

# Introduction to Nanotechnology

- Textbook :  
Nanophysics and Nanotechnology  
by:  
Edward L. Wolf

Instructor: *H. Hosseinkhani*  
*E-mail:* hosseinkhani@yahoo.com

**Classroom: A209**  
**Time: Thursday; 13:20-16:10 PM**  
**Office hour: Thur., 10:00-11:30 AM or by appointment**

Sep 15	Introduction	Hossein
Sep 22	Systematic of Making Things Smaller	Hossein
Sep 29	What are limits to smallness	Hossein
Oct 6	Quantum Nature of the Nanoworld	CW Chen
Oct 13	Quantum Consequence for the	CW Chen
Oct 20	Macroworld	
Oct 27	Self-Assmbled Nano-Straucture in Nature	Hossein
Nov 3	and Industry	
<b>Nov 10</b>	<b>Midterm</b>	
Nov 17	Physics-based Experimental Approaches	Hossein
Nov 24	to Nanofabrication and Nanotechnology	
Dec 1	Quantum Technologies based on	KH Chen
Dec 8	Magnetism, Electron and Nuclear Spin, and Superconductivity	
Dec 15	Silicon Nanoeletronic and Beyond	Hossein
Dec 22		
Dec 29	Looking into the Future	LC Chen
Jan 5		
<b>Jan 12</b>	<b>Final Exam</b>	

# Objective of the course

The course, Introduction to Nanotechnology (IN), will focus on understanding of the basic molecular structure principals of Nano-materials. It will address the molecular structures of various materials. The long term goal of this course is to teach molecular design of materials for a broad range of applications. A brief history of biological materials and its future perspective as well as its impact to the society will be also discussed.

**Evaluation; Score: 100%:**

**Mid-term Exam: 30%**

**Final Exam: 30%**

**Scientific Activity: 40 % (Home work, Innovation Design)**

# Contents

- Introduction (Prof. Hossein)
- Systematic of Making Things Smaller (Prof. Hossein)
- What are limits to smallness (Prof. Hossein)
- Quantum Nature of the Nano-world (Prof. CW Chen)
- Quantum Consequence for the Macro-world (Prof. CW Chen)
- Self-Assembled Nano-Structure in Nature and Industry (Prof. Hossein)
- Physical-based Experimental Approaches to Nanofabrication and Nanotechnology (Prof. Hossein)
- Mid-term Exam

# Contents

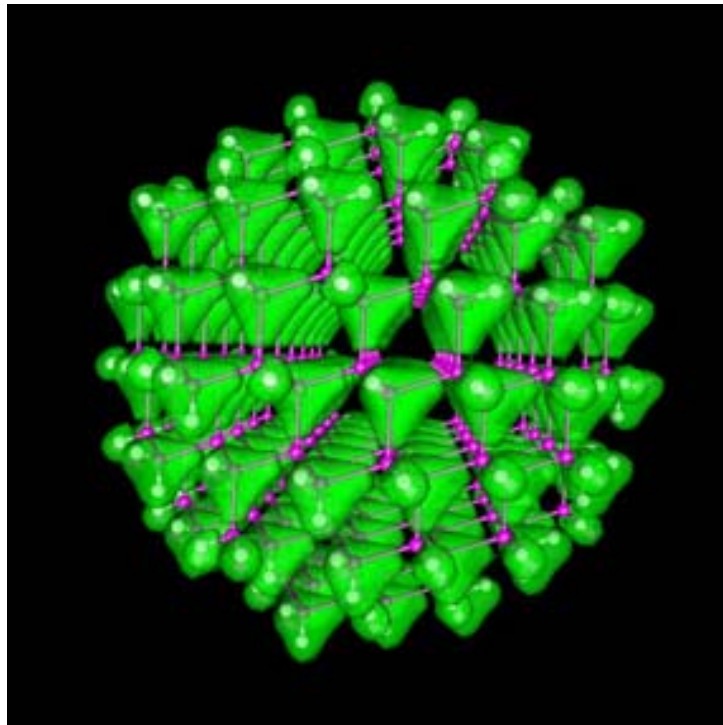
- Quantum Technologies based on Magnetism, Electron and Nuclear Spin, and Superconductivity (Prof. KH Chen)
- Silicon Nanoelectronic and Beyond (Prof. Hossein)
- Looking into the Future (Prof. LC Chen)
- Final Exam

# Self-Assembled Nano-Structure in Nature and Industry

# Subjects: Today class

1. Self-assembly Systems
2. Carbon atom
3. Nano-tube
- 4. Quantum Dot**
- 5. Nano-crystal**
- 6. Nano-wire**
7. Nano-particles in Bacterial life
8. Smooth Surface

# Quantum Dots





# Quantum Dots

- Synthetic “droplets” containing anything from a single electron to thousands of atoms but behave like a single huge atom.
- Size: nanometers to microns
- These are nanocrystals with extraordinary optical properties
  - The light emitted can be tuned to desired wavelength by altering the particle size
  - QDs absorb light and quickly re-emit but in a different color
  - Colors from blue to IR
- Common QDs: CdS, CdSe, PbS, PbSe, PbTe, CuCl...
- Manufacturing
  - Wet chemistry
  - Template synthesis (zeolites, alumina template)

# Introduction

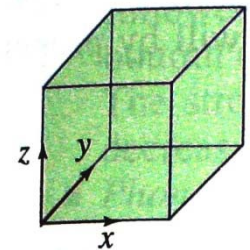
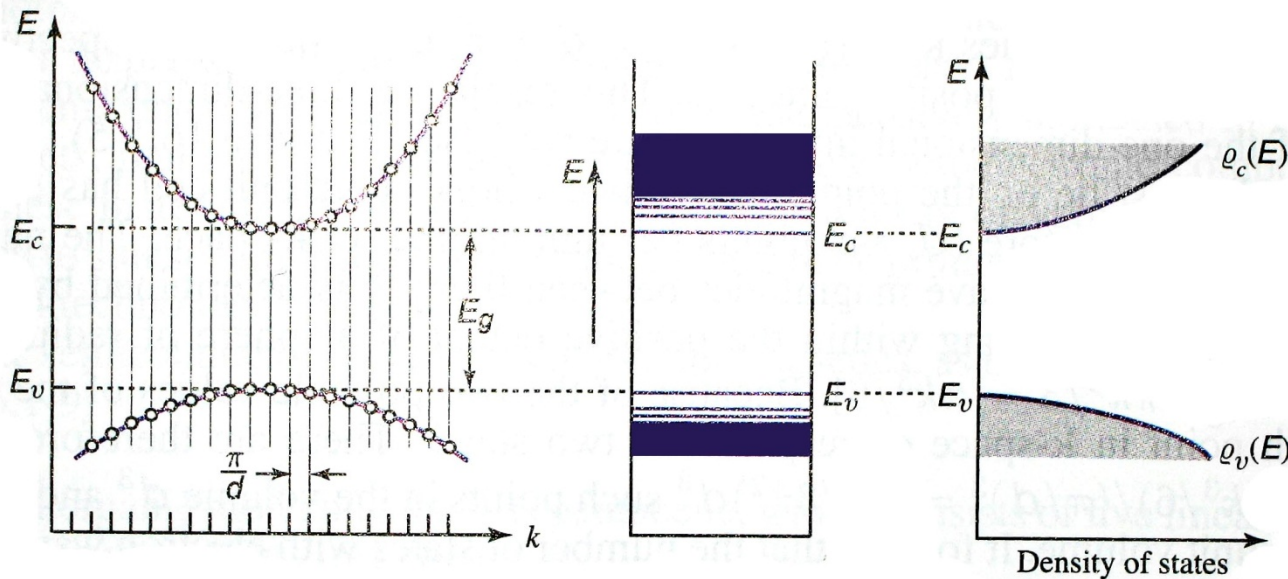
- Quantum dots are semiconductors whose excitons are confined in all three dimensions of space.
- Quantum dots have properties combined between
  - Those of bulk semiconductors
  - Those of atoms
- Different methods to create quantum dots.
- Multiple applications.

# Outline

1. Quantum Confinement and Quantum Dots
2. Fabrication of Quantum Dots
3. Quantum Dot Applications

# Bulk Semiconductors

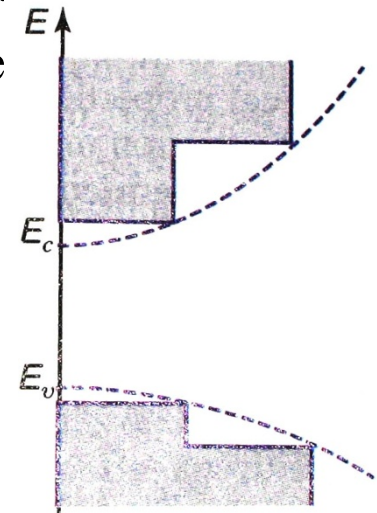
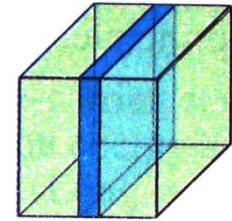
- Electrons in conduction band (and holes in the valence band) are free to move in all three dimensions of space.



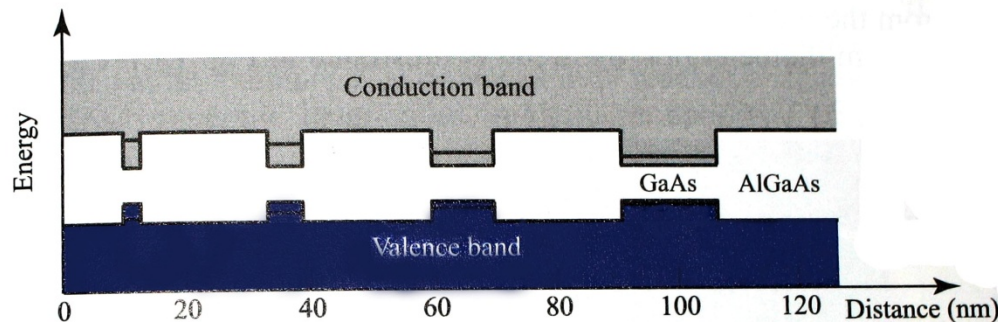
B.E.A. Saleh,  
M.C. Teich.  
Fundamentals  
of Photonics.  
fig. 16.1-10 and  
16.1-29.

# Thin Film Semiconductors

- Electrons in conduction band (and holes in the band) are free to move in two dimensions.
- Confined in one dimension by a potential well.
  - Potential well created due to a larger bandgap of the semiconductors on either side of the thin film.
  - Thinner films lead to higher energy levels.



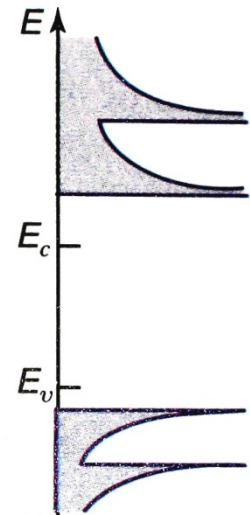
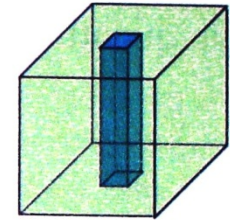
Quantum well



B.E.A. Saleh, M.C. Teich.  
Fundamentals of Photonics.  
fig. 13.1-11 and 16.1-29.

# Quantum Wire

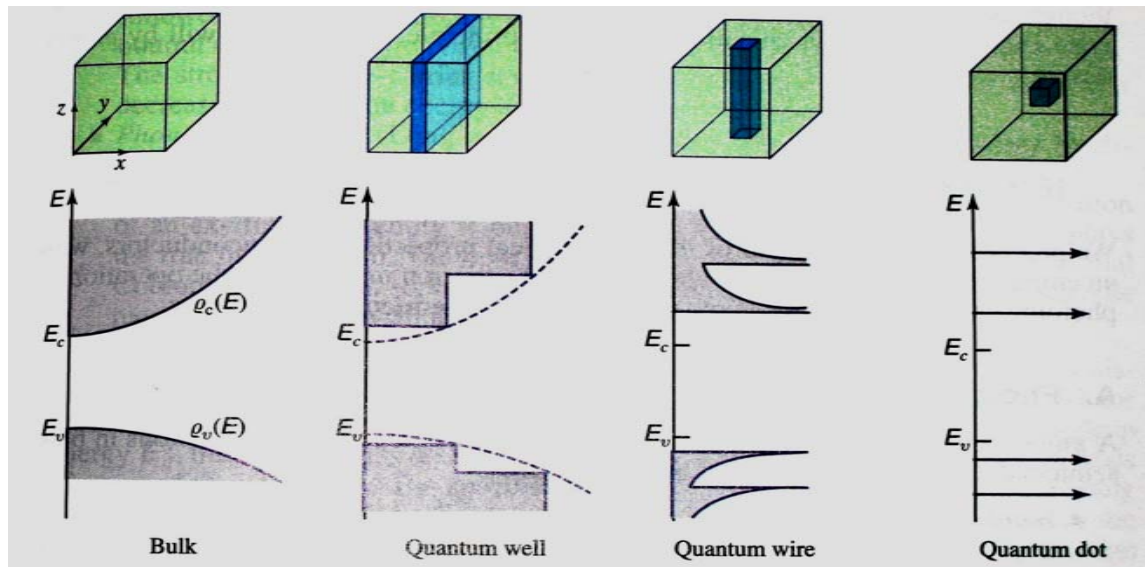
- Thin semiconductor wire surrounded by a material with a larger bandgap.
  - Surrounding material confines electrons and holes in two dimensions (carriers can only move in one dimension).
  - Quantum wire acts as a potential well.



B.E.A. Saleh,  
M.C. Teich.  
Fundamentals  
of Photonics.  
fig. 16.1-29.

## Quantum Dot

- Electrons and holes are confined in all three dimensions of space by a surrounding material with a larger bandgap.
- Discrete energy levels (artificial atom).
- A quantum dot has a larger bandgap.
- Like bulk semiconductor, electrons tend to make transitions near the edges of the bandgap in quantum dots.



B.E.A. Saleh,  
M.C. Teich.  
Fundamentals  
of Photonics.  
fig. 16.1-29.

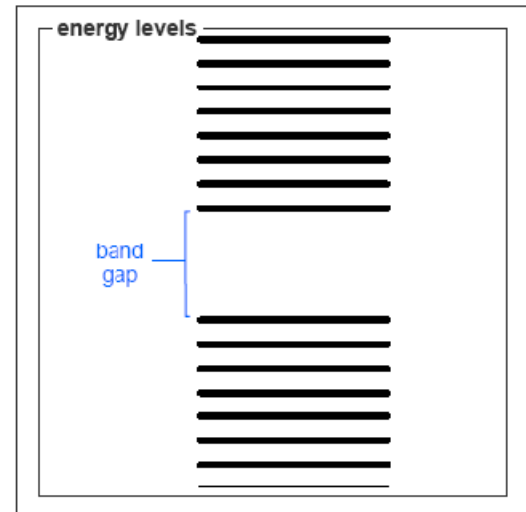
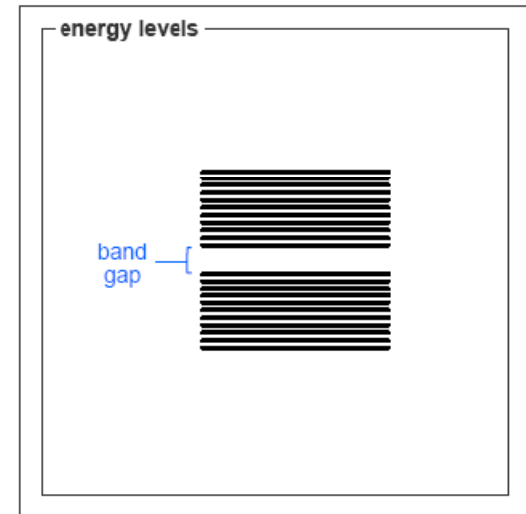
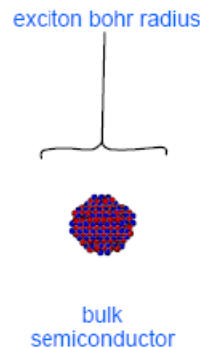
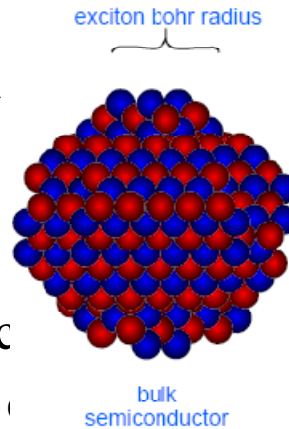
# Quantum Dot

- Very small semiconductor particles with a size comparable to the Bohr radius of the excitons (separation of electron and hole).
  - Typical dimensions: 1 – 10 nm
  - Can be as large as several  $\mu\text{m}$ .
  - Different shapes (cubes, spheres, pyramids, etc.)



## Discrete Energy Levels

- The energy levels depend of the quantum dot.
- Smaller quantum dot:
  - Higher energy required to c
    - Energy levels increase in
    - Higher band gap energy.



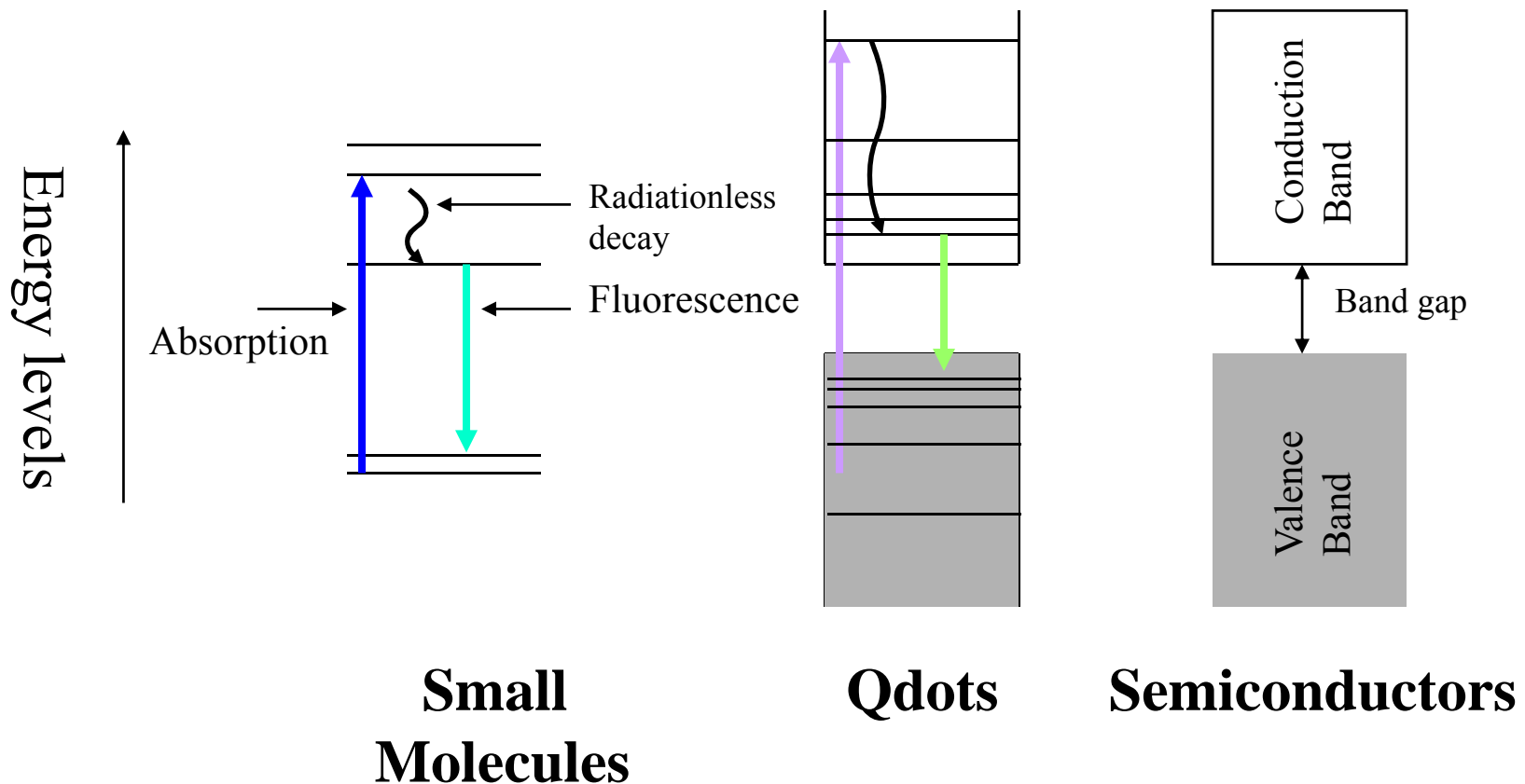
## CdSe Quantum Dot

- 5 nm dots: red
- 1.5 nm dots: violet



B.E.A. Saleh, M.C. Teich. Fundamentals of Photonics. fig. 13.1-12.

