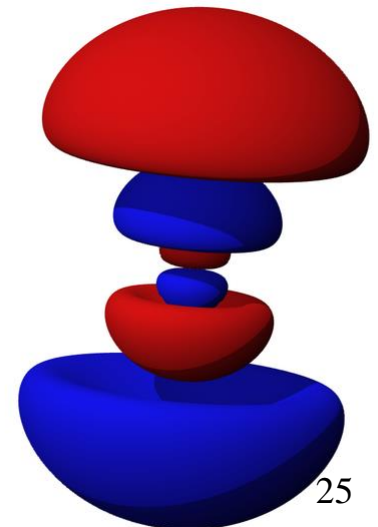
2p



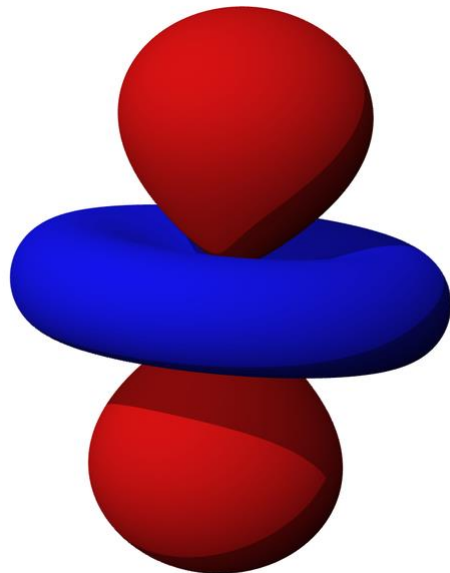
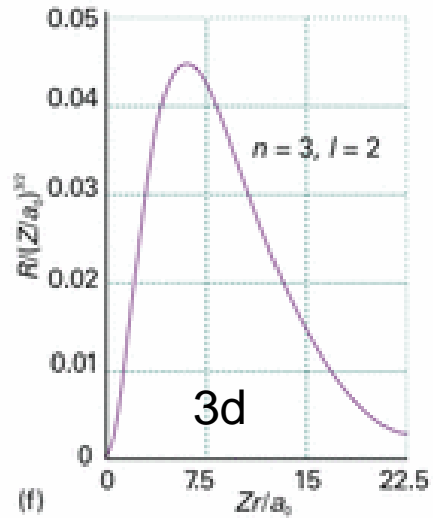
3p