# Qdots<sup>®</sup> Have a Unique Electronic Structure



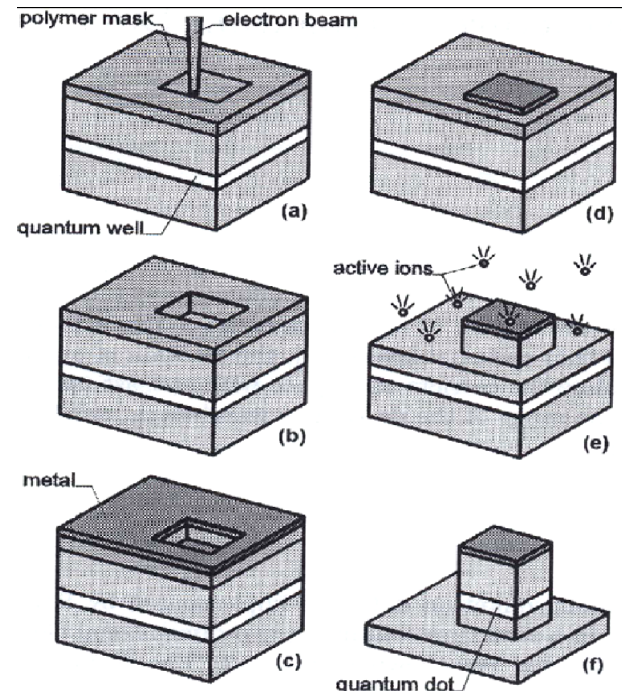
# How to Make Quantum Dots

- There are three main ways to confine excitons in semiconductors:
  - Lithography
  - Colloidal synthesis
  - Epitaxy:
    - » Patterned Growth
    - » Self-Organized Growth

## 2. Fabrication of Quantum Dots

# Lithography

- Quantum wells are covered with a polymer mask and exposed to an electron or ion beam.
- The surface is covered with a thin layer of metal, then cleaned and only the exposed areas keep the metal layer.
- Pillars are etched into the entire surface.
- Multiple layers are applied this way to build up the properties and size wanted.
- Disadvantages: slow, contamination, low density, defect formation.



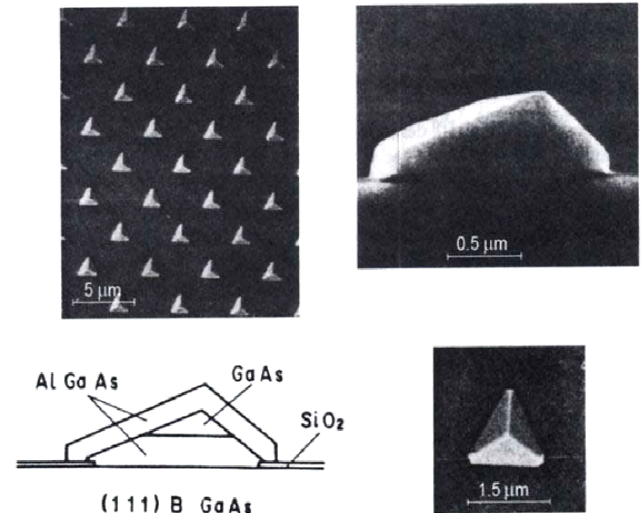
# Colloidal Synthesis

- Immersion of semiconductor microcrystals in glass dielectric matrices.
  - Taking a silicate glass with 1% semiconducting phase (CdS, CuCl, CdSe, or CuBr).
  - Heating for several hours at high temperature.
- ⇒ Formation of microcrystals of nearly equal size.
- Typically group II-VI materials (e.g. CdS, CdSe)
  - Size variations (“size dispersion”).

# Epitaxy: Patterned Growth

- Semiconducting compounds with a smaller bandgap (GaAs) are grown on the surface of a compound with a larger bandgap (AlGaAs).
- Growth is restricted by coating it with a masking compound ( $\text{SiO}_2$ ) and etching that mask with the shape of the required crystal cell wall shape.
- Disadvantage: density of quantum dots limited by mask pattern.

L. Jacak,  
P. Hawrylak, A.  
Wojs. Quantum dots  
fig 2.7.

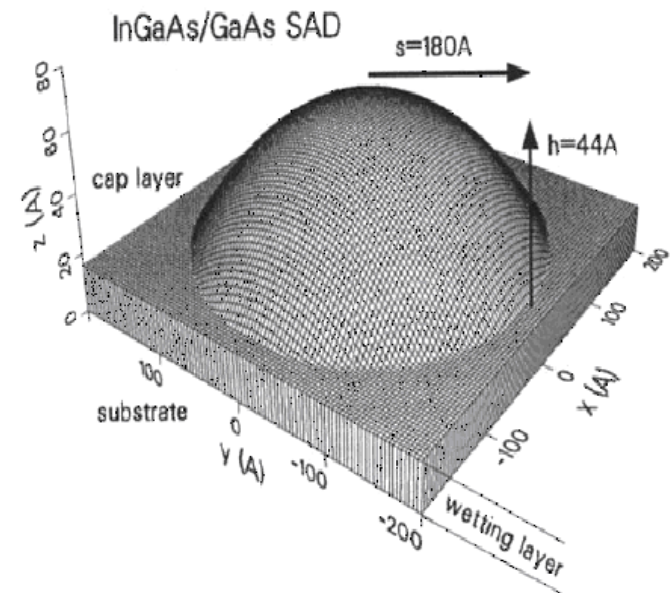


# Epitaxy: Self-Organized Growth

- Uses a large difference in the lattice constants of the substrate and the crystallizing material.
- When the crystallized layer is thicker than the critical thickness, there is a strong strain on the layers.
- The breakdown results in randomly distributed islets of regular shape and size.
- Disadvantages: size and shape fluctuations, ordering.

*Schematic drawing of lens-shaped self-organized quantum dot.*

L. Jacak,  
P. Hawrylak,  
A. Wojs. Quantum dots  
fig 8.1.





# Applications

- Photovoltaic devices: solar cells
- Biology : biosensors, imaging
- Light emitting diodes: LEDs
- Quantum computation
- Flat-panel displays
- Memory elements
- Photodetectors
- Lasers



# Applications

- LEDs, solar cells, solid state lighting
- Biomedical
  - Bioindicators
  - Lateral flow assays
  - DNA/gene identification, gene chips
  - Cancer diagnostics
- Biological Labeling Agent

## Organic Dye

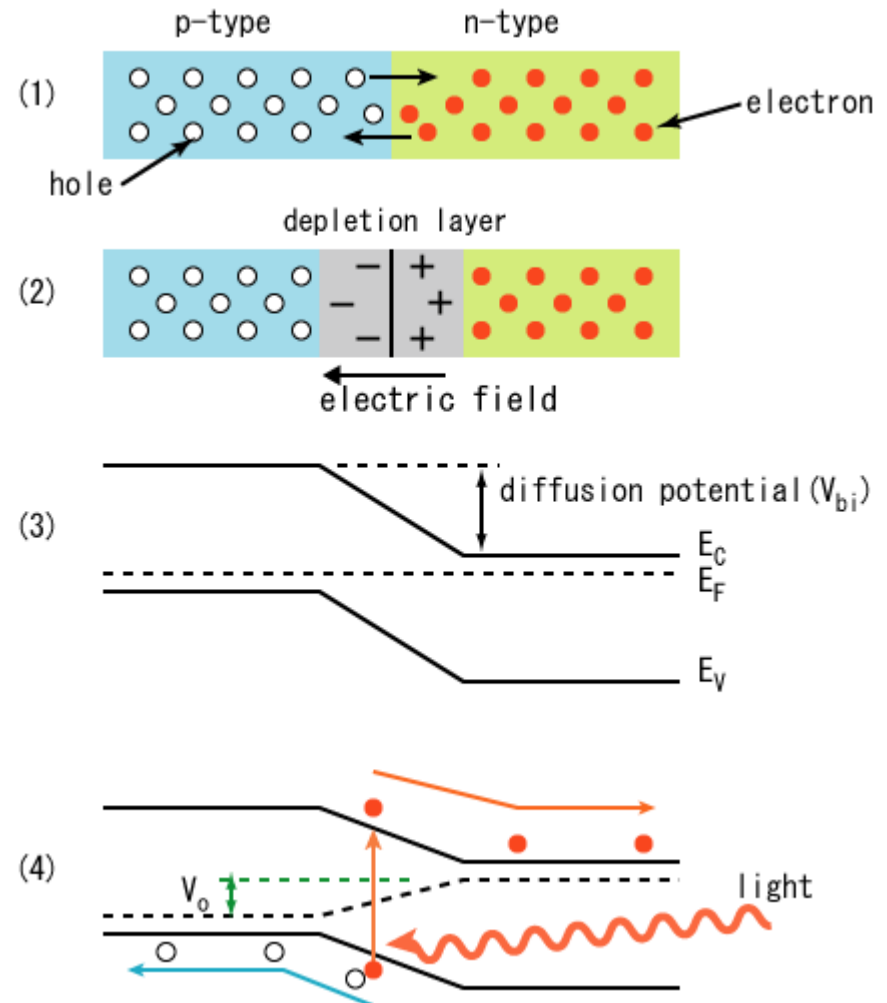
- Broad output spectrum
- Fades quickly  $\sim 100$  ps
- Unstable
- One dye excited at a time

## Quantum Dot

- Sharper spectrum
- 5-40 ns
- Stable output over time
- Multicolor imaging, multiple dyes excited simultaneously

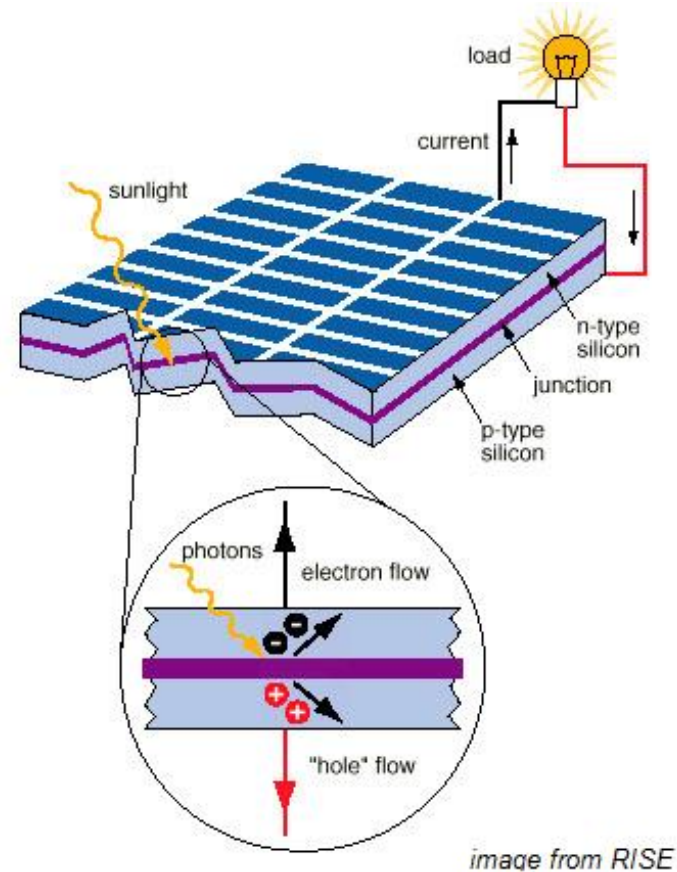
# Solar Cells

- Photovoltaic effect:
  - p-n junction.
  - Sunlight excites electrons and creates electron-hole pairs.
  - Electrons concentrate on one side of the cell and holes on the other side.
  - Connecting the 2 sides creates electricity.



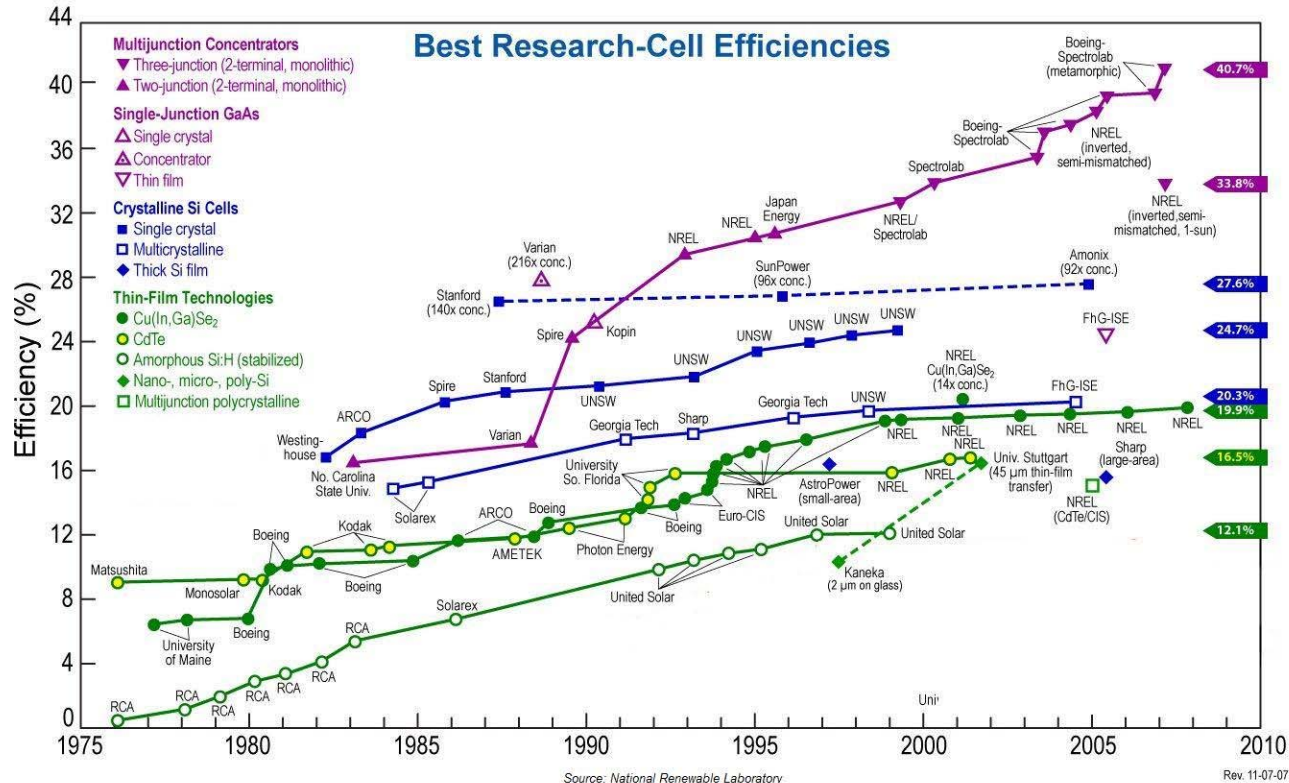
## Different Generations of Solar Cells

- First generation:
  - Single crystal silicon wafer.
  - Advantages: high carrier mobility.
  - Disadvantages: most of photon energy is wasted as heat, expensive.
- Second generation:
  - Thin-film technology.
  - Advantages: less expensive.
  - Disadvantages: efficiency lower compared with silicon solar cells.
- Third generation:
  - Nanocrystal solar cells.
  - Enhance electrical performances of the second generation while maintaining low production costs.



### 3. Quantum Dot Applications

# Solar Cells Efficiency

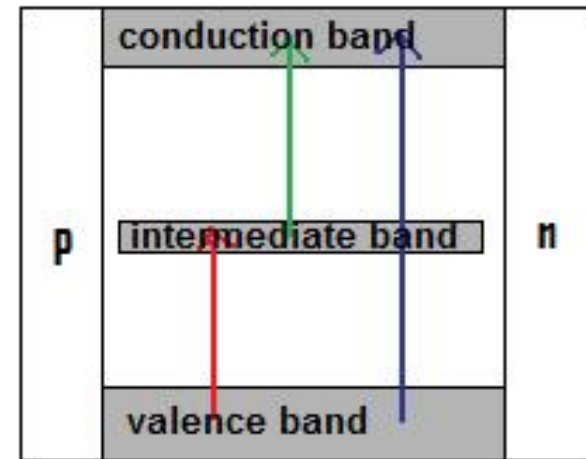
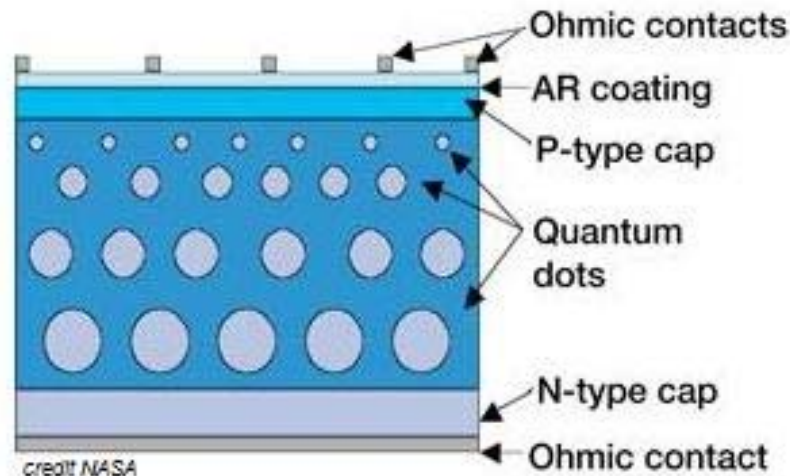


- What limits the efficiency:
  - Photons with lower energy than the band gap are not absorbed.
  - Photons with greater energy than the band gap are absorbed but the excess energy is lost as heat.

### 3. Quantum Dot Applications

## How Can Quantum Dots Improve the Efficiency?

- The quantum dot band gap is tunable and can be used to create intermediate bandgaps. The maximum theoretical efficiency of the solar cell is as high as 63.2% with this method.

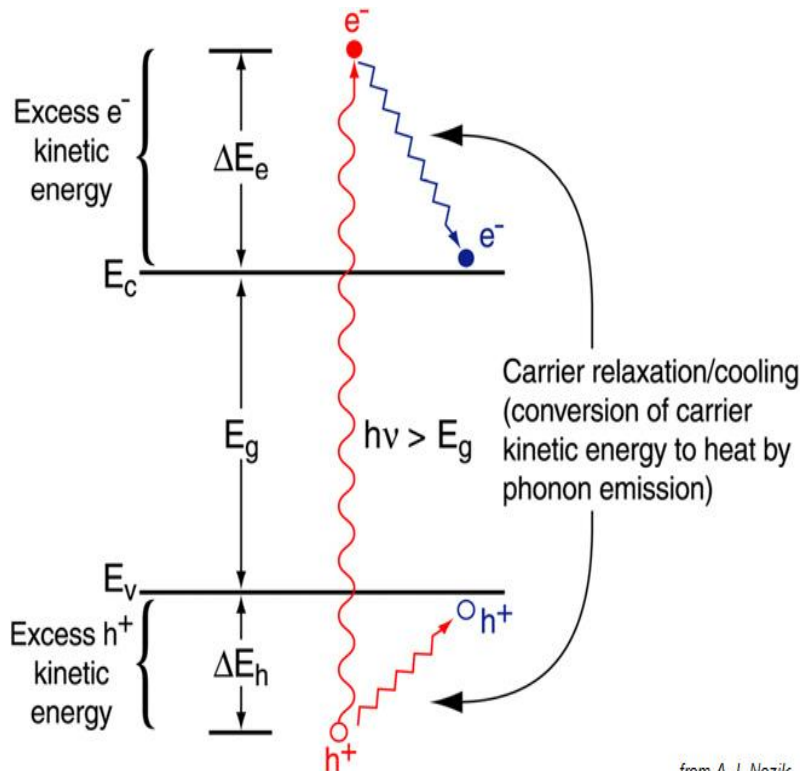




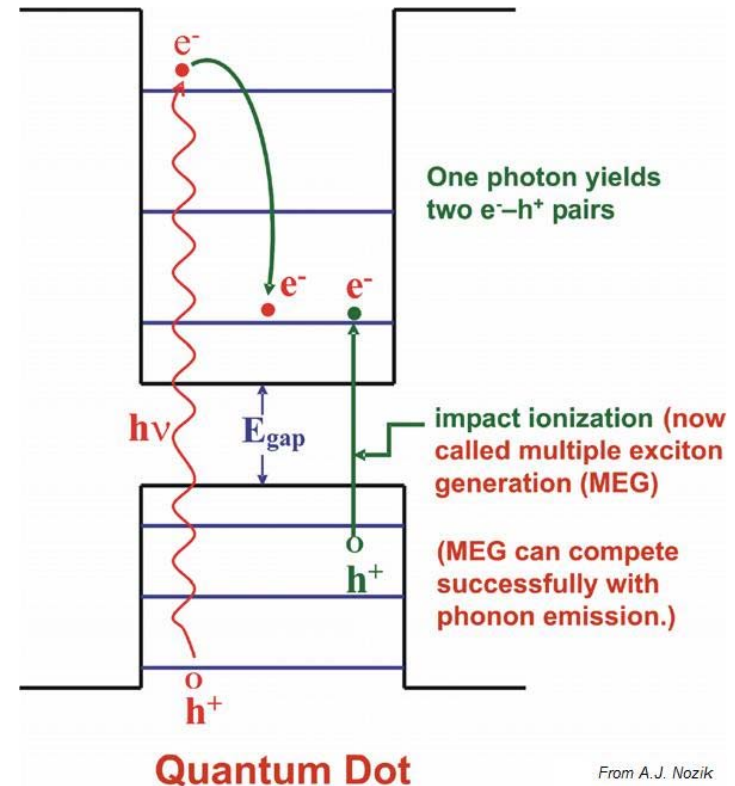
### 3. Quantum Dot Applications

## How Can Quantum Dots Improve the Efficiency?

- Quantum dots can generate multiple exciton (electron-hole pairs) after collision with one photon.



from A.J. Nozik



From A.J. Nozik

# Conclusion

- Quantum dot:
  - Semiconductor particle with a size in the order of the Bohr radius of the excitons.
  - Energy levels depend on the size of the dot.
- Different methods for fabricating quantum dots.
  - Lithography
  - Colloidal synthesis
  - Epitaxy
- Multiple applications.