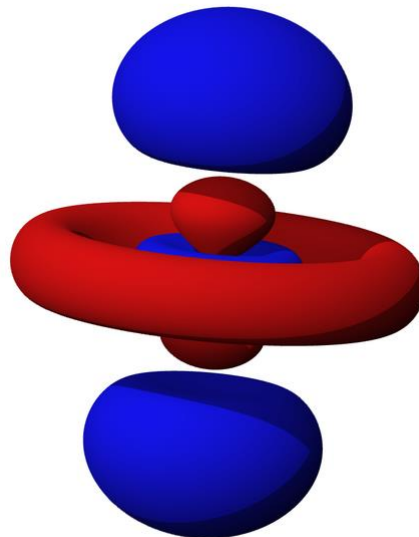
4p



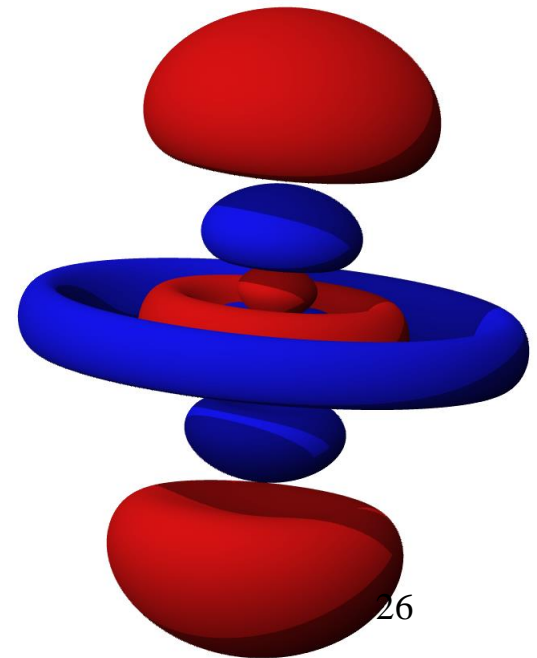
# d Wavefunction



4d



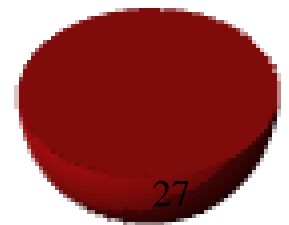
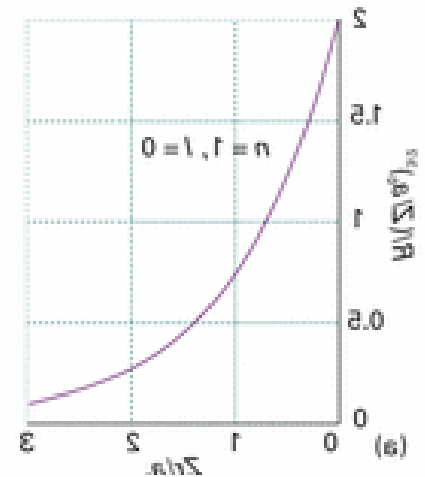
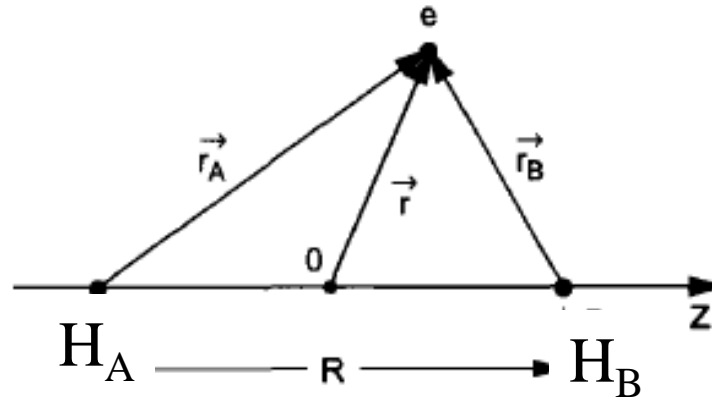
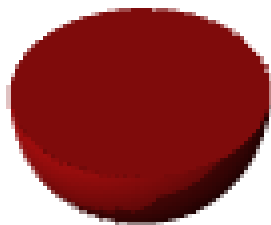
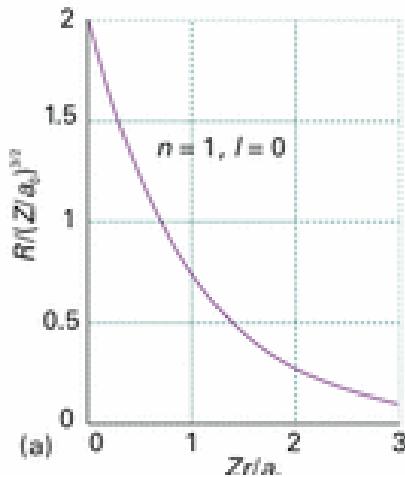
5d



# LCAO

To make the molecular orbital (the electronic wavefunction for the molecule) let just add up each atomic orbital (electronic wavefunction for the atoms making the molecule)

$$\Psi_n^{el}(\mathbf{r}) = C_A \psi_{1S,A}(\mathbf{r}) + C_B \psi_{1S,B}(\mathbf{r})$$



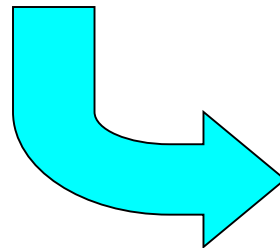
# Variational Theory 1

$$E_{exact} = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} < E_{trial} = \frac{\langle \psi_{trial} | \hat{H} | \psi_{trial} \rangle}{\langle \psi_{trial} | \psi_{trial} \rangle}$$

$$\psi_{trial} = \sum_{i=1}^n c_i \phi_i \quad E_{trial} = \frac{\int \sum_{i=1}^n c_i^* \phi_i^* \hat{H} \sum_{j=1}^n c_j \phi_j d\tau}{\int \sum_{i=1}^n c_i^* \phi_i^* \sum_{j=1}^n c_j \phi_j d\tau} = \frac{\sum_i \sum_j c_i^* H_{ij} c_j}{\sum_i \sum_j c_i^* S_{ij} c_j}$$

$$H_{ij} = \int \phi_i^* \hat{H} \phi_j d\tau$$

$$S_{ij} = \int \phi_i^* \phi_j d\tau$$



$$\sum_i \sum_j c_i^* c_j (H_{ij} - E_{trial} S_{ij}) = 0$$

Here  $E_{trial}$  depends on the value of  $c_i^*$  or  $c_j$   
 But  $H_{ij}$  and  $S_{ij}$  only depend on the basis set  $\phi_i$

# Variational Theory 2

$$\sum_i \sum_j c_i^* c_j (H_{ij} - E_{trial} S_{ij}) = 0$$

Take derivative with  $c_i^*$

$$\sum_j c_j (H_{i'j} - E_{trial} S_{i'j}) + \sum_i \sum_j c_i^* c_j \left( -\frac{\partial E_{trial}}{\partial c_i^*} S_{ij} \right) = 0$$

Take derivative with  $c_j$

$$\sum_i c_i^* (H_{ij'} - E_{trial} S_{ij'}) + \sum_i \sum_j c_i^* c_j \left( -\frac{\partial E_{trial}}{\partial c_j} S_{ij} \right) = 0$$

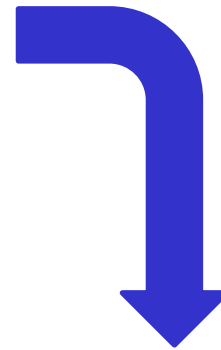
Due to stationary condition of the solution

$$\frac{\partial E_{trial}}{\partial c_j} = 0; \quad \frac{\partial E_{trial}}{\partial c_i^*} = 0$$