# Extra Study:

- L. Jacak, P. Hawrylak, A. Wojs. Quantum dots. Springer-Verlag, Berlin, 1998.
- B.E.A. Saleh, M.C. Teich. Fundamentals of Photonics. 2nd ed. Hoboken, New Jersey, John Wiley & Sons, Inc. 2007.
- “Quantum Dots Explained.” Evident Technologies. 2008.  
<<http://www.evidenttech.com/quantum-dots-explained.html>>.
- M.Y. Levy et al. “Quantum dot intermediate band solar cell material systems with negligible valence band offsets.” Presented at the 31st IEEE Photovoltaics Specialist Conference, Orlando, Florida, January 2005.
- Antonio Luque and Antonio Martí. “Increasing the Efficiency of Ideal Solar Cells by Photon Induced Transitions at Intermediate Levels.” *Phy. Rev. Letters*. 78, 26, June 1997.
- Arthur J. Nozik. “Multiple exciton generation in semiconductor quantum dots.” *Chemical Physics Letters* 457 (2008) 3–11.

# Nanowires

- Growth mechanism and methods
- Examples of device applications

- One dimensional nanostructures obtained by highly anisotropic growth
- Single crystal
- “bottom up” approach
- Not embedded in a matrix  
( $\neq$  QWs, T-wires, self assembled Qdots)
- Nanodevices
- Interconnection in nano-optoelectronics
- Photonic crystal
- .....

## VAPOR-LIQUID-SOLID MECHANISM OF SINGLE CRYSTAL GROWTH

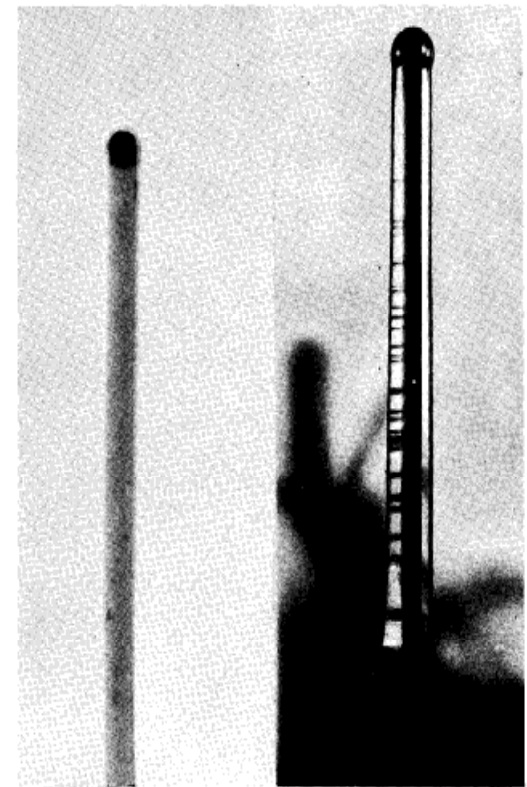
(new method: growth "catalysis" from  
impurity; whiskers, epitaxial, and large  
crystals; Si; E)

*R. S. Wagner and W. C. Ellis*  
Bell Telephone Laboratories, Inc.  
Murray Hill, New Jersey  
(Received 4 February 1964)

(111) oriented Si "whiskers":

- a small Au particle on a Si(111) surface
- heated at  $950^{\circ}$
- exposed to a flow of  $\text{SiCl}_4$  and  $\text{H}_2$

similar results obtained with:  
Pt, Ag, Pd, Cu and Ni



0.3  $\mu$

0.5 MM

## Experimental evidences:

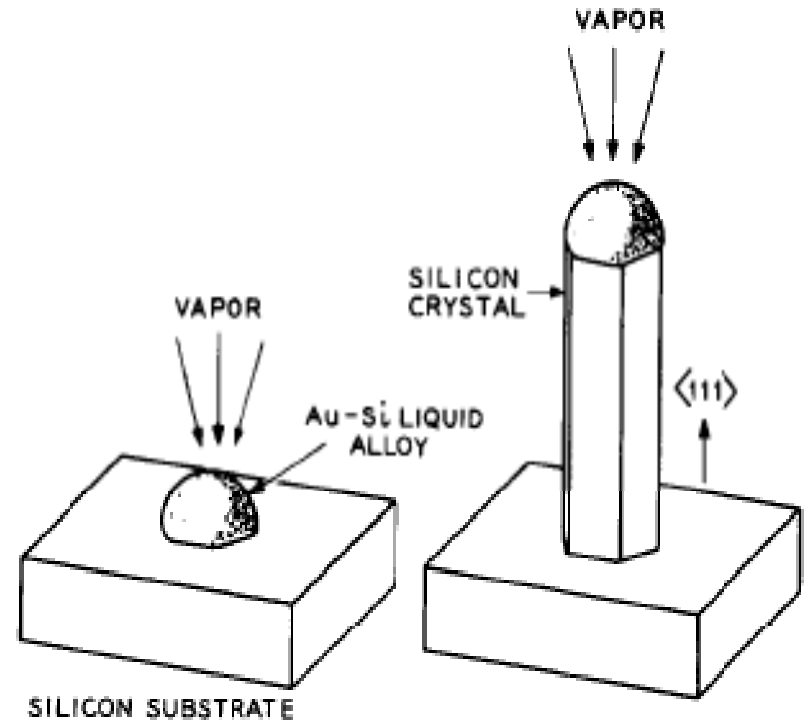
- no axial screw dislocation
- an “impurity” is essential
- a small “globule” is present at the tip of the whiskers during the growth

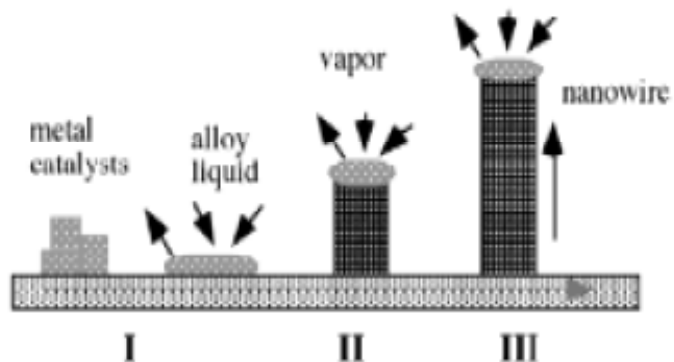
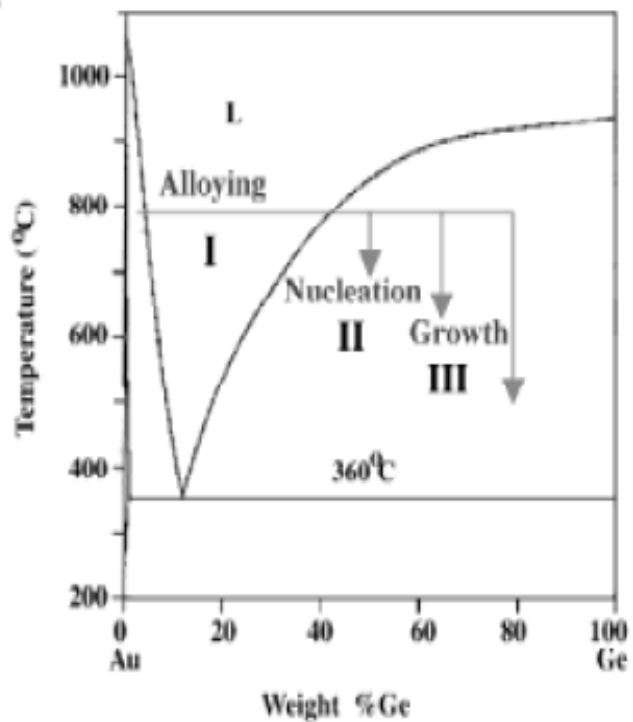
The role of the impurity is to form a liquid alloy droplet at relatively low T.

The selection of the impurity is important.

## The VLS model:

- The impurity melt at the surface making an alloy
- The liquid droplet is the preferred site for deposition and become supersaturated
- The whiskers grow by precipitation of Si from the droplet



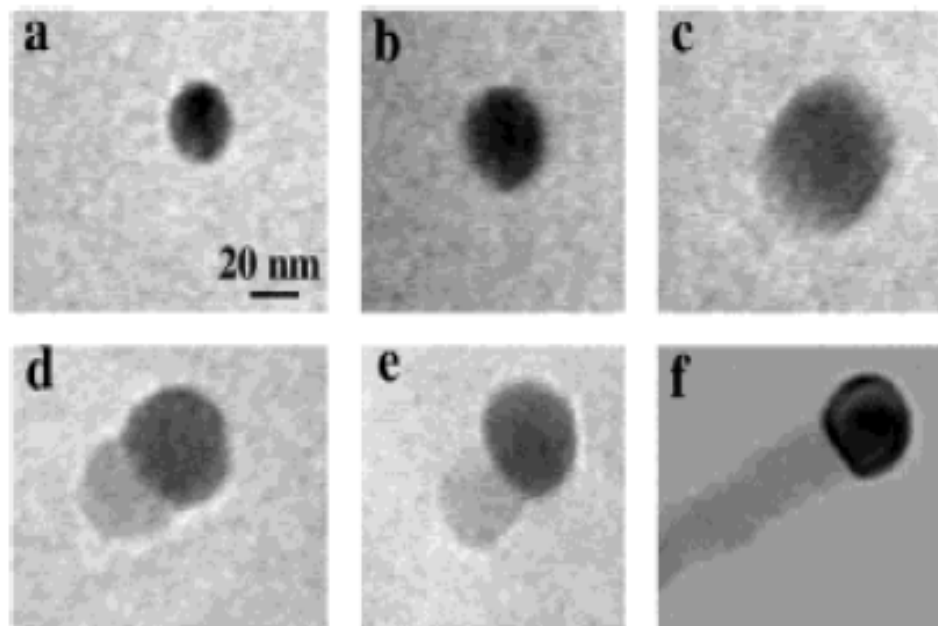
**a****b**

## VLS growth of Ge nanowires with Au catalyst

Ge particles+ Au nanoparticles on a TEM grid, heated in the TEM

T= 500° C

T=800 ° C



Wu et al, *J. Am. Chem. Soc.* 123, 3165 (01)

Different growth methods:

laser ablation, thermal evaporation, MOCVD,  
MOVPE, CBE, MBE

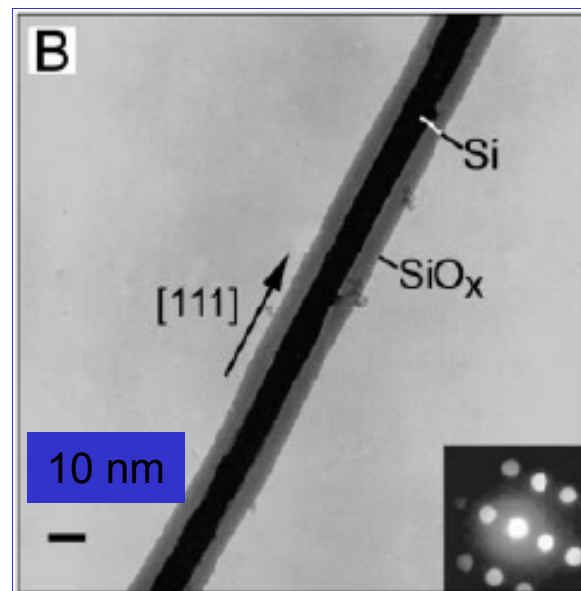
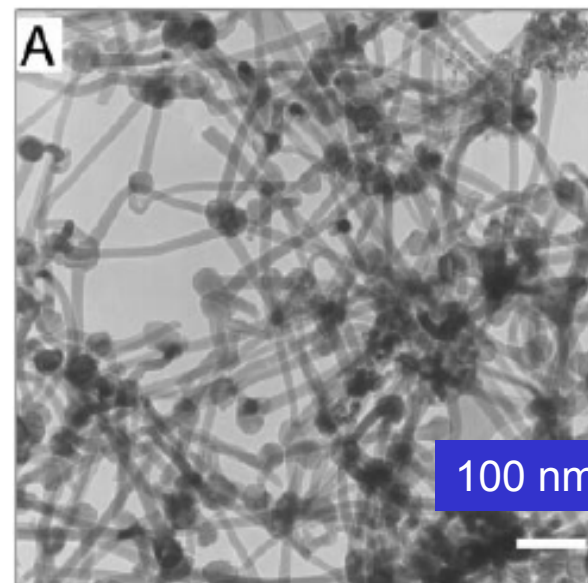
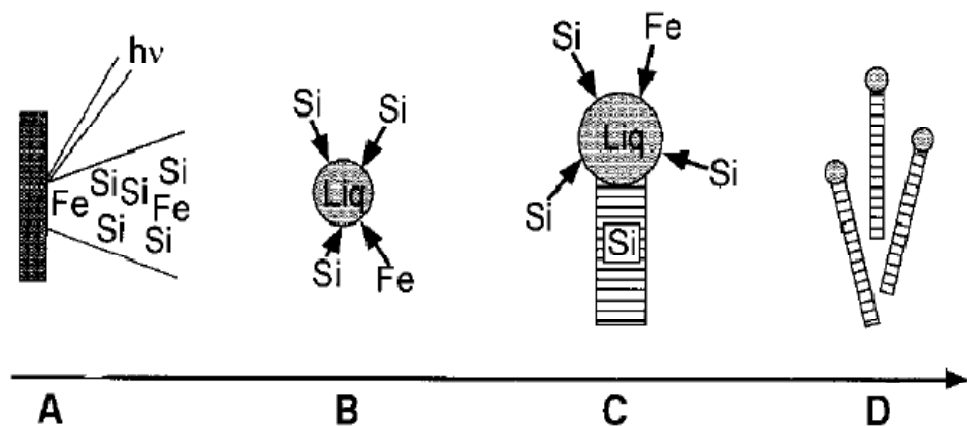
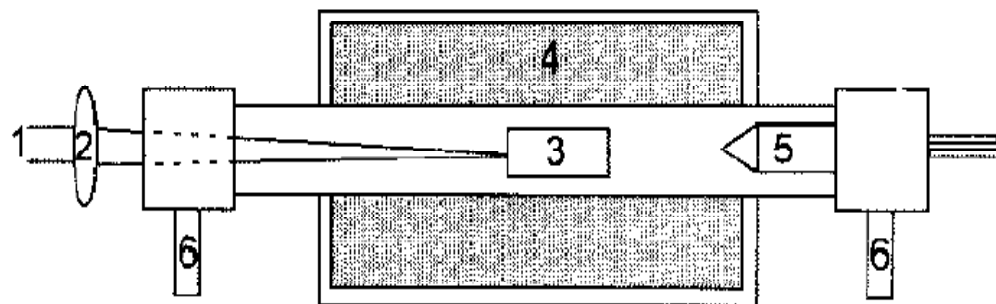
Different catalyst shape and processing:

uniform layer, nanoparticle, patterned layer

Different substrates:

no substrate, oxide, oriented wafer,  
looking for oriented NWs

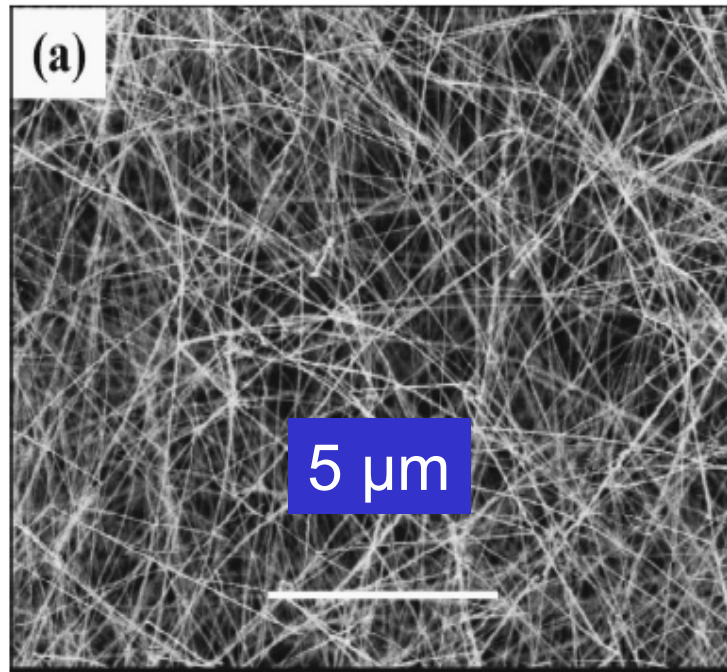
# Laser catalytic growth of Si NW with the $\text{Si}_{0.9}\text{Fe}_{0.1}$ target $T_F=1200^\circ\text{C}$



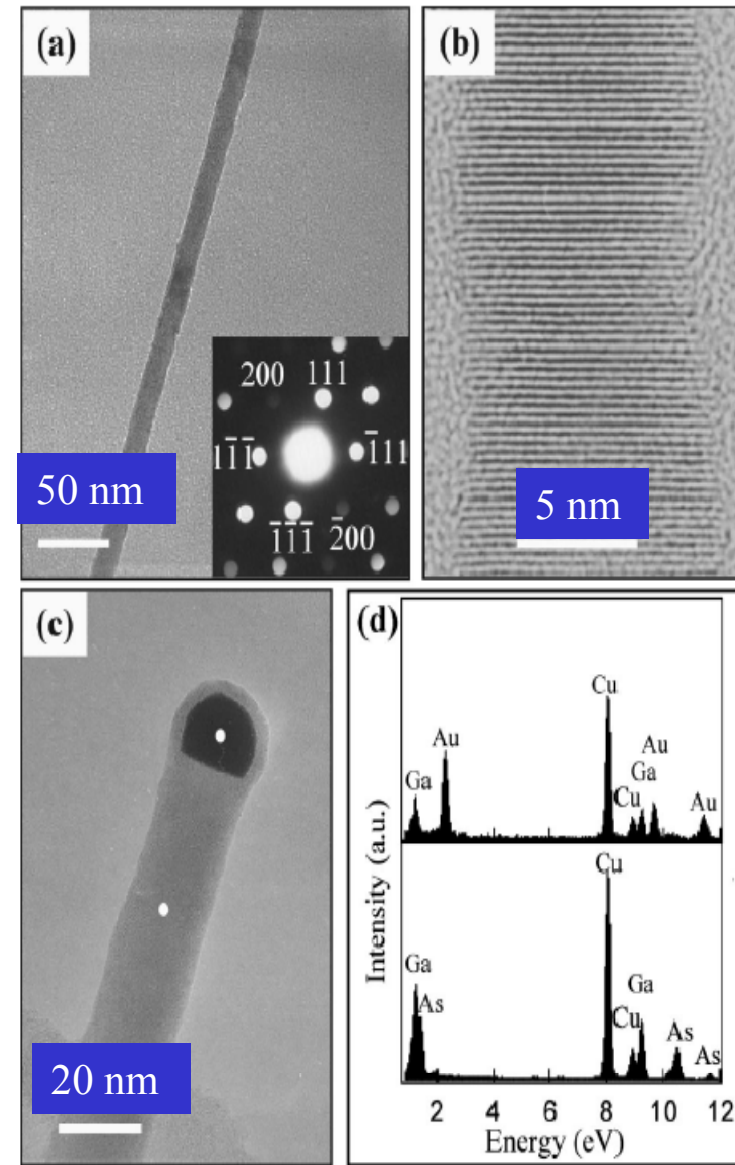


Laser catalytic growth of GaAs NWs  
using  $(\text{GaAs})_{0.95}\text{M}_{0.05}$  target (M=Au,  
Ag, Cu)

$T_F = 800\text{--}1030^\circ\text{C}$



single crystal (111) GaAs nanowires  
Au is present at the tip.