# Variational Theory

$$\sum_j c_j (H_{i'j} - E_{trial} S_{i'j}) = 0 \quad \text{for any } i'$$

$$\sum_i c_i (H_{ij'} - E_{trial} S_{ij'}) = 0 \quad \text{for any } j'$$

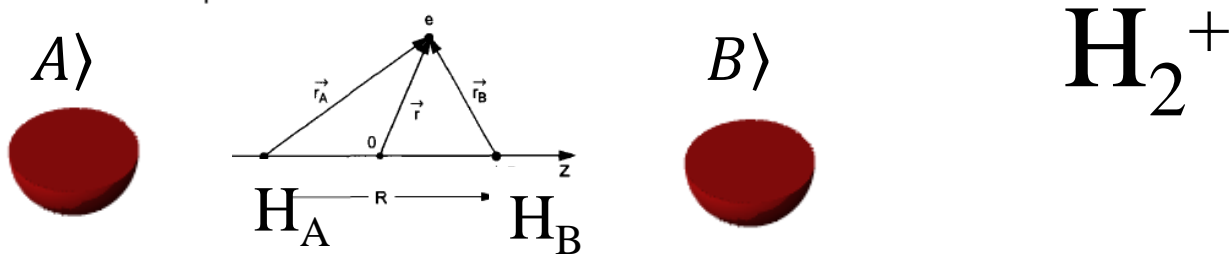


This means that if  $c_i \neq 0$  the following secular determinant is zero

$$\begin{vmatrix} H_{11} - E_{trial} S_{11} & H_{12} - E_{trial} S_{12} & \dots & H_{1n} - E_{trial} S_{1n} \\ H_{21} - E_{trial} S_{21} & H_{22} - E_{trial} S_{22} & \dots & H_{2n} - E_{trial} S_{2n} \\ \dots & \dots & \dots & \dots \\ H_{n1} - E_{trial} S_{n1} & H_{n2} - E_{trial} S_{n2} & \dots & H_{nn} - E_{trial} S_{nn} \end{vmatrix} = 0$$

Solving the above equation will give you n values of  $E_{trial}$

Using each value of  $E_{trial}$  we obtain  $c_i$   $i=1 \rightarrow n$



$$\hat{H}\Psi_n^{el}(\mathbf{r};\mathbf{R}) = \left[ -\frac{1}{2}\nabla^2 + \left[ \frac{1}{|\mathbf{R}|} - \frac{1}{|\mathbf{r}_A|} - \frac{1}{|\mathbf{r}_B|} \right] \right] \Psi_n^{el}(\mathbf{r};\mathbf{R}) = E_n(\mathbf{R})\Psi_n^{el}(\mathbf{r};\mathbf{R})$$

$$\Psi_n^{el}(\mathbf{r};R) = C_A\psi_{1S,A}(\mathbf{r};R) + C_B\psi_{1S,B}(\mathbf{r};R) = C_A|A\rangle + C_B|B\rangle$$

$$\begin{vmatrix} H(R)_{AA} - E(R) & H(R)_{AB} - E(R)S(R) \\ H(R)_{BA} - E(R)S(R) & H(R)_{BB} - E(R) \end{vmatrix} = 0$$

$$H(R)_{AA} = \langle A|\hat{H}|A\rangle = \langle B|\hat{H}|B\rangle = H(R)_{BB}$$

$$H(R)_{AB} = \langle A|\hat{H}|B\rangle = \langle B|\hat{H}|A\rangle = H(R)_{BA}$$

$$S = \langle A|B\rangle = \langle B|A\rangle$$

$$\langle A|A\rangle = \langle B|B\rangle = 1$$

# Overlap Integral

$$S(R) = \langle A | B \rangle = \langle B | A \rangle \quad \text{Overlap Integral (R dependent)}$$

$$S(R) = \int \psi_{1sA}(r; R) \psi_{1sB}(r; R) dr$$

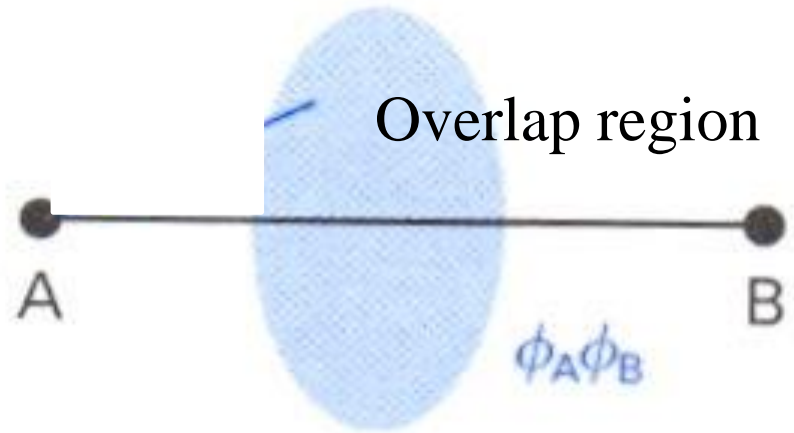
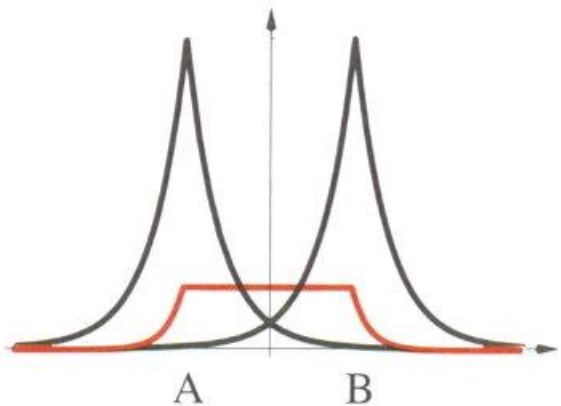
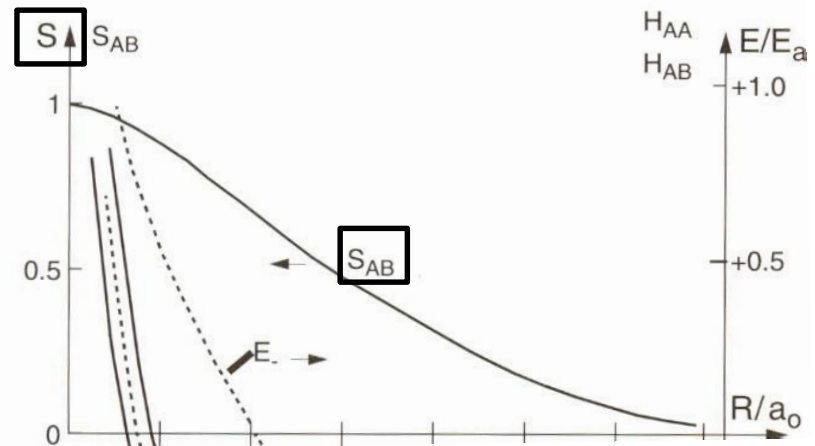