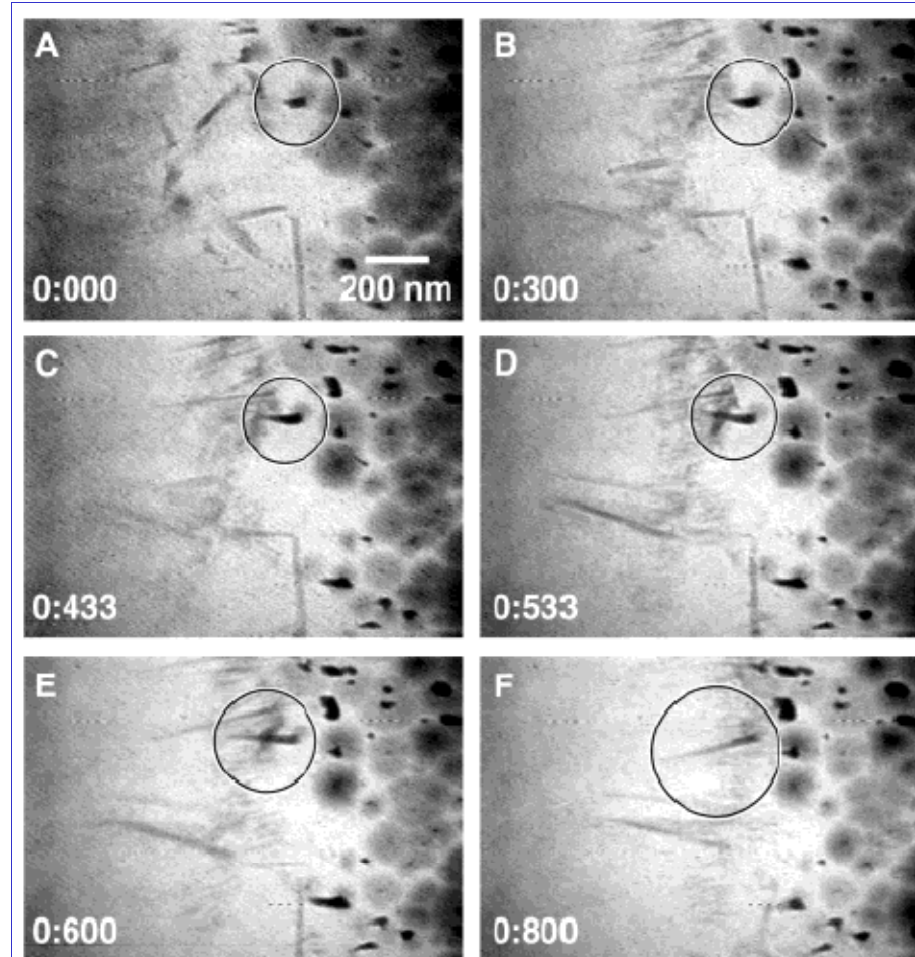
Duan *et al* APL 76, 1116 (2000)

# Self catalytic growth of GaN NWs

- self standing GaN layer
- thinned for TEM ( $\leq 300$  nm)
- heated at  $1050^\circ\text{C}$  in a TEM

Above  $850^\circ\text{C}$  in high vacuum  
 $\text{GaN(s)} \rightarrow \text{Ga(l)} + 0.5 \text{N(g)} + 0.25 \text{N}_2\text{(g)}$   
 $\text{GaN(s)} \rightarrow \text{GaN(g)} \text{ or } [\text{GaN}]_x\text{(g)}$

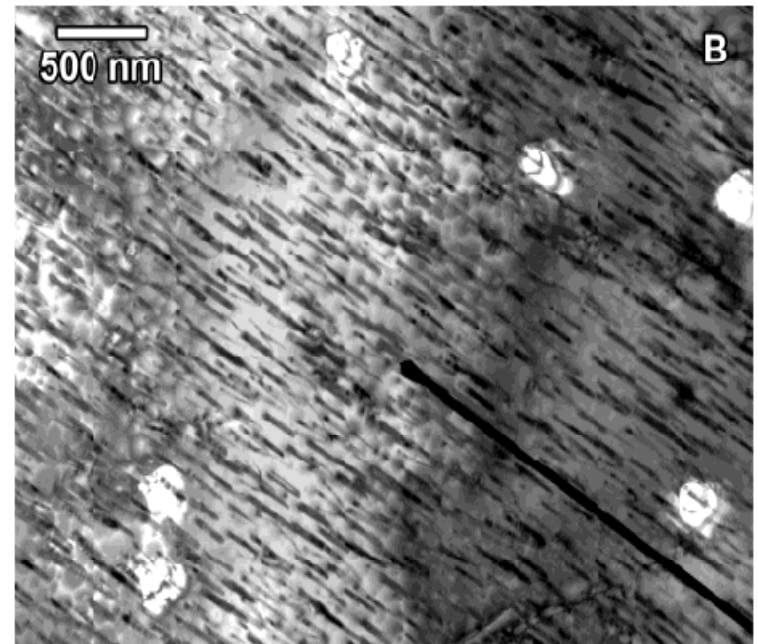
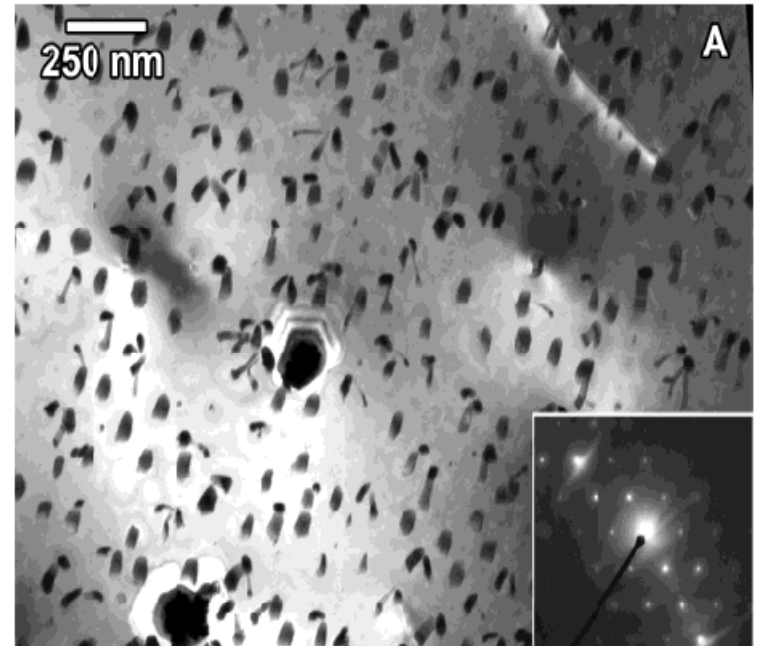
in-situ study of the  
decomposition and resulting  
nanostructure evolution



room temperature analysis  
of the nanostructures:

- single crystal GaN NWs
- [0001] oriented
- av diameter 50 nm
- gr rate 300 nm/s

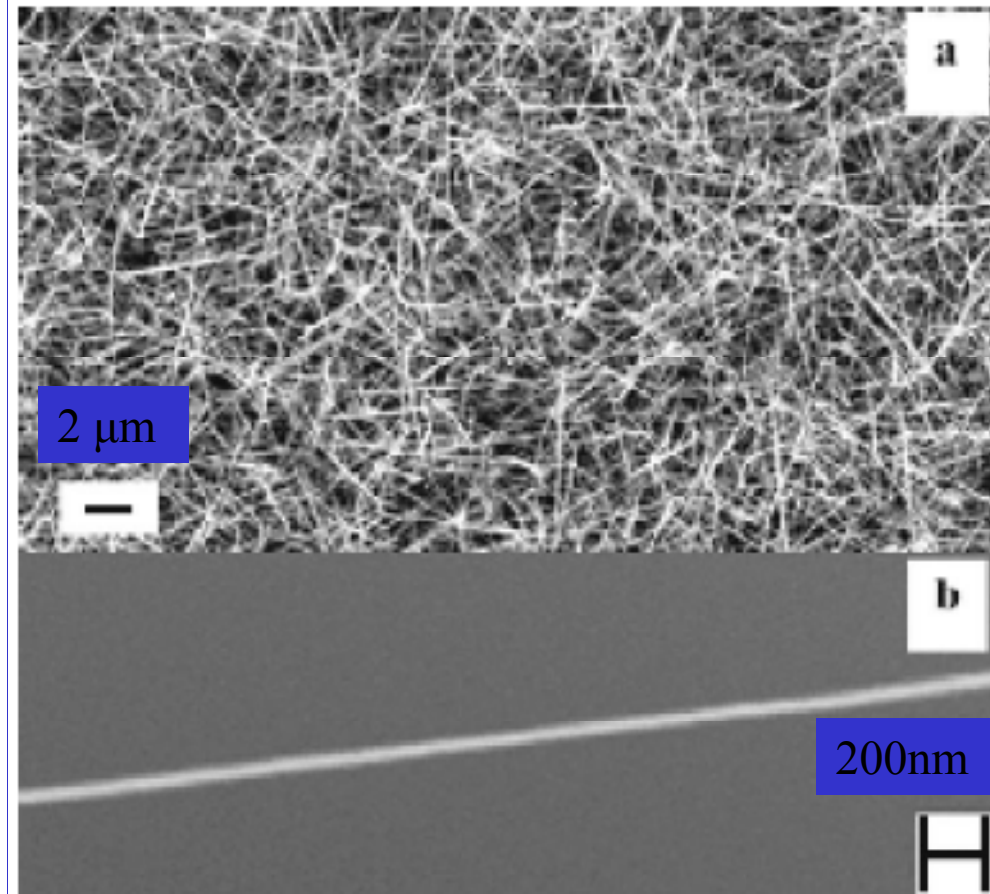
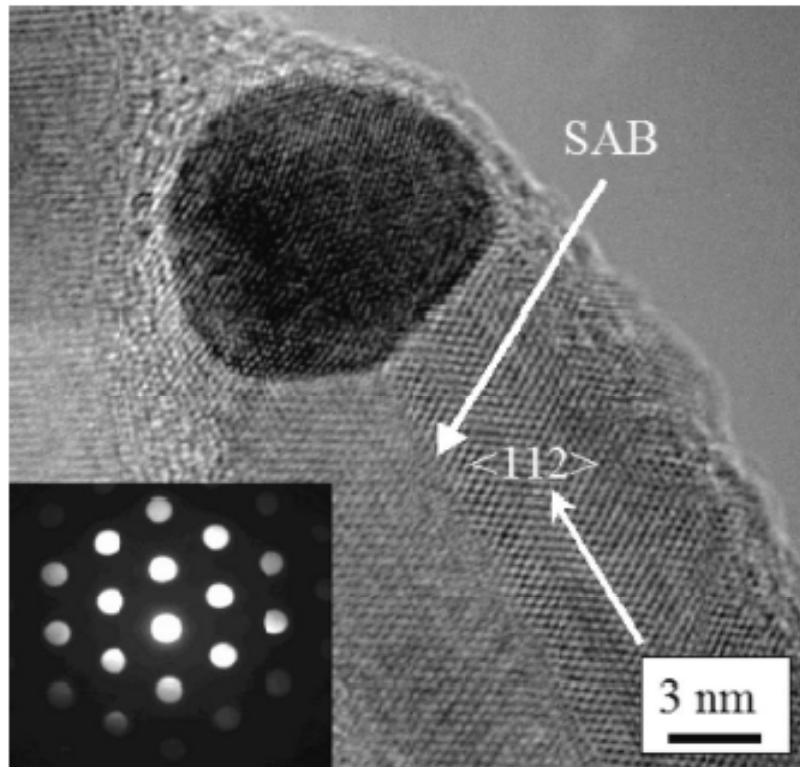
self catalytic process could be  
important to avoid undesired  
contamination from foreign  
metal atom (catalyst)





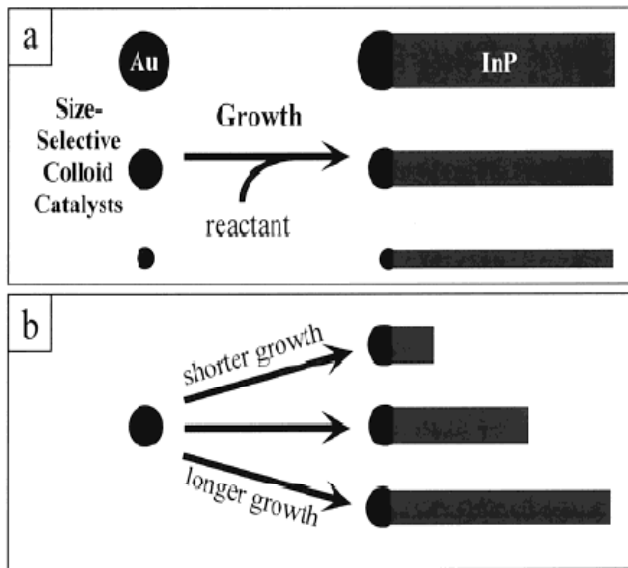
# MOCVD grown ZnSe NWs on Si(100)

uniform 1 nm Au catalyst

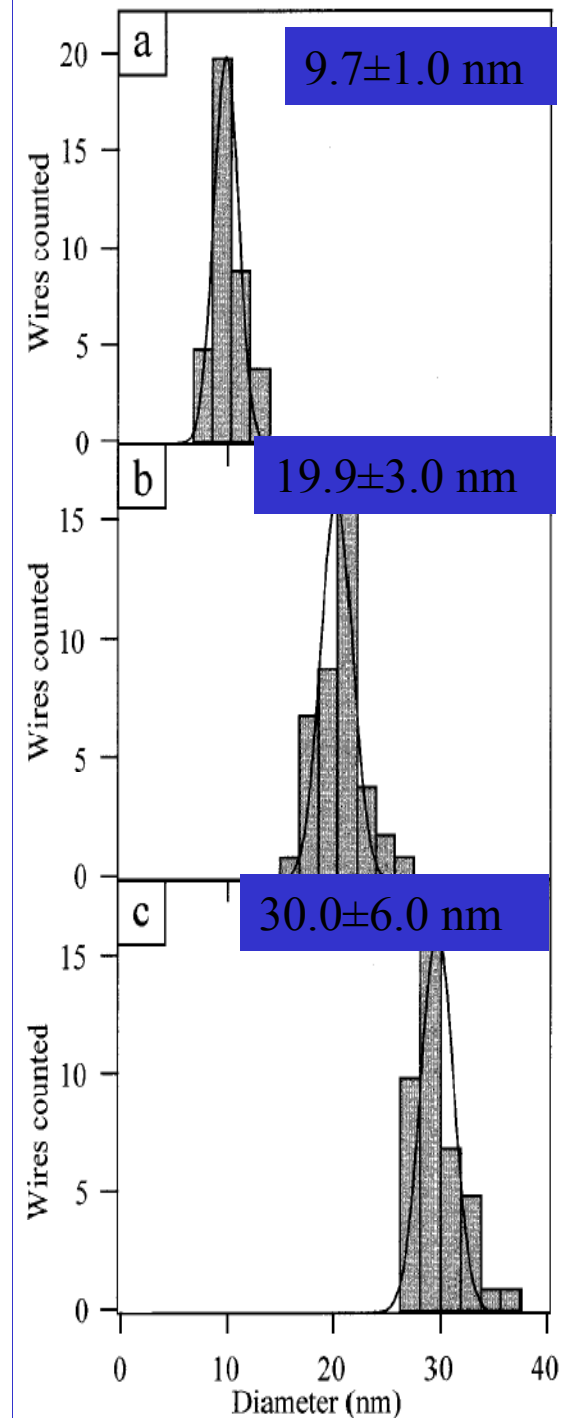
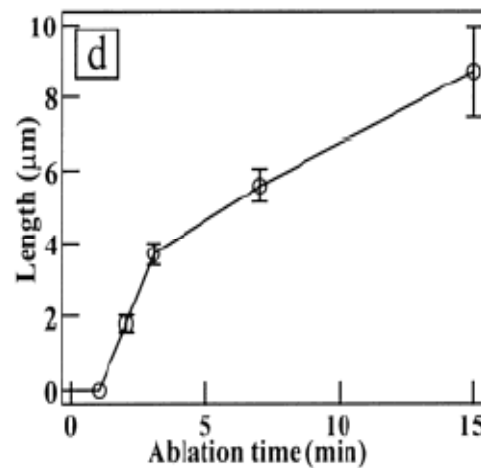


# Control of Diameter and length of NW

- InP NW grown by laser ablation
- Si/SiO<sub>2</sub> substrate
- size selected Au nanocluster solution



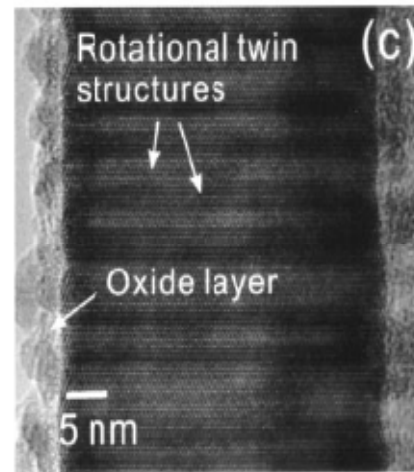
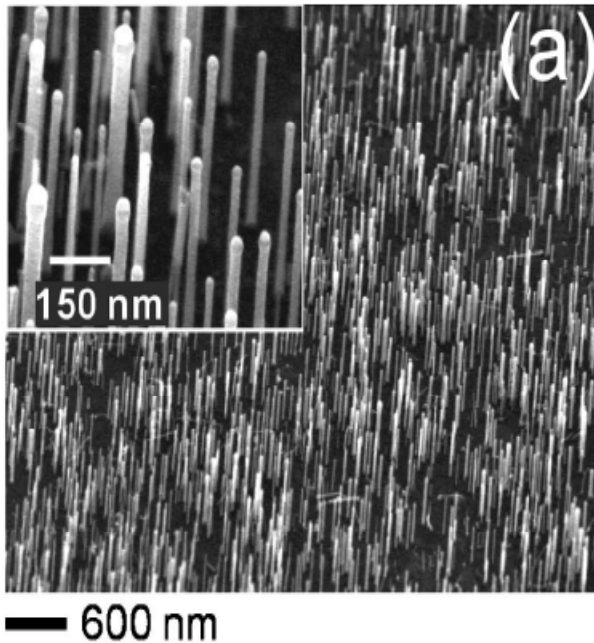
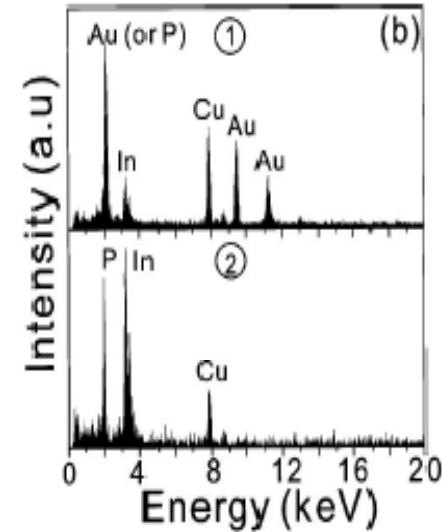
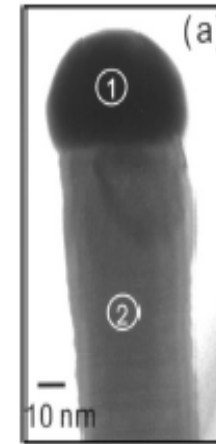
≠0 nucleation time



Gudiksen et al, J. Phys. Chem. B 105, 4062 (2001)

In group IV and III-V mainly [111] NW.  
On (111)B substrates, vertical NW!

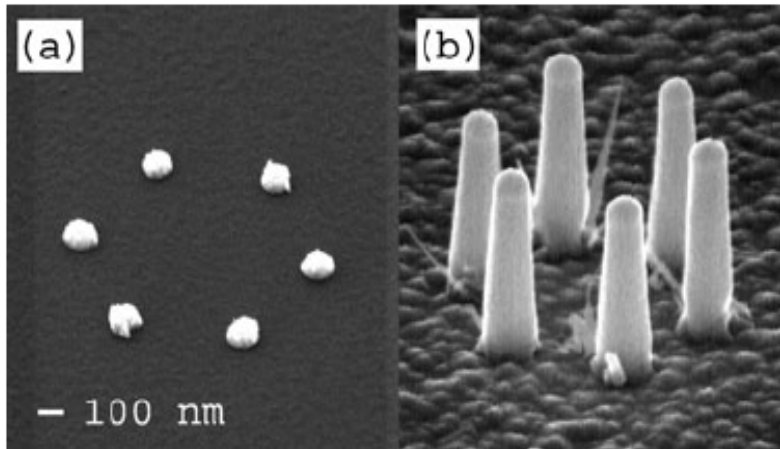
- Colloidal solution of 20 nm Au particles
- MOVPE growth of InP NWs on (111)B InP wafer



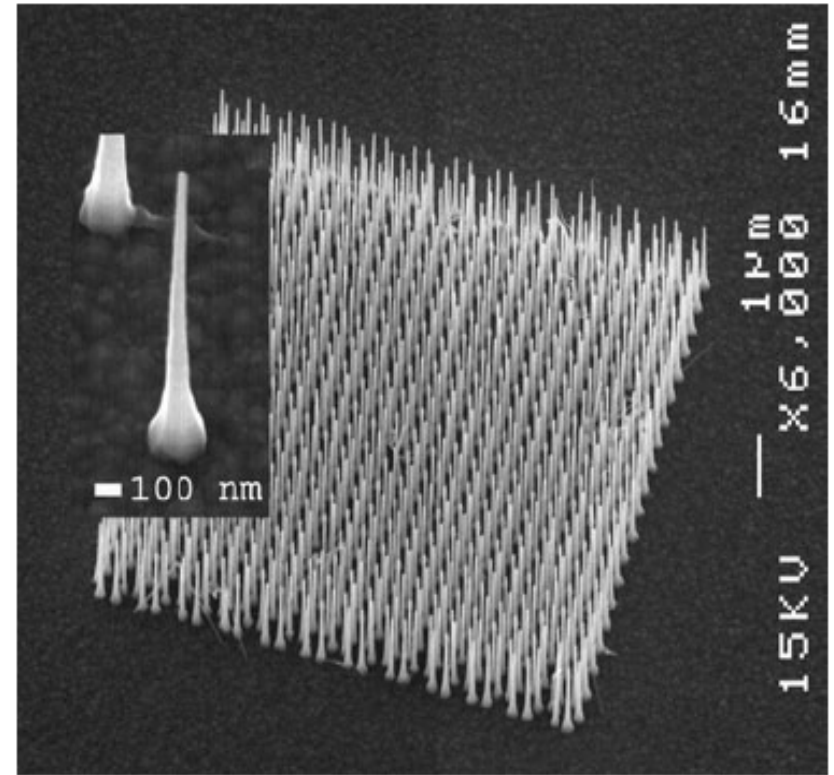
- vertical NW
- uniform diameter
- ZB structure
- [111] oriented but high density of rotational twins

## vertical NWs array: photonic crystal?

- EBL + metal lift-off →
- Au discs
- annealing
- growth



$l = 1 \mu\text{m}$ , top  $\varnothing 140 \text{ nm}$



$l = 3 \mu\text{m}$ , top  $\varnothing 50 \text{ nm}$

Mårtensson *et al*,  
Nanotechnology 14, 1255 (2003)

Oriented NW could be useful for “multi-wire” devices applications

However, the “easy” growth direction  $[111]$  has two important drawbacks:

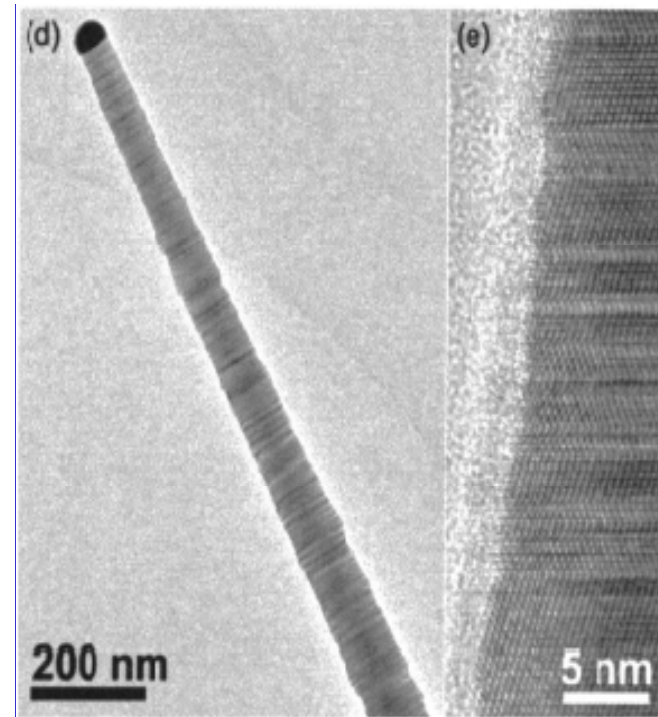
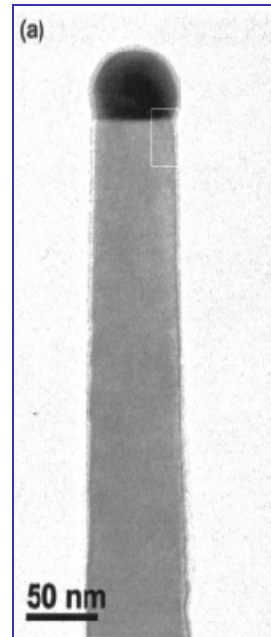
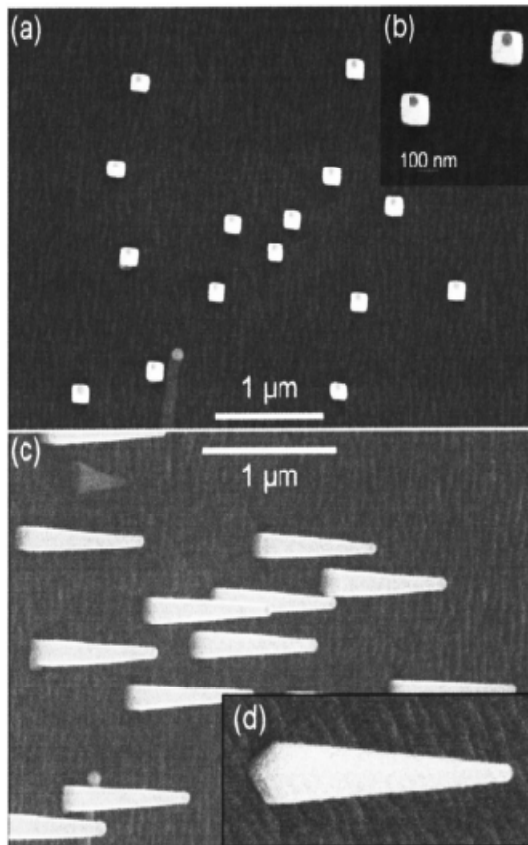
- it is the preferable direction for forming stacking faults
- one needs to use the technologically unfavourable  $(111)\text{B}$  substrate orientation instead of the widely used  $(001)$



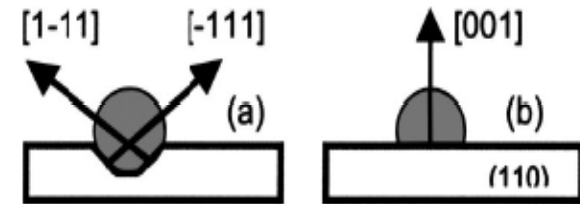
InI (001) surface  
Au nanoparticles +  
MOVPE

[001] NW  
defect free

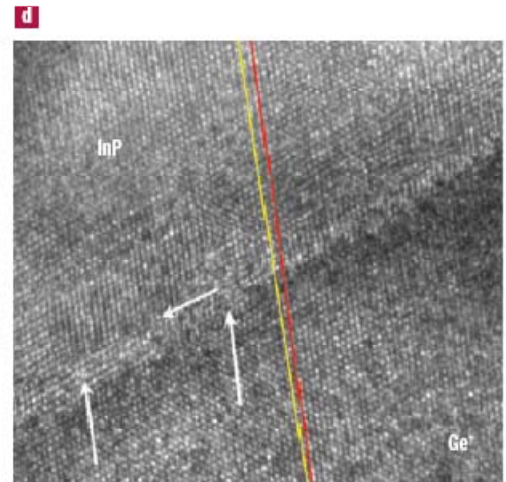
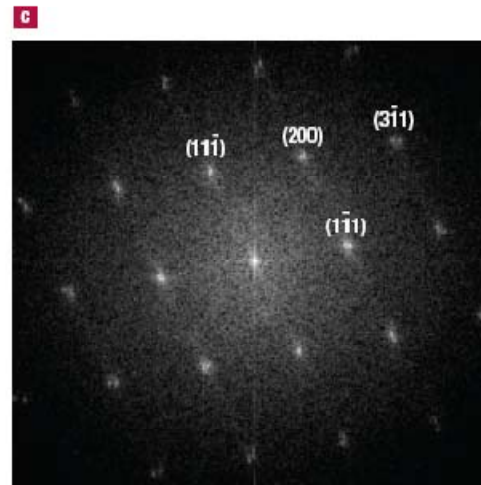
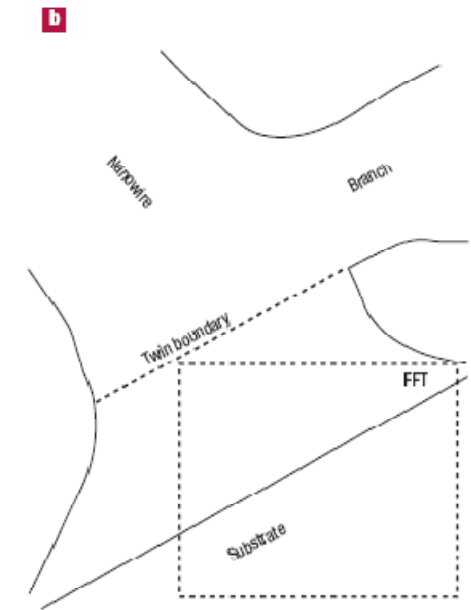
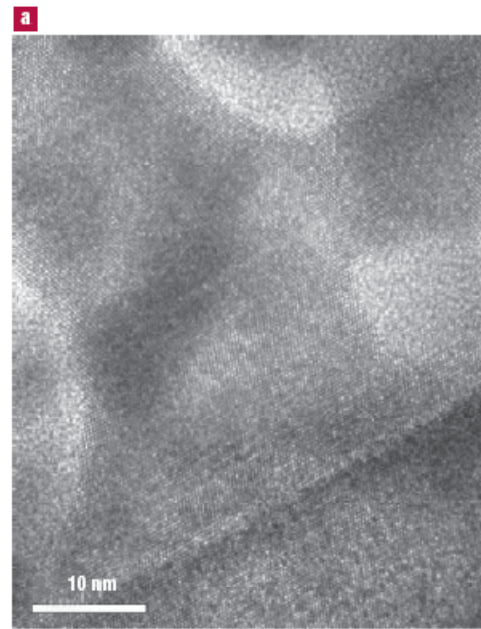
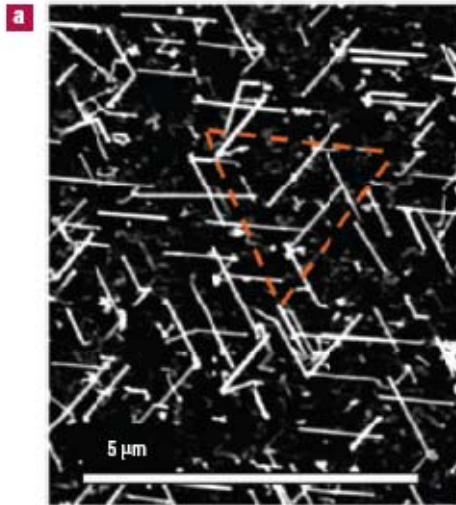
[111] NW  
twinned



preferential  
orientation depend on  
the annealing

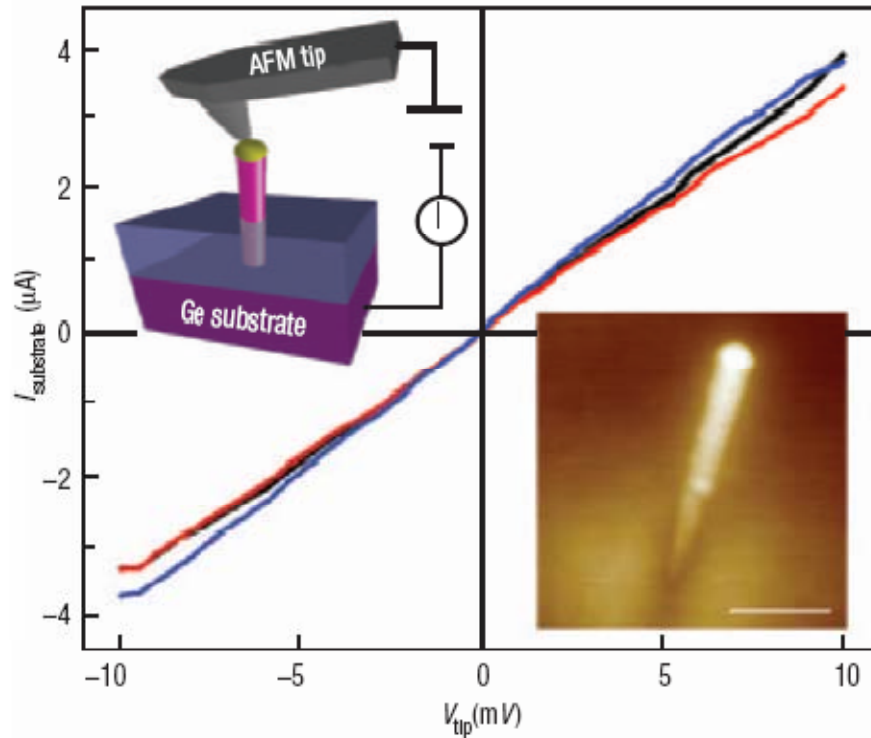


NWs can grow epitaxially  
and defect free on highly  
mismatched substrate: (111)  
InP wires on Ge(111)  
(3.7 % mismatch)



Bakkers et al,  
Nat Mat 3,769 (2004)

## n-type InP NW on n-type Ge substrate



I-V measurement between the NW tip and the substrate by using a AFM with conducting tip.

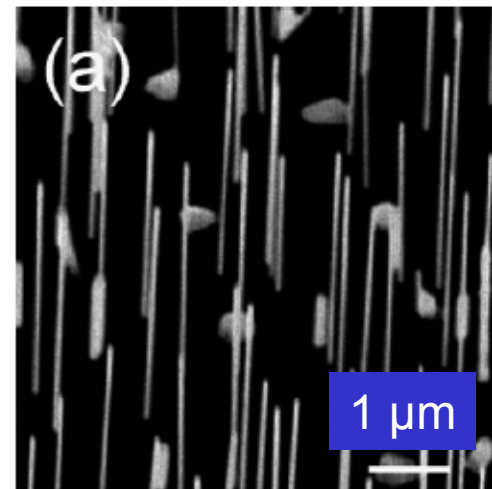
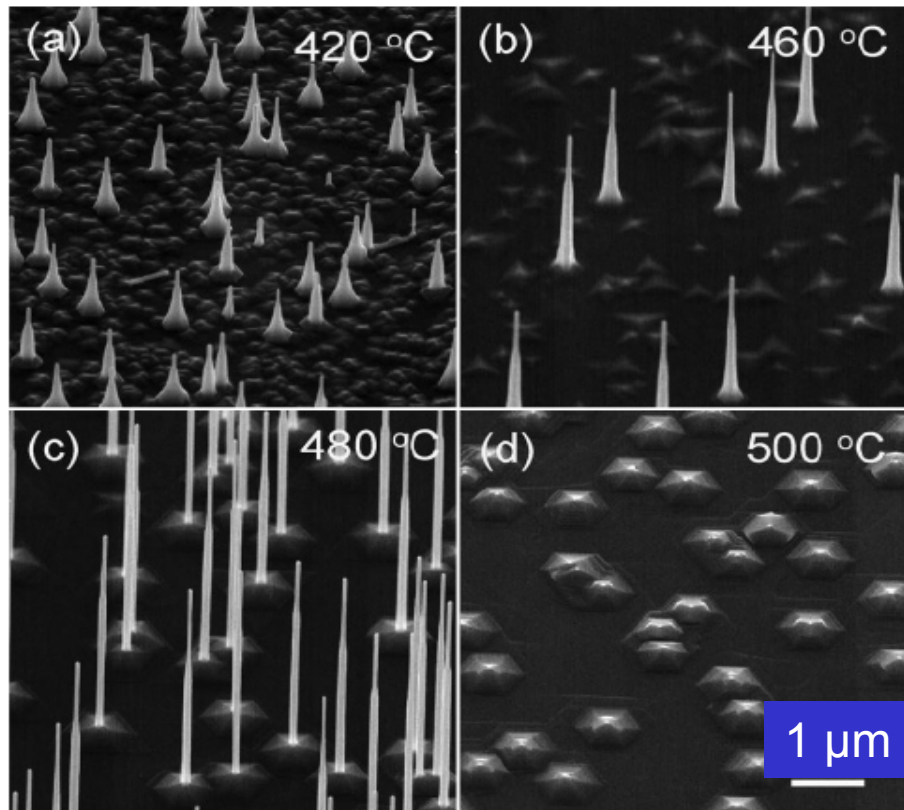
Low resistance ohmic behavior  $\longrightarrow$

Low resistance heterointerface

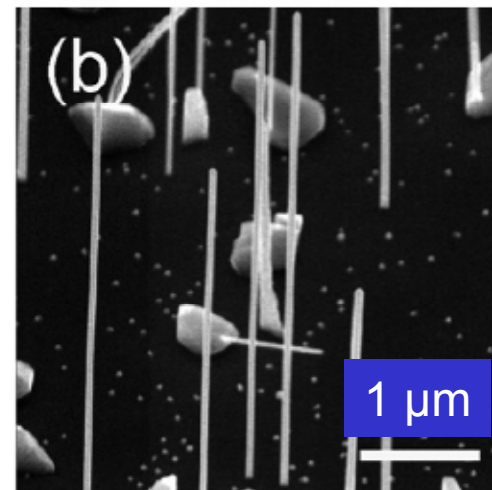
# Can VLS always explain NW's growth?

InAs NW growth by MOVPE on InAs(111)B

Au nanoparticles

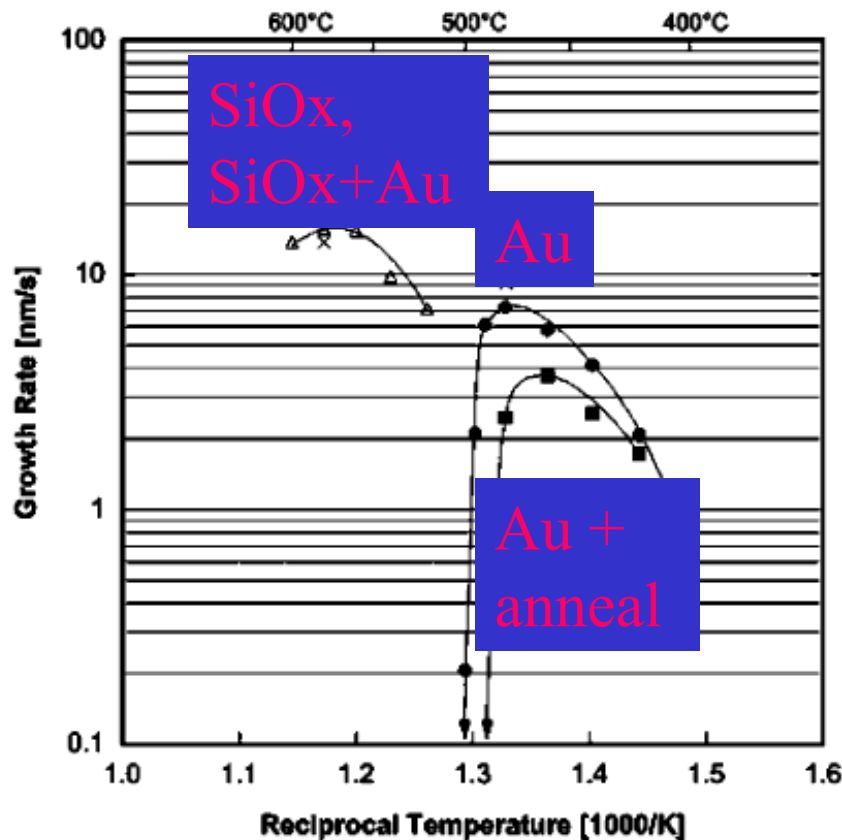


1.3 nm SiOx,  
580° C



1.3 nm SiOx +  
Au nanop. ,  
580° C

Dick *et al*, Nano Lett. 5, 762 (2005)



from Au-In phase diagram:

$T_m = 490^\circ$  24.5-25.0% In

$T_m = 490^\circ$  28.8-31.5 % In

$T_m = 460^\circ$  35.4-39.5% In

EDS on the NW's tip:

25-30% In in Au.

growth stops when the particle melts!