FIGURE 10.9

The overlap of the 1s orbitals centered on hydrogen nuclei located at A and B, a distance  $R$  apart. The orange curve is the product of the two orbitals.

$$S(R) = e^{-R} \left( 1 + R + \frac{R^2}{3} \right)$$



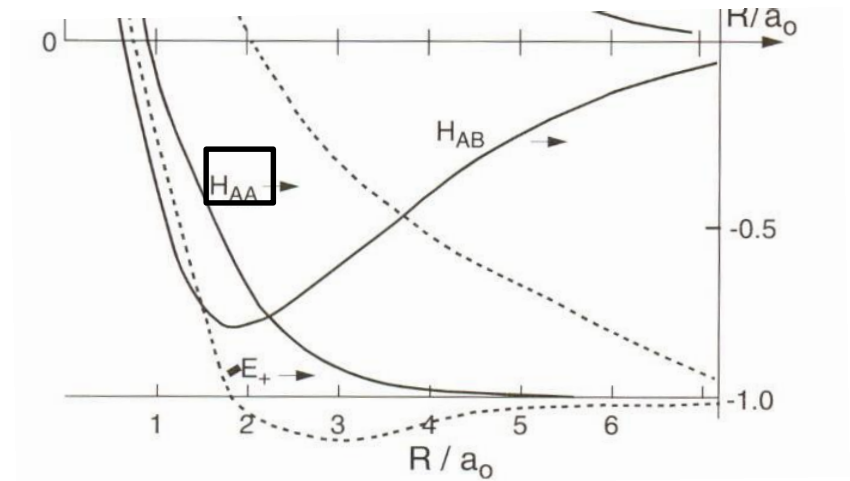
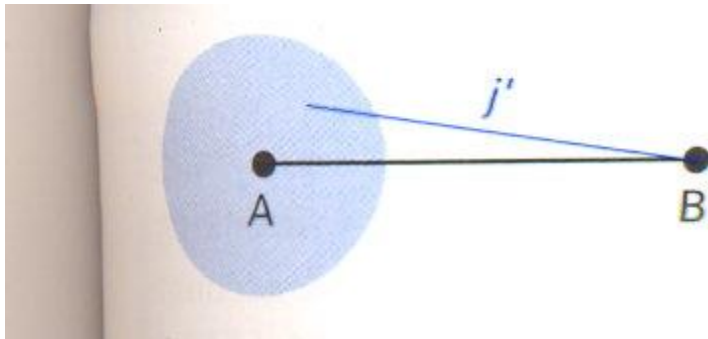


# Atomic Integral

$$H(R)_{AA} = \langle A | \hat{H} | A \rangle = \left\langle A \left| \left[ -\frac{1}{2} \nabla^2 + \left[ \frac{1}{|\mathbf{R}|} - \frac{1}{|\mathbf{r}_A|} - \frac{1}{|\mathbf{r}_B|} \right] \right] \right| A \right\rangle$$

$$= E_{1S} + \left\langle A \left| -\frac{1}{|\mathbf{r}_B|} \right| A \right\rangle + \frac{1}{|\mathbf{R}|} \langle A | A \rangle = E_{1S} + \left\langle A \left| -\frac{1}{|\mathbf{r}_B|} \right| A \right\rangle + \frac{1}{|\mathbf{R}|}$$

Electron is assigned one of the hydrogen orbitals: Coulomb Integral (Atomic Integral)



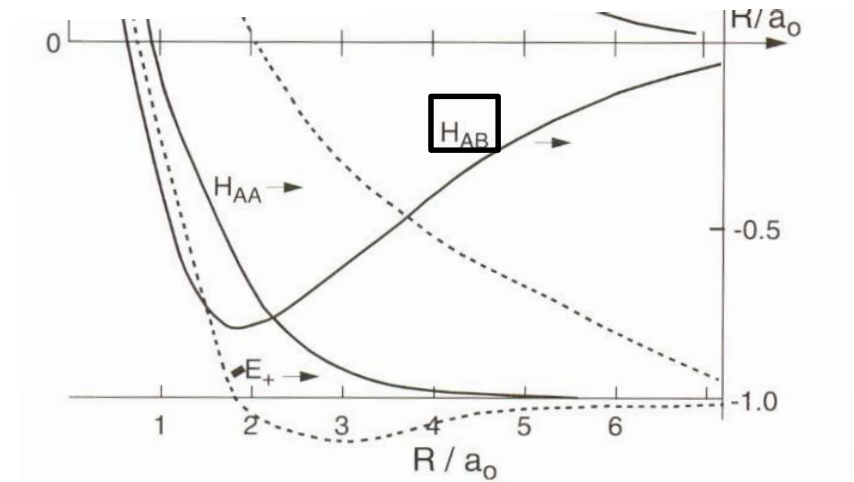
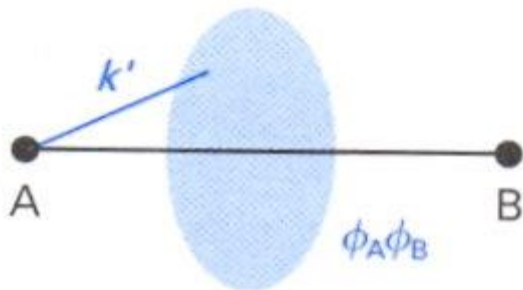
$H_{AA}$  is negative for most regions of  $R$