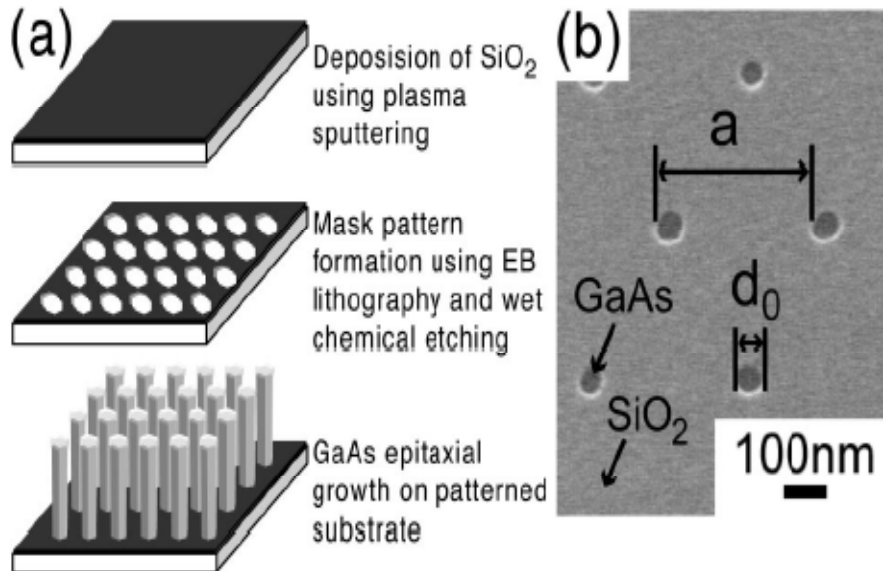
Growth rate drop is not a matter of InAs decomposition.

The oxide layer reduces In incorporation in Au, and prevents melting.

Au is not a catalyst, but provide a low energy interface where material is collected, yealding higher growth rate.



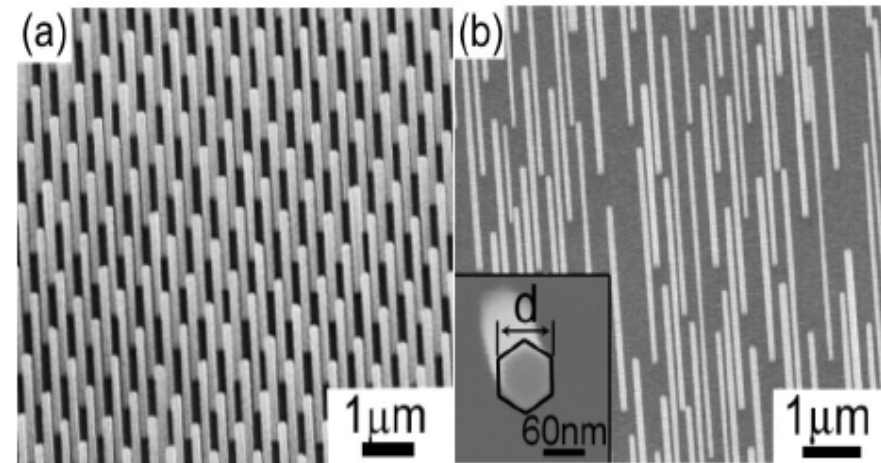
## But also catalyst free growth of GaAs NWs!

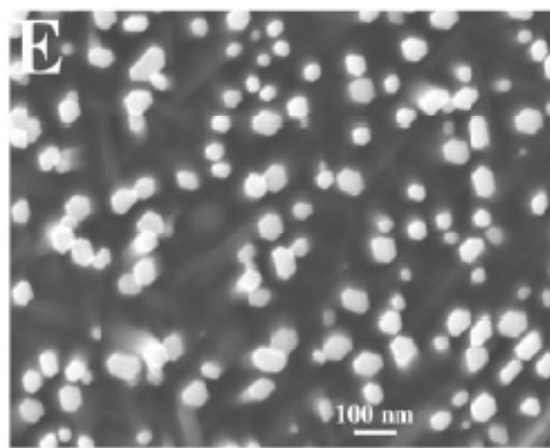
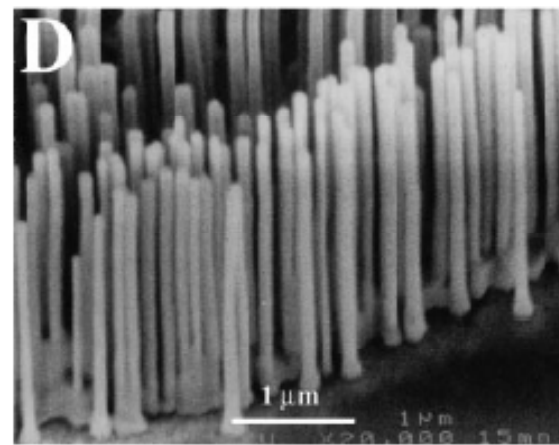


## Selective Area MOVPE on GaAs (111)B

$d_0 = 200 \text{ nm}$

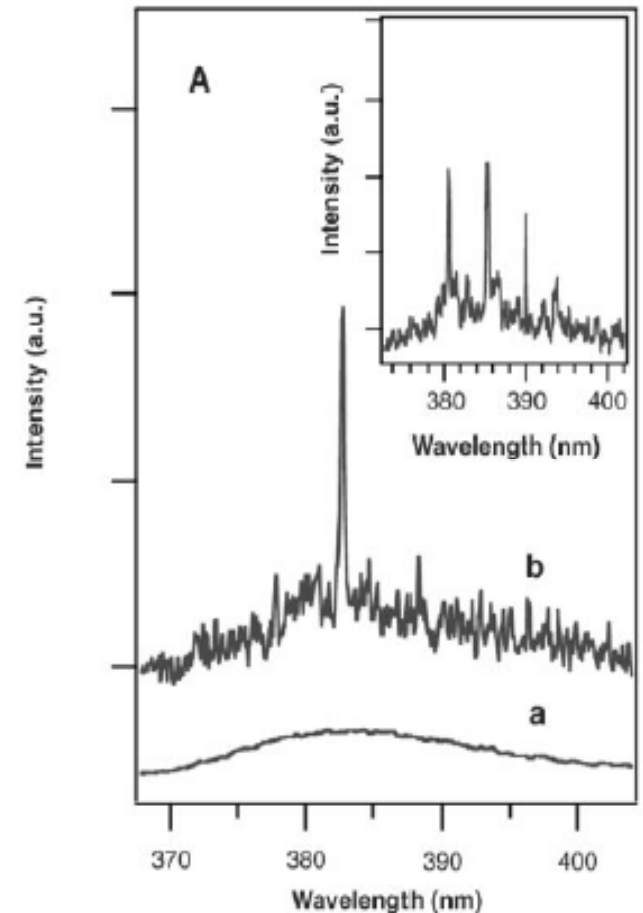
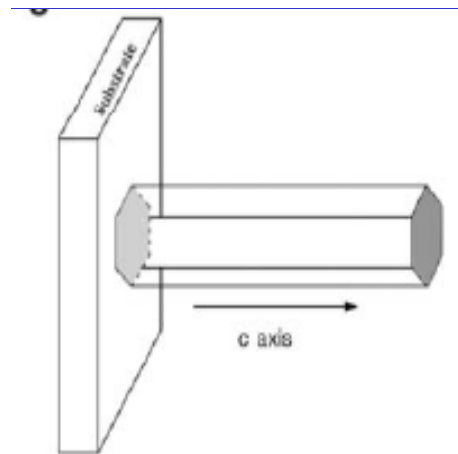
$d_0 = 50 \text{ nm}$





Optically pumped  
NW laser

ZnO on sapphire,  
Au catalysed  
[1000] growth,  
hexagonal facets.  
Optical pumping  
at 10° from the axis,  
light collection in axis

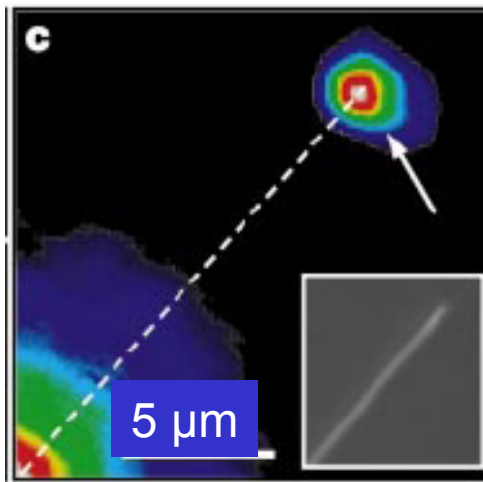
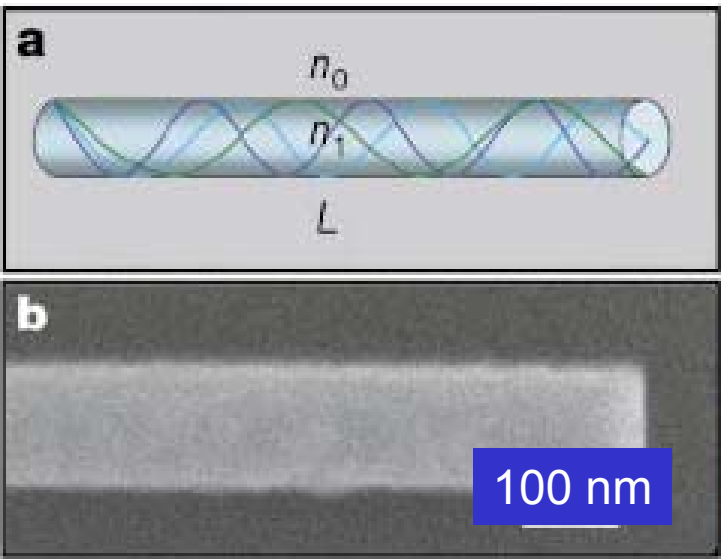


Huang *et al*, Science 292, 1897 (2001)

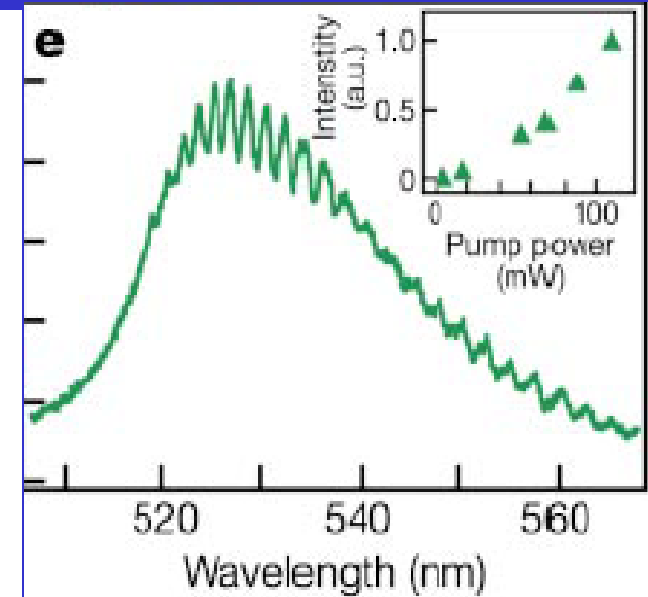
# Single NW electrically driven laser

[0001] wurzite Au cat. CdS NW

NW as single mode optical cavity  
when  $1 \approx (\pi D/\lambda)(n_1^2 - n_0^2)^{0.5} < 2.4$   
for CdS  $D \geq 70$  nm



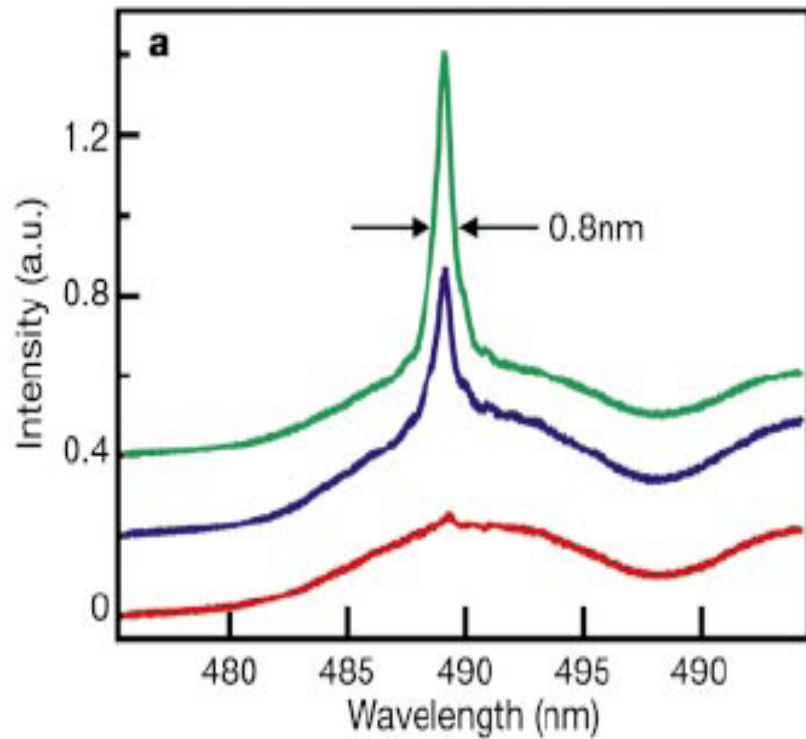
PL excited on  
the NW,  
emission at the  
tip!



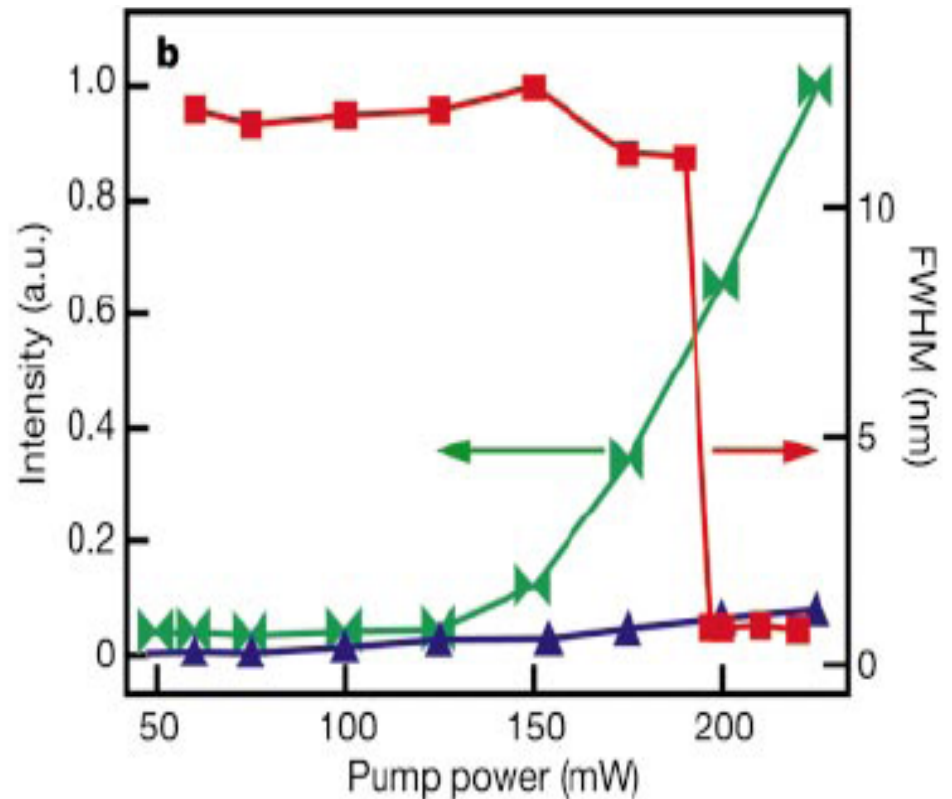
PL collected at the NW tip:  
Fabry-Perot cavity!  
 $m(\lambda/2n_1) = L$

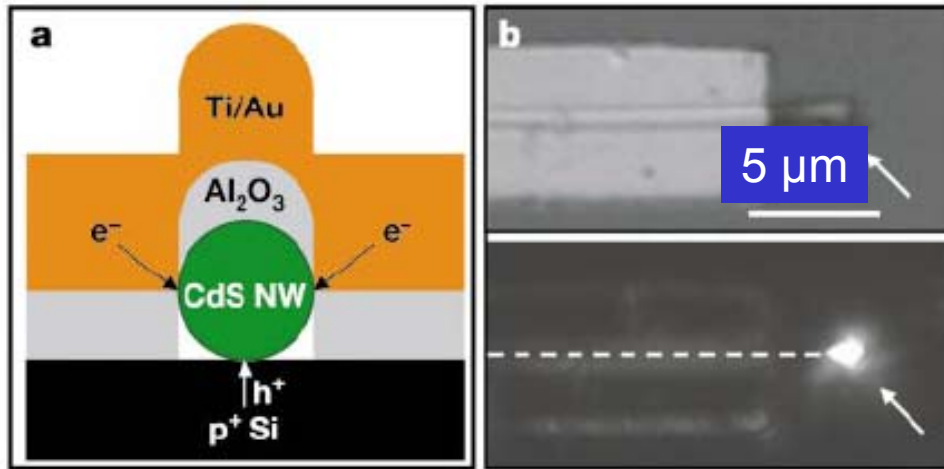


# Optically pumped single mode lasing of single NW!

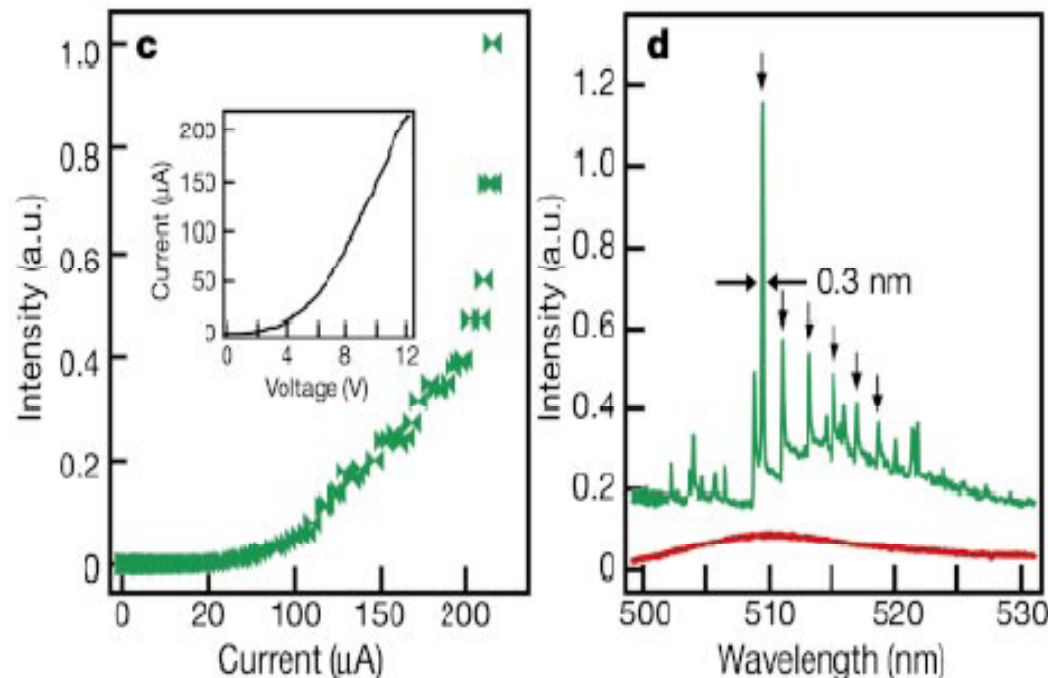


emission from the  
NW end

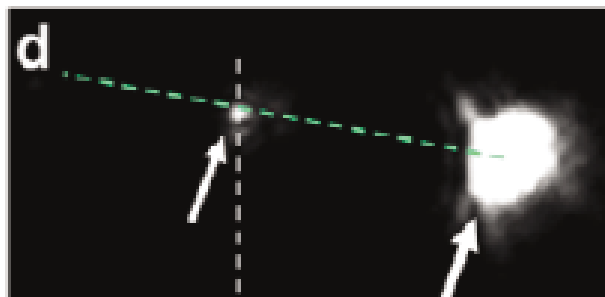
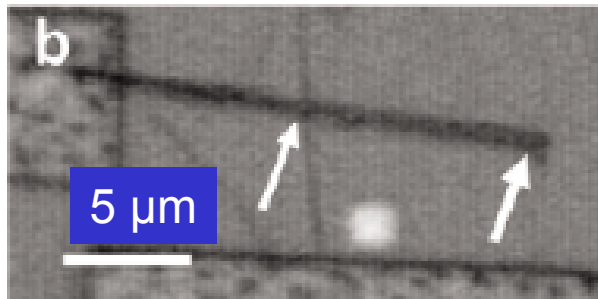
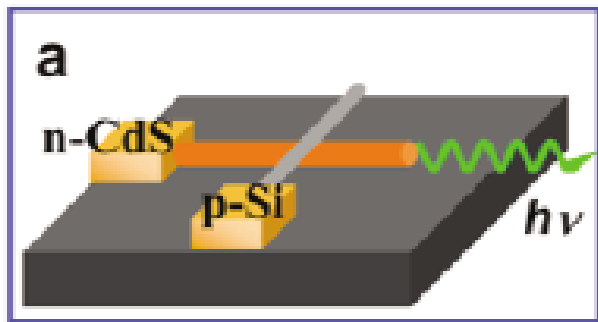




n-type CdS wire  
on p<sup>+</sup> Si wafer  
+ EBL and contact  
deposition=  
distributed p-n junction