# Overlap Charge Integral

$$H(R)_{AB} = \langle A | \hat{H} | B \rangle = \left\langle A \left| -\frac{1}{2} \nabla^2 + \left[ \frac{1}{|\mathbf{R}|} - \frac{1}{|\mathbf{r}_A|} - \frac{1}{|\mathbf{r}_B|} \right] \right| B \right\rangle$$

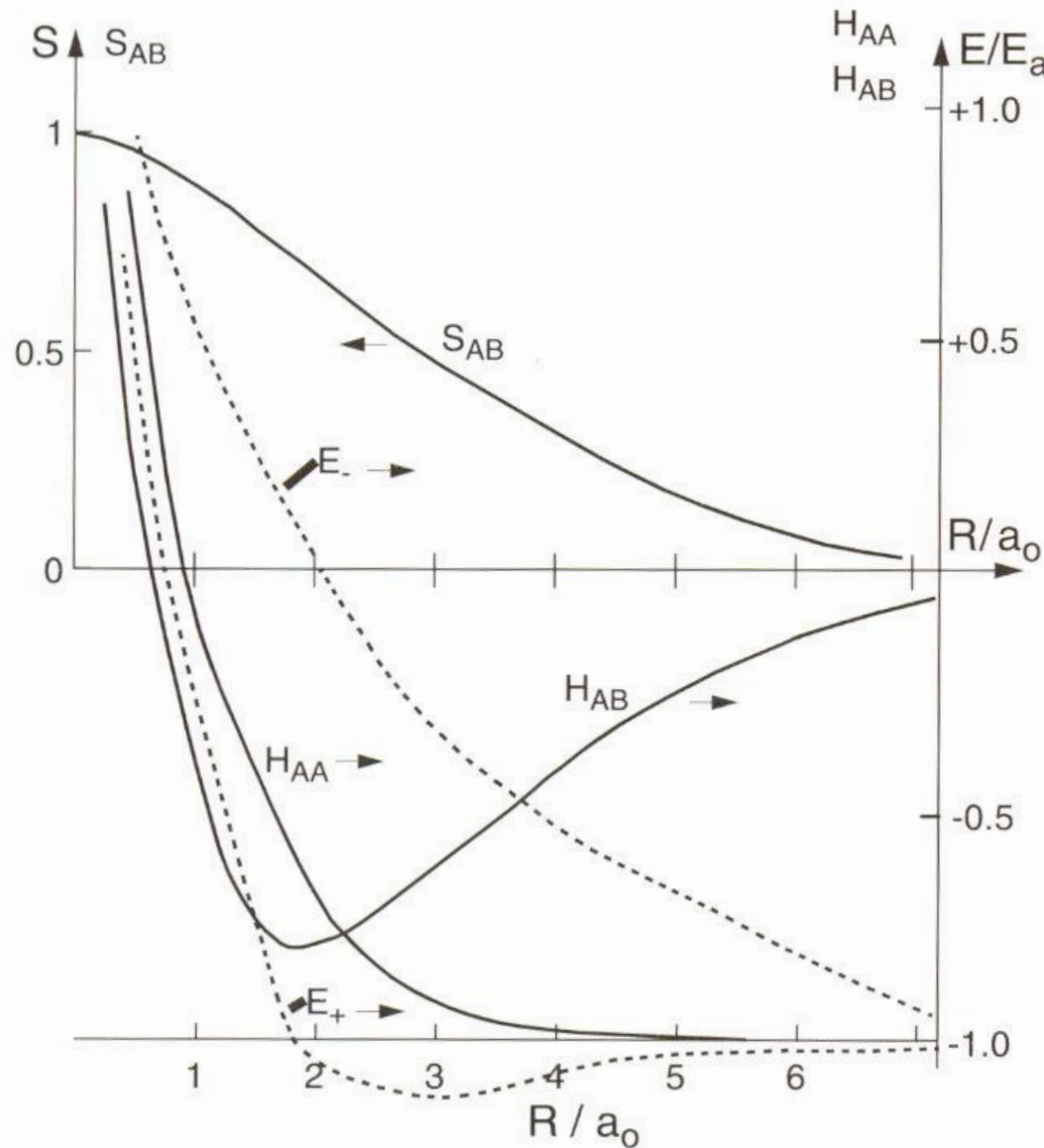
$$= \left\langle A \left| -\frac{1}{2} \nabla^2 \right| B \right\rangle + \left\langle A \left| -\frac{1}{|\mathbf{r}_A|} \right| B \right\rangle + \left\langle A \left| -\frac{1}{|\mathbf{r}_B|} \right| B \right\rangle + \frac{1}{|\mathbf{R}|} \langle A | B \rangle$$

Electron is assigned to both nuclei and we care how much it is overlapped: overlap charge integral



$H_{AB}$  is negative for most regions of  $R$

# R Dependence of Matrix Elements



At each point of  $R$  we can solve the secular equation and get  $E_+$  and  $E_-$

We get 2 answers because we have 2 basis set:  $1sA$  and  $1sB$

Dotted lines are the potential energy curve

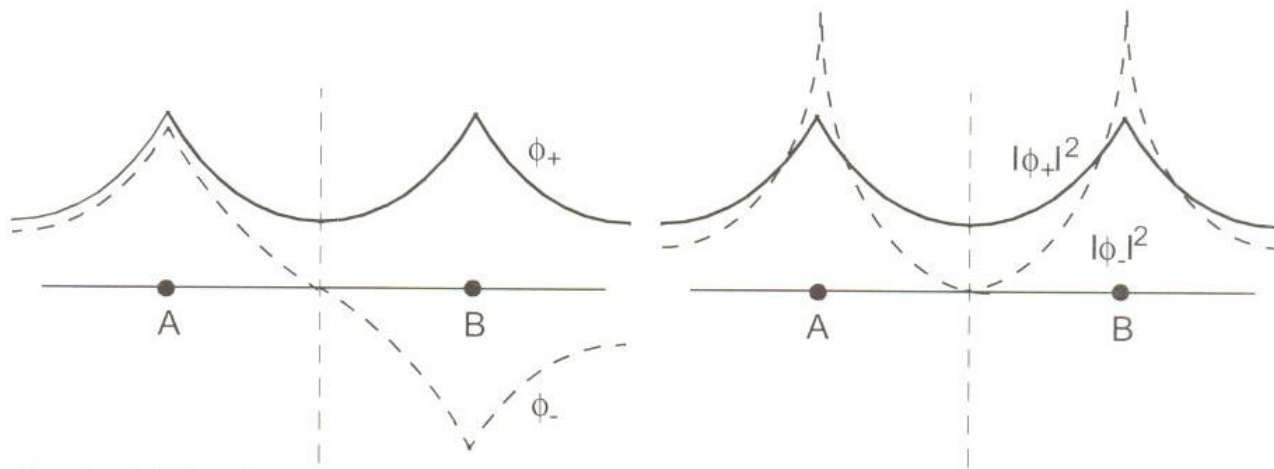
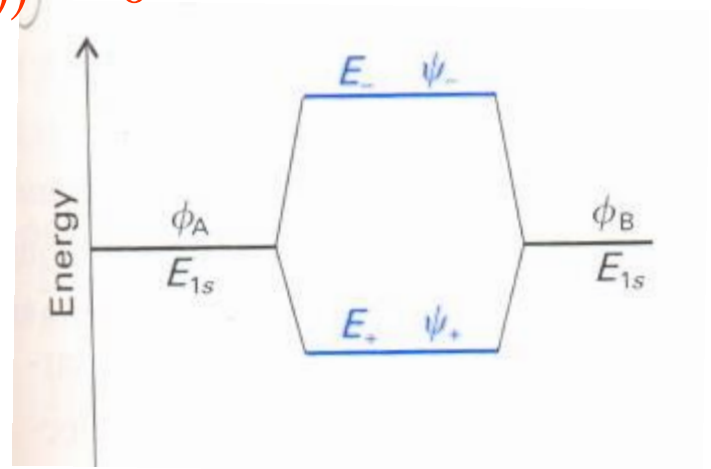
# Solve Secular Equation

$$\begin{vmatrix} H(R)_{AA} - E(R) & H(R)_{AB} - E(R)S \\ H(R)_{BA} - E(R)S & H(R)_{BB} - E(R) \end{vmatrix} = 0$$

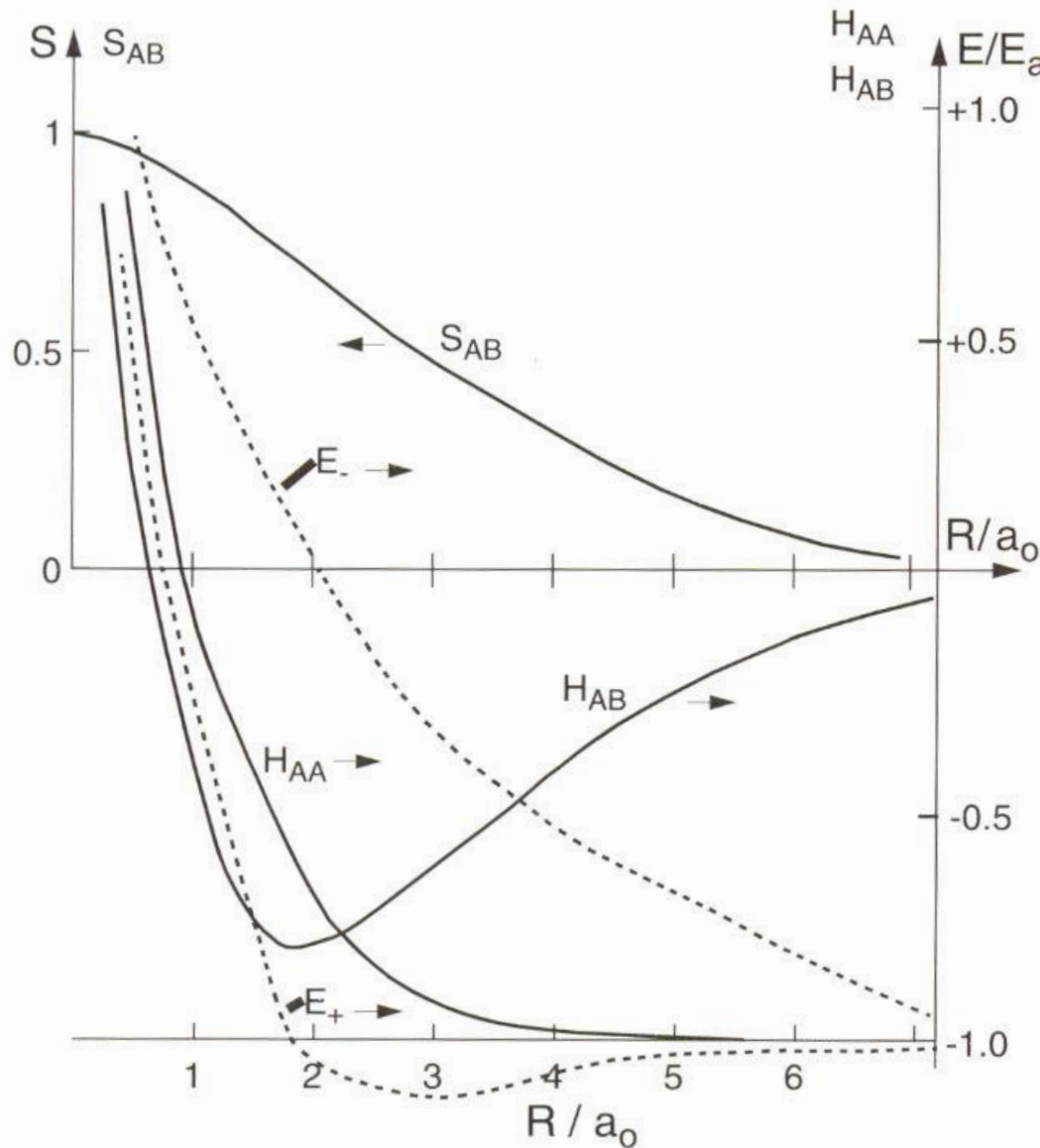
$$(H(R)_{AA} - E(R))^2 - (H(R)_{BA} - E(R)S(R))^2 = 0$$