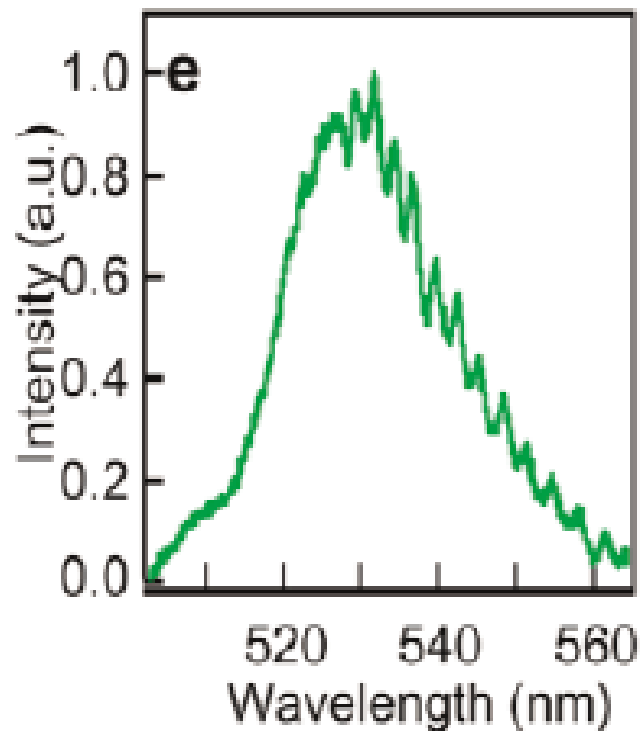


RT electrically driven  
single NW lasing!!



p-n junction by crossing  
p- and n-type NWs

electroluminescence from the  
NW end is modulated: optical cavity



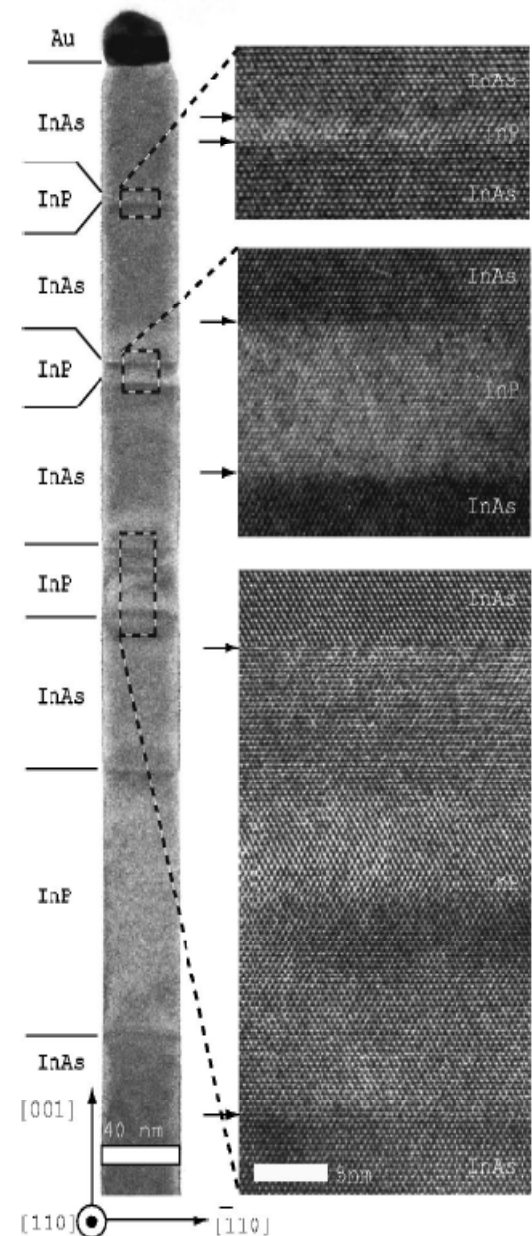
Heterostructures technology +  
nanowhisker growth =  
one dimensional heterostructures

- small cross section,
- efficient lateral lattice relaxation  
→ one can combine different  
materials despite their bulk lattice  
mismatch

CBE on GaAs(111)B

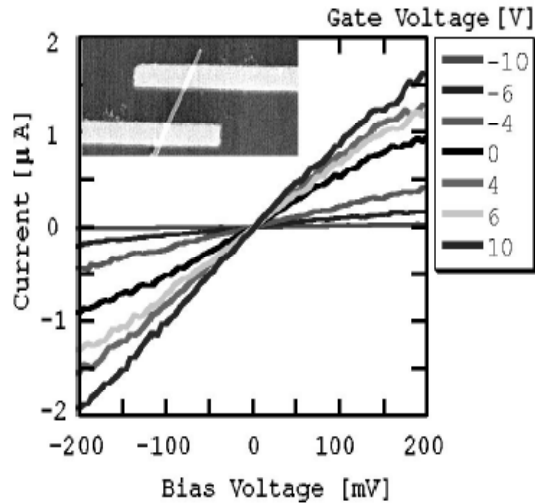
40 nm Au nanoparticles

[100] oriented due to the  
GaAs/InAs misfit at the  
interface

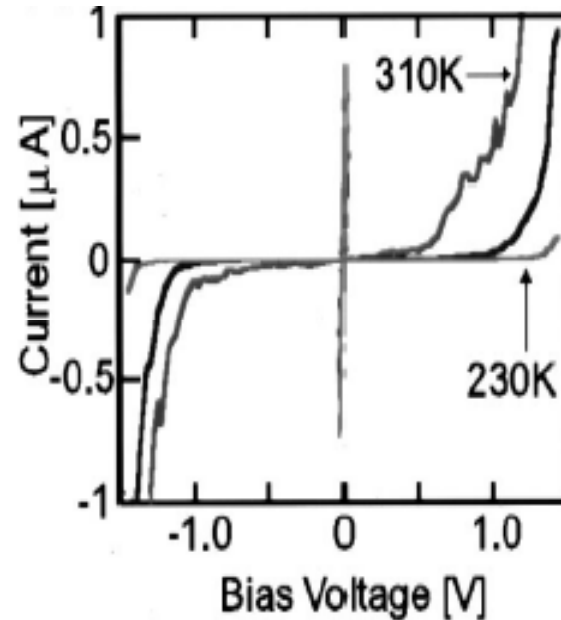


# single wire transport measurement:

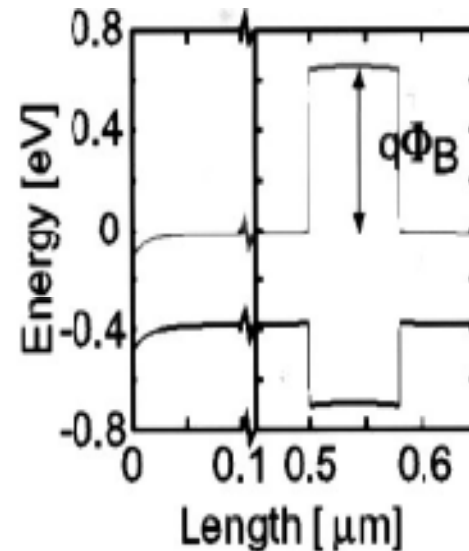
## reference InAsNW



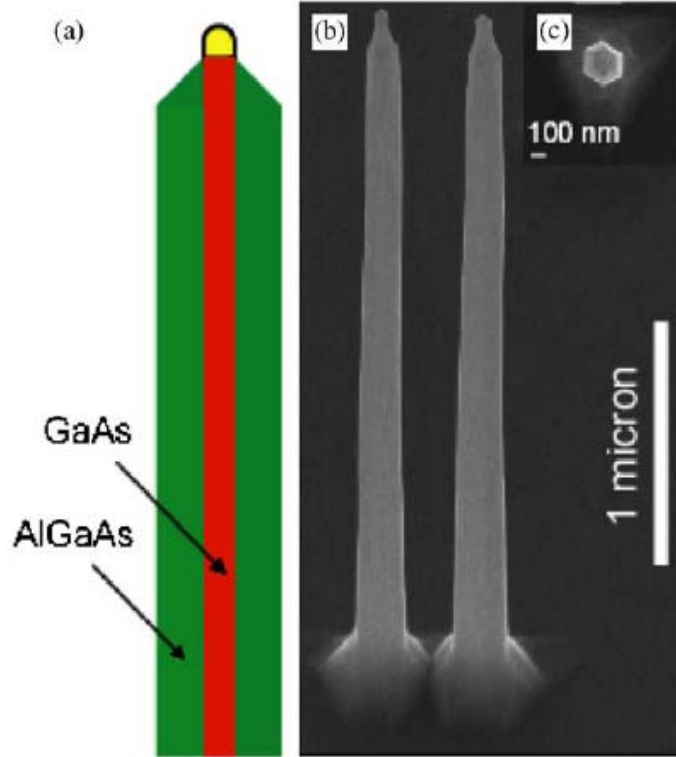
## InAs/InP/InAs NW



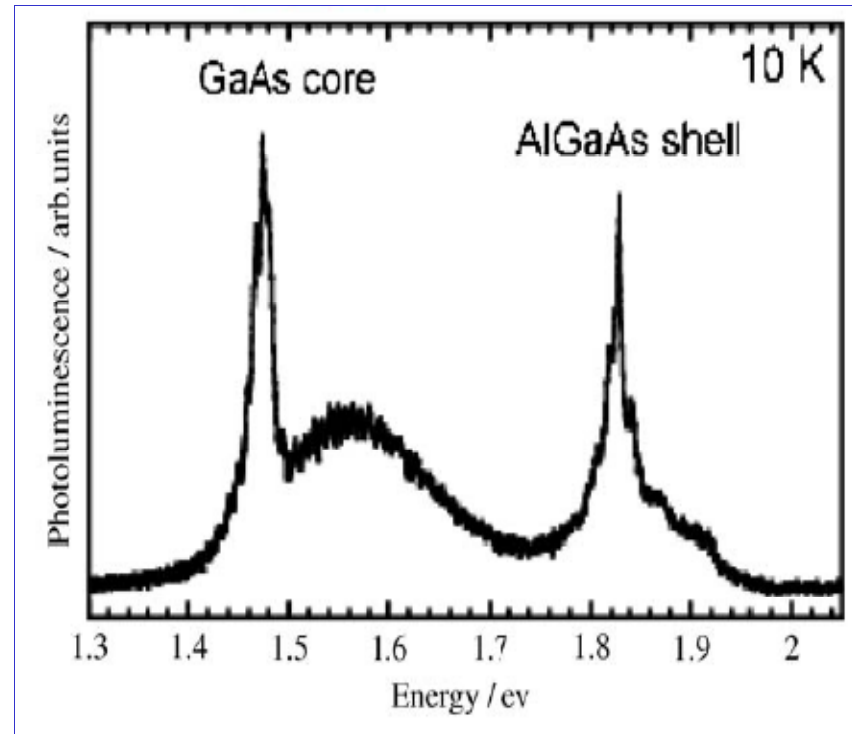
barrier height  $q\Phi_B = 0.6 \text{ eV}$



# Core-shell heterostructures



strong GaAs core PL

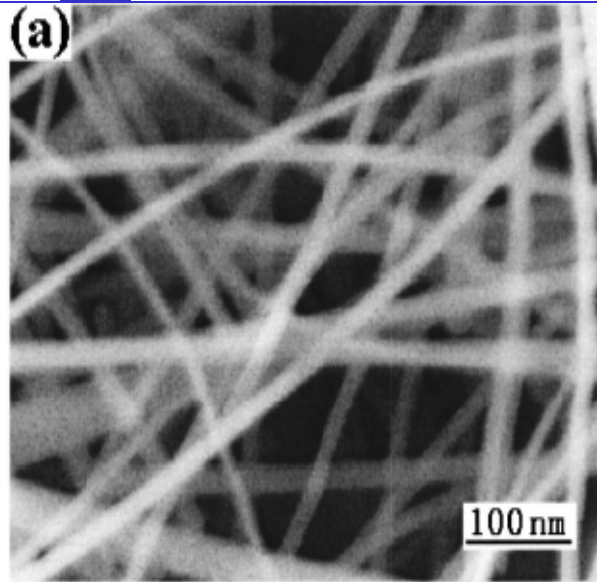


MOVPE growth:  
GaAs first at 450°C, then  
AlGaAs at 630°C.  
enhanced lateral growth  
(non VLS)

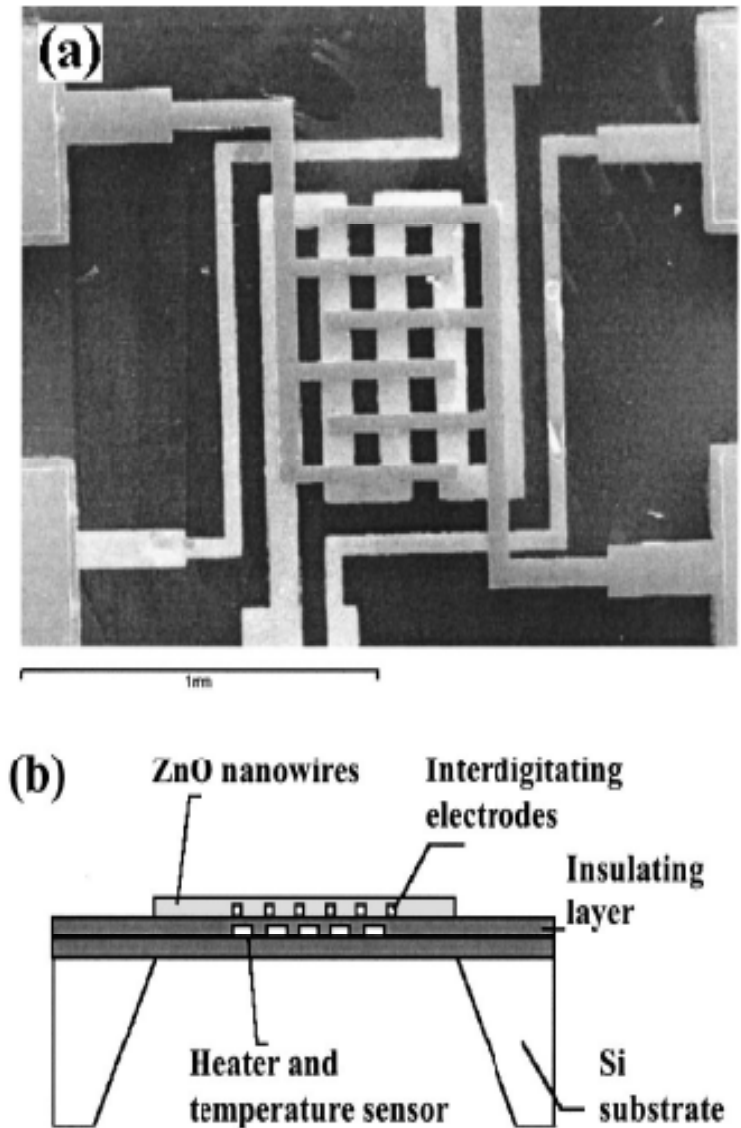
in combination with modulation  
doping promising candidates for  
1D electron gas structures

Seifert *et al*, JCG 272, 211 (2004)

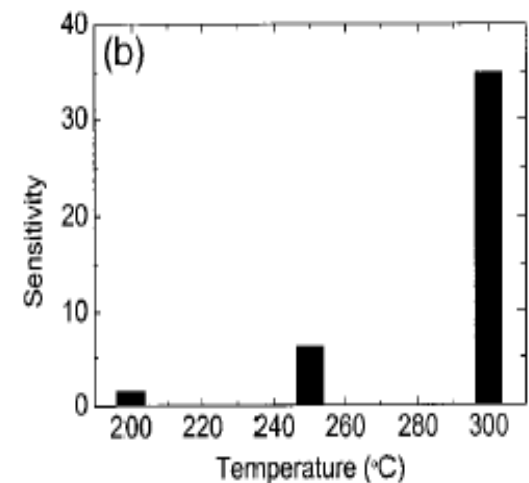
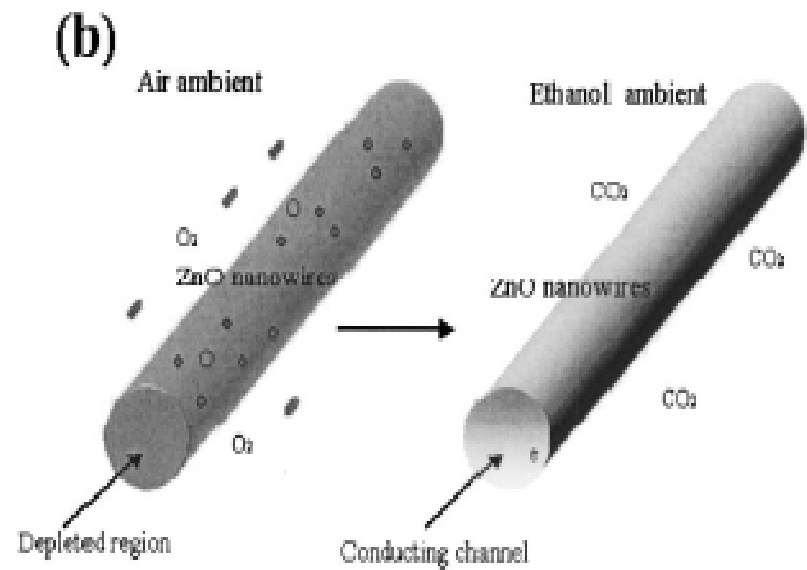
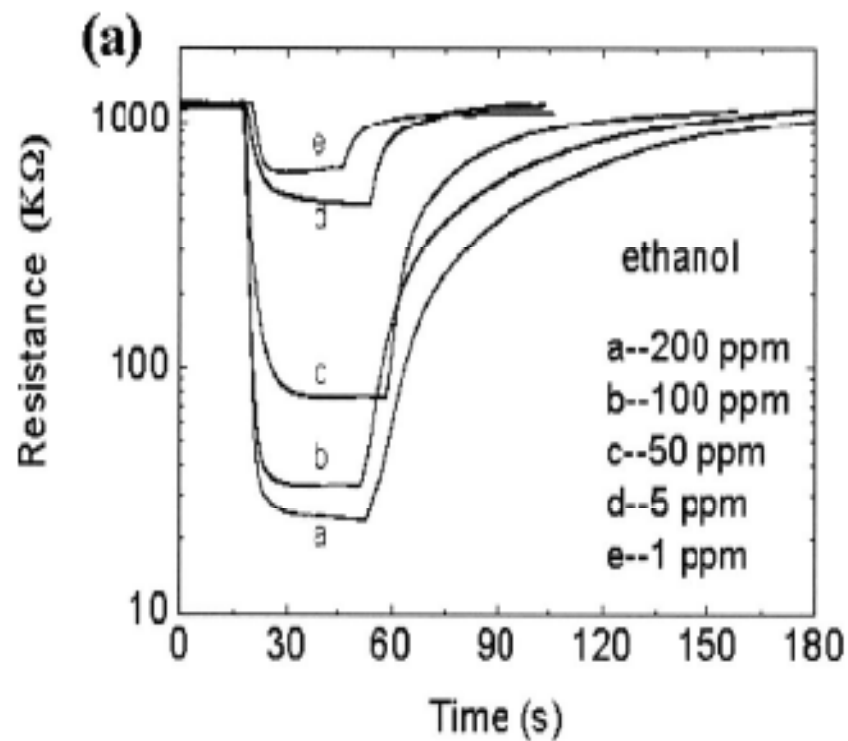
# Ethanol sensing ZnO NW-based device



NW ultrasonically dispersed in ethanol, dried, deposited on interdigitated Pt contacts by spin coating.