$$E_{\pm}(R) = \frac{H(R)_{AA} \pm H(R)_{AB}}{1 \pm S(R)}$$

$$\Psi_{\pm} = |\pm\rangle = \frac{|A\rangle \pm |B\rangle}{\sqrt{2 \pm 2S}}$$



# R Dependence of Matrix Elements



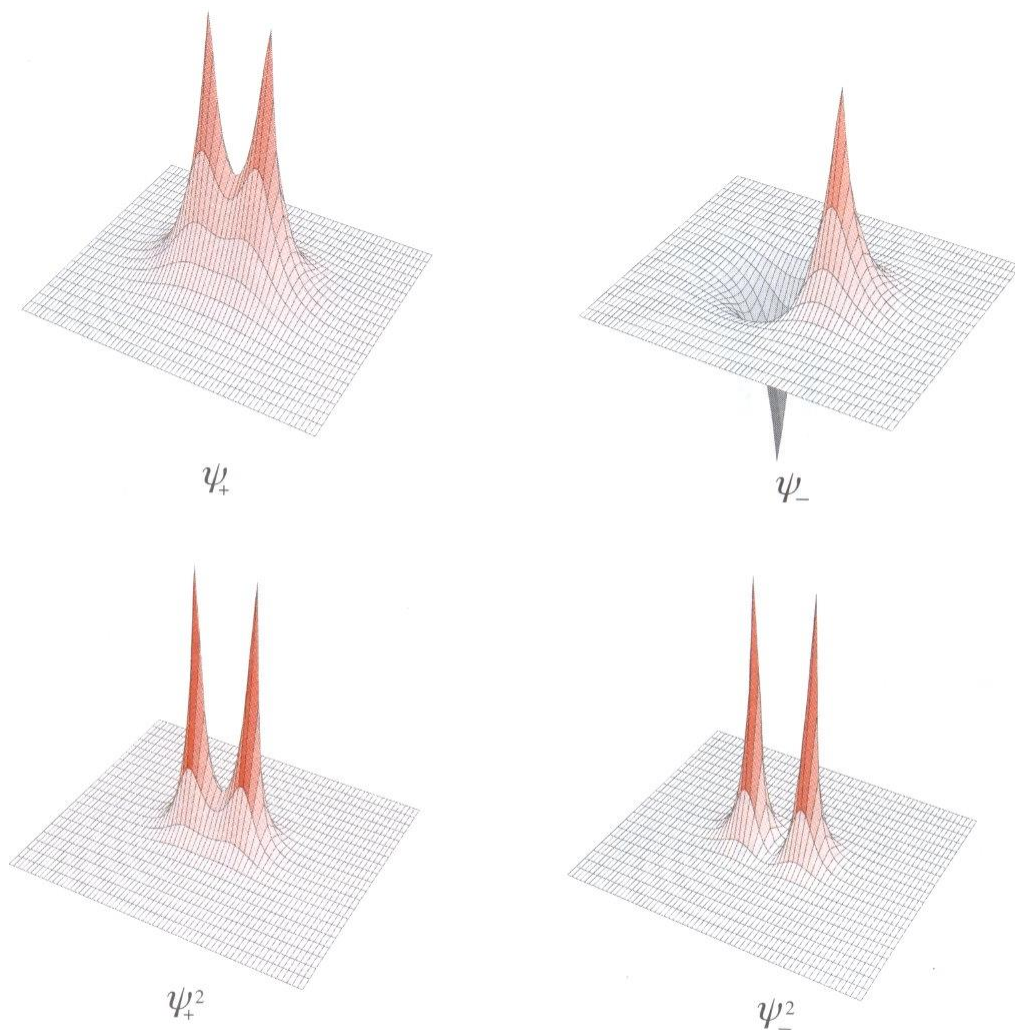
$E_+$  has energies that is less than value at  $R \rightarrow \infty$

While  $E_-$  has energies that is larger than value at  $R \rightarrow \infty$

So we call the solution for  $E_+$  as the **bonding orbital(+)** while we say the solution for  $E_-$  as the **antibonding orbital(-)**

Dotted lines are the potential energy curve

# Bonding and Antibonding Orbital



**FIGURE 10.14**

Surface plots of the molecular orbitals  $\psi_+$  (a bonding orbital) and  $\psi_-$  (an antibonding orbital) and their squares.

# Electron Density Difference

$$\Psi_{\pm} = \frac{|A\rangle \pm |B\rangle}{\sqrt{2 \pm 2S}} \quad \text{so} \quad |\Psi_{\pm}|^2 = \frac{|A\rangle^2 + |B\rangle^2 \pm 2|A\rangle|B\rangle}{2 \pm 2S}$$

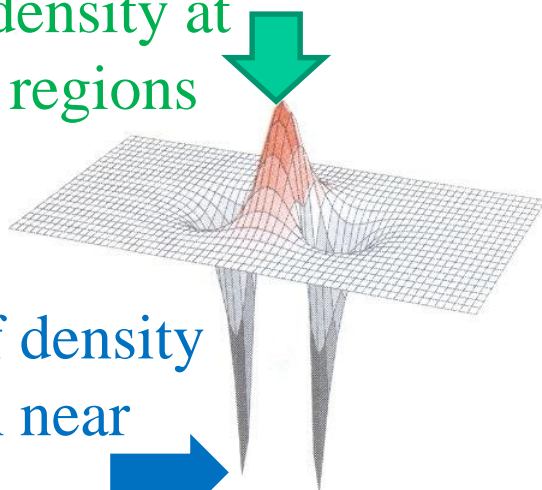
$$|\Psi_{\text{No Bond}}|^2 = \frac{|A\rangle^2 + |B\rangle^2}{2}$$

Subtracting no interaction portion from the bonding and anti bonding orbitals

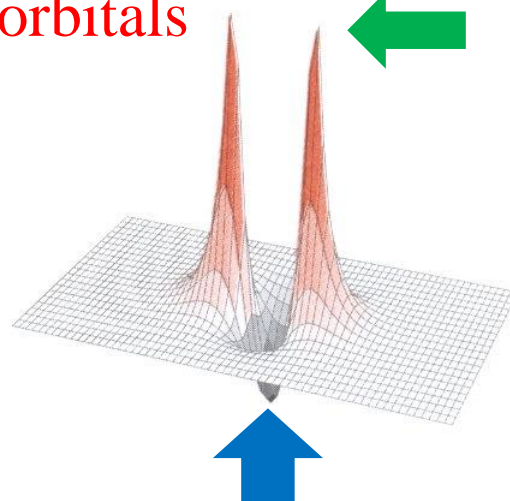
Growth of density at region near nucleus

Growth of density at interatomic regions

Decrease of density from region near nucleus



Bonding Orbital

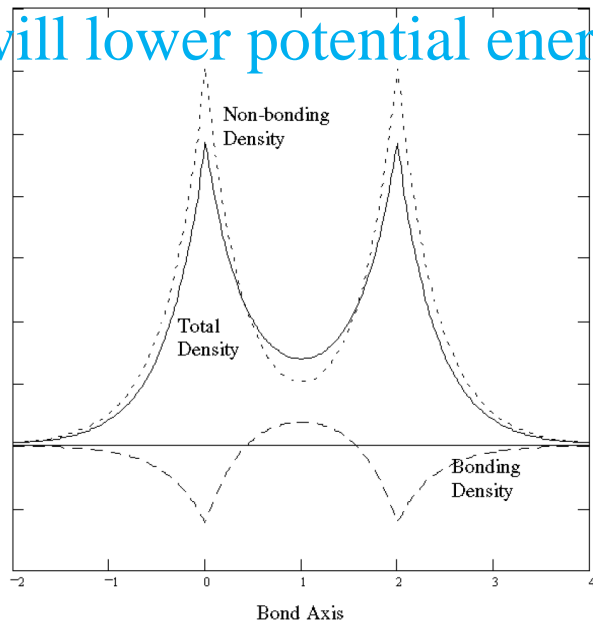


Decrease of density at interatomic region

Antibonding Orbital

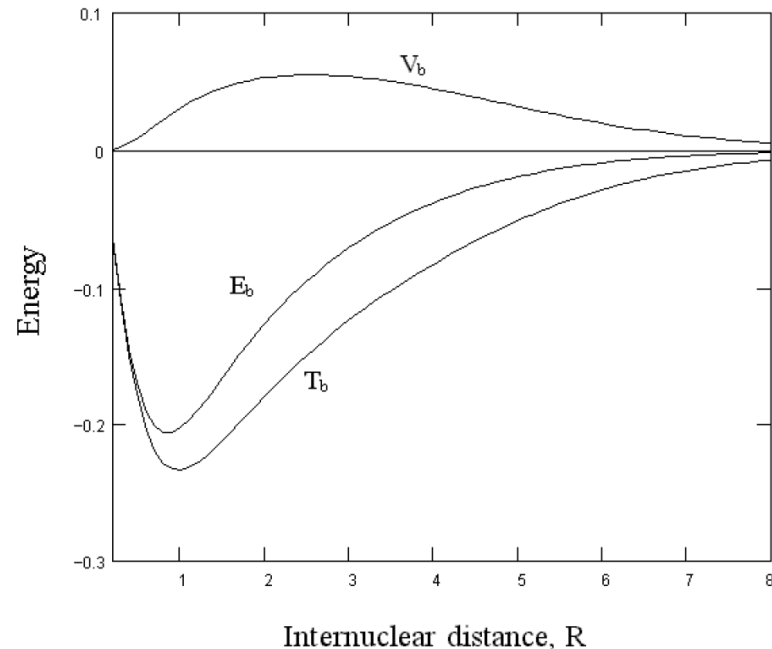
# What is the origin for binding?

Charge build up in the region between two nuclei so attracts both positive charge nuclei, seems like it will lower potential energy



However losing electron density in region near the nuclei center, potential energy increases

Bonding contribution potential versus kinetic energy



Main contribution for stabilization is from kinetic energy!  
Delocalization causes kinetic energy to decrease greatly



# How much electron is transferred?