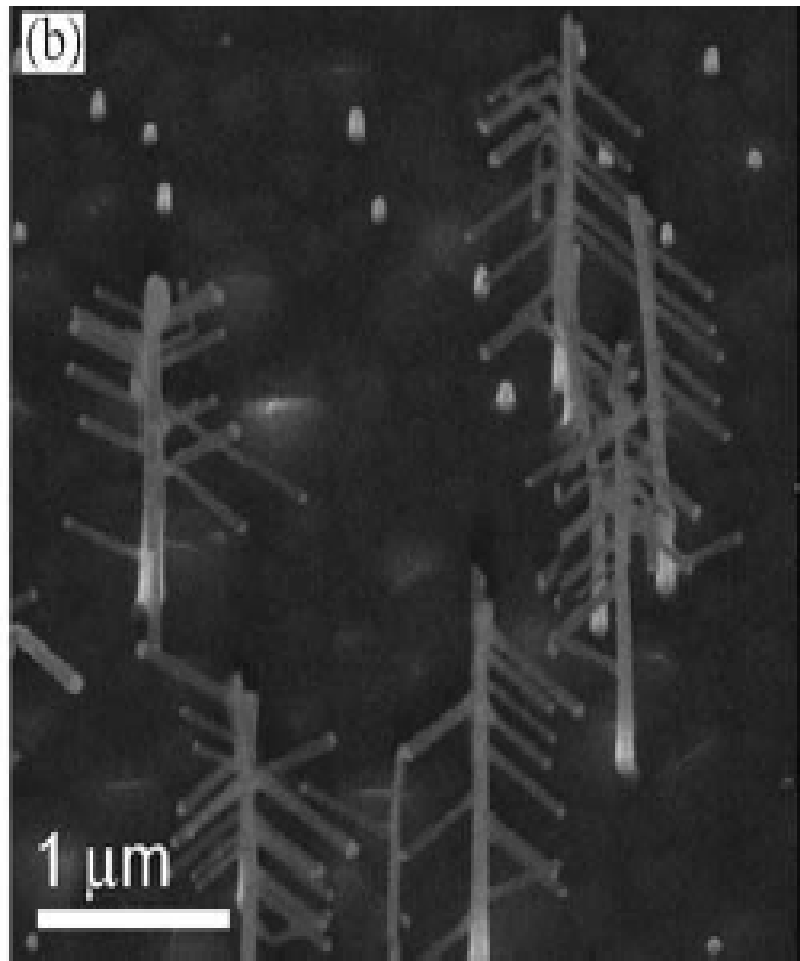


In air high R due to  $O_2^-$  adsorbed at the surface capturing electrons.

Ethanol reduces the density of  $O_2^-$  ions and increase the electron density. Transport properties of the entire NW change

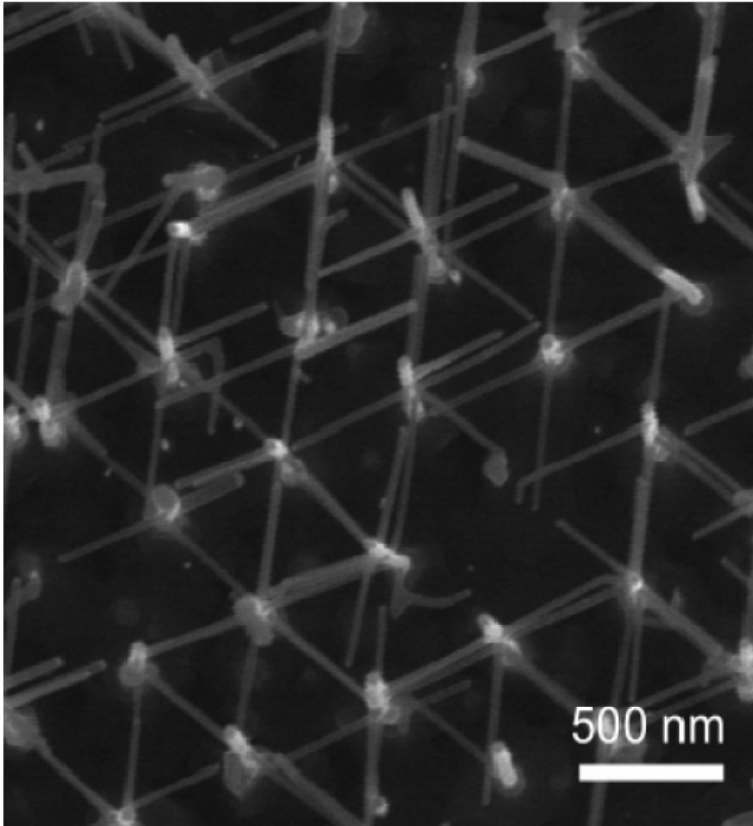
enhanced sensitivity at 300°C



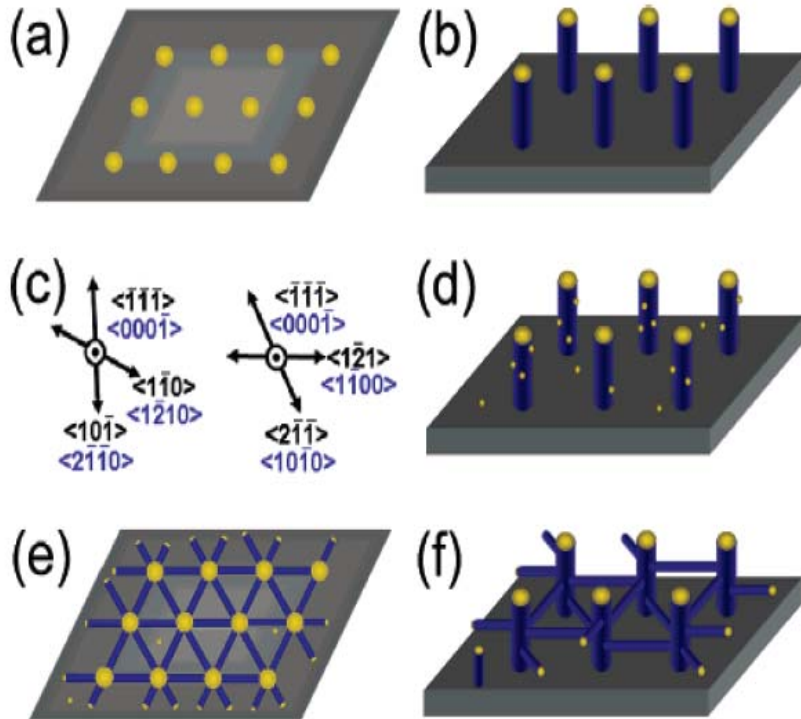


Nanotrees by multistep seeding with Au nanoparticles

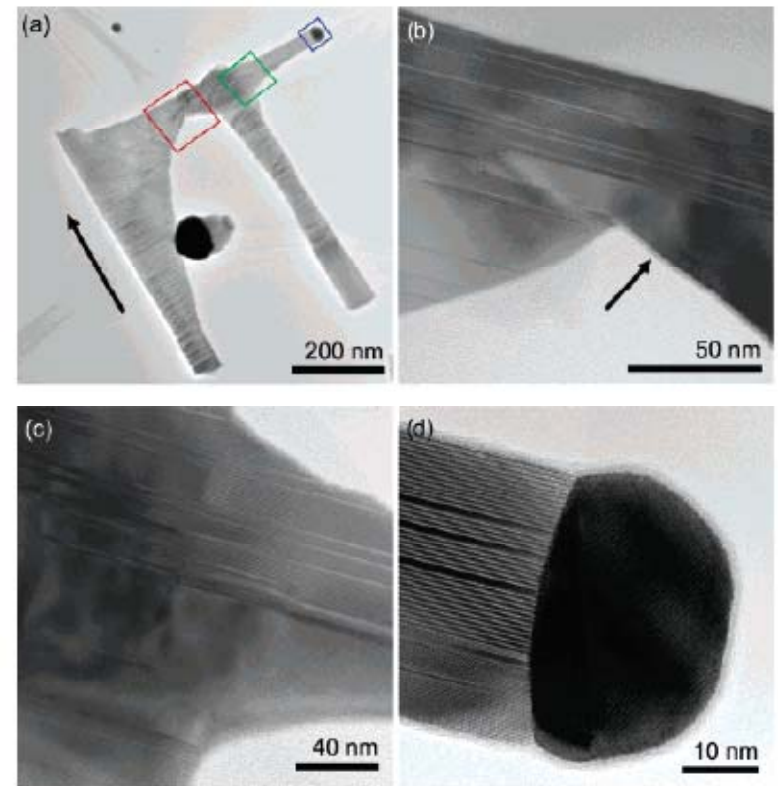
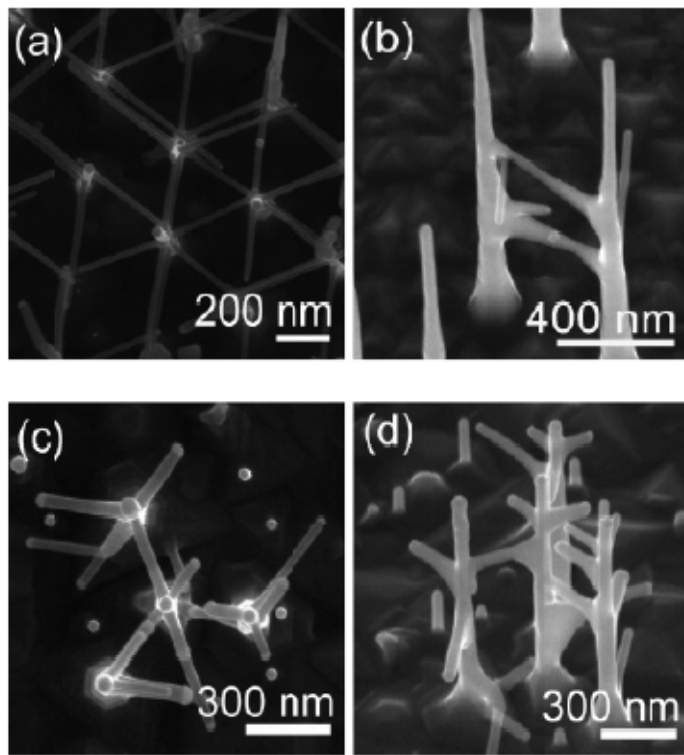
GaP on GaP (111) by MOVPE



InAs on InP (111) by MOVPE  
Au and Au-In assisted



- Litographically defined Au seeds to form a network in the  $\langle \underline{2}11 \rangle$  directions
- growth of the “trunks” in the wurtzite  $\langle 0001 \rangle$  direction
- branches seeded by aerosol Au-In particles
- Growth of the branches in the six equivalent  $\langle 1\underline{1}00 \rangle$  direction
- merge of the bbranches with the neighboring trunks



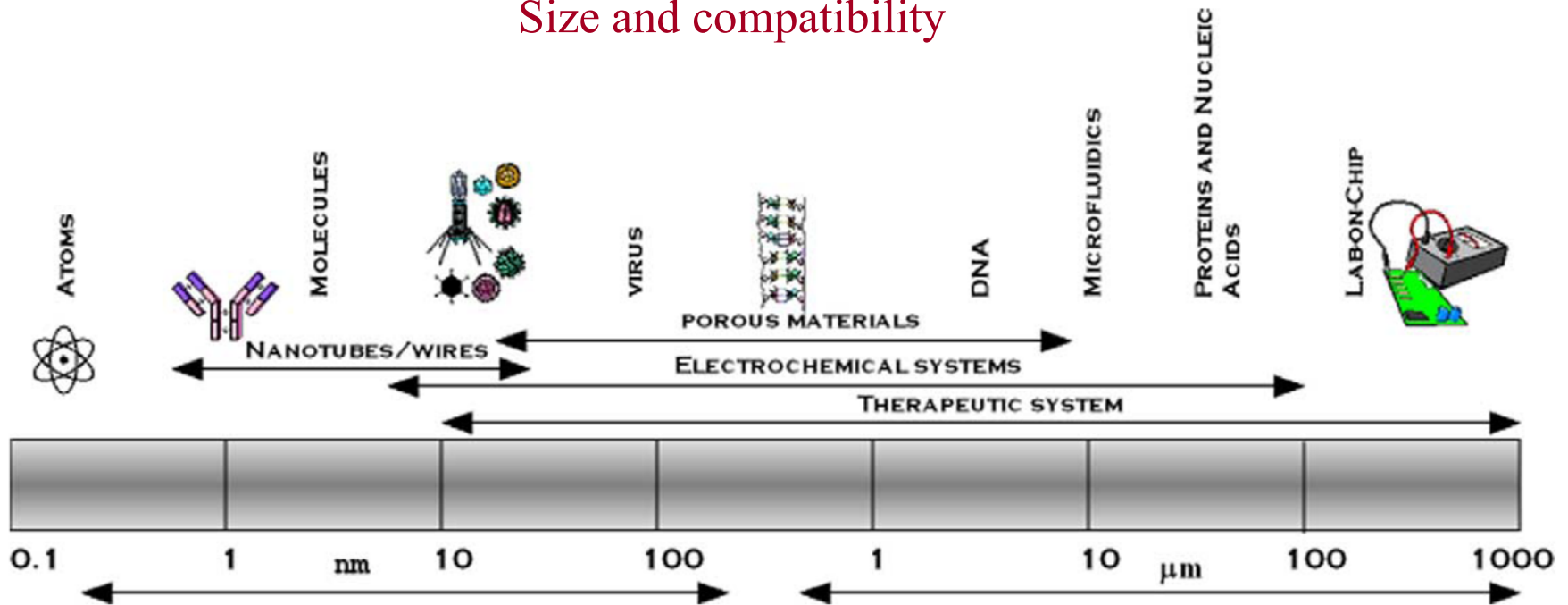
Branches grows epitaxially on the trunks and merge as single crystal to the neighboring trunks

Dick *et al*, Nano Letters 2006

# Sensor

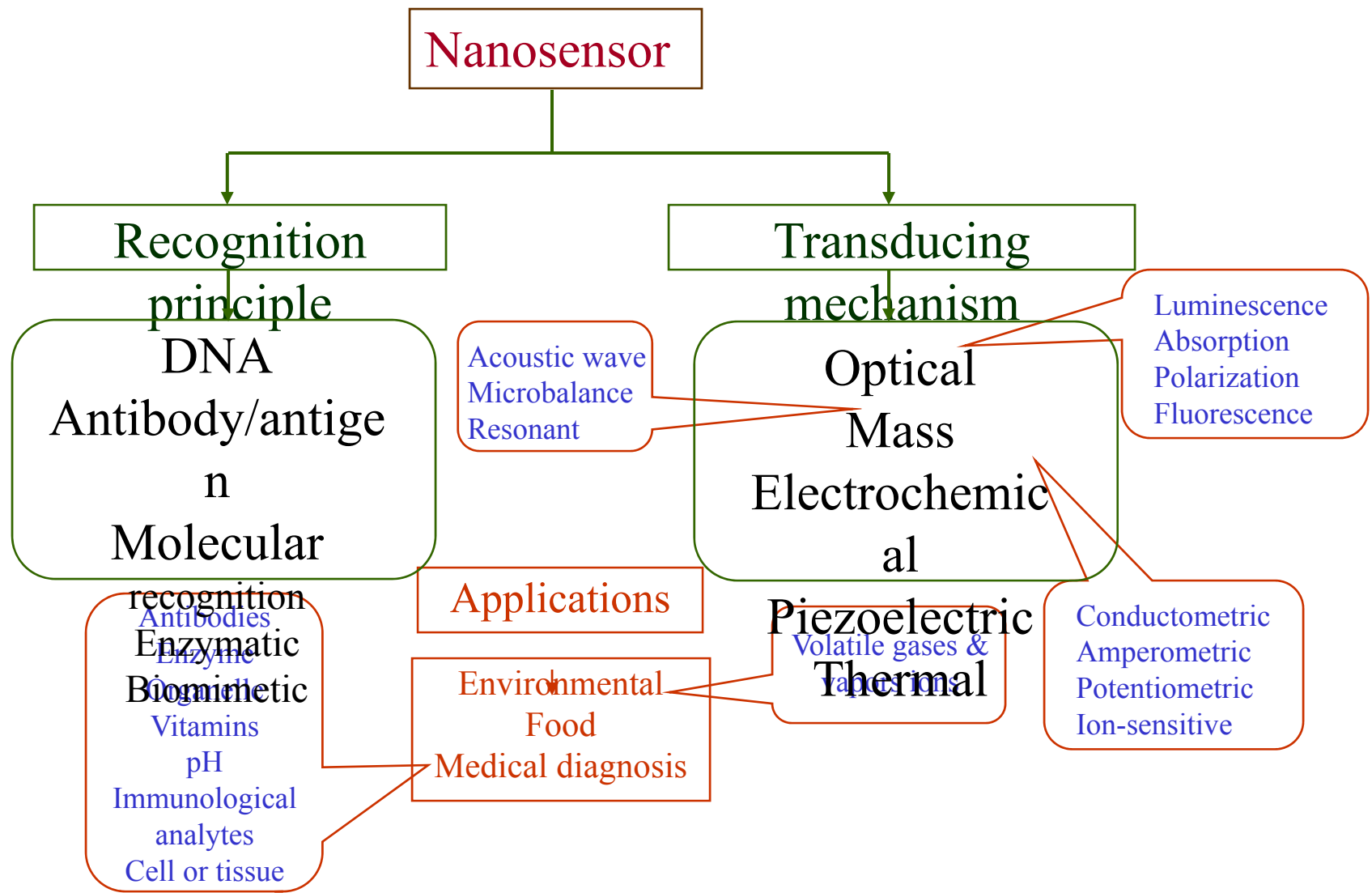
- A sensor is an instrument that responds to a physical stimulus (such as heat, light, sound, pressure, magnetism, or motion)
- It collects and measures data regarding some property of a phenomenon, object, or material
- Sensors are an important part to any measurement and automation application
- The sensor is responsible for converting some type of physical phenomenon into a quantity measurable by a data acquisition (DAQ) system

## Size and compatibility



Nano sensors deliver real-time information about the antibodies to antigens, cell receptors to their glands, and DNA and RNA to nucleic acid with a complimentary sequence

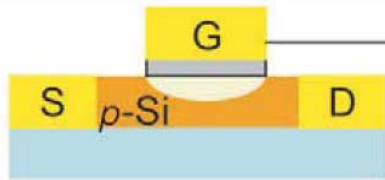
Sensitivity of the conventional biosensors is in the range between  $10^3$  and  $10^4$  colony forming units (CFU)/ml. The dimensional compatibility of nanostructured materials renders nanotechnology as an obvious



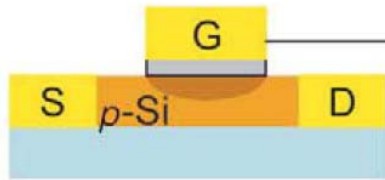
**Scheme 1. Representation of recognition process and application of Nanosensor**

## Field-Effect Sensors (FET)

### Si nanowire sensor device

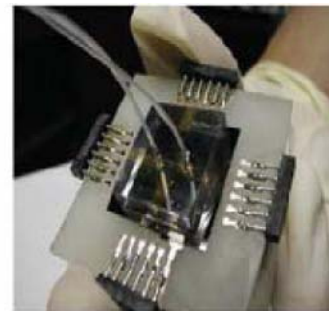
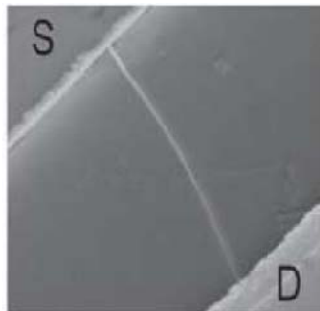
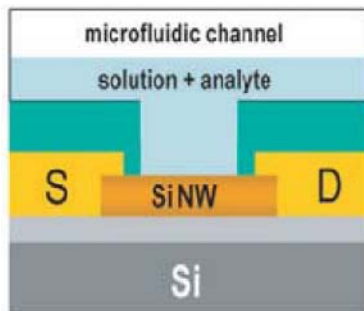


$V_G > 0$  depletion of carriers conductance decreases



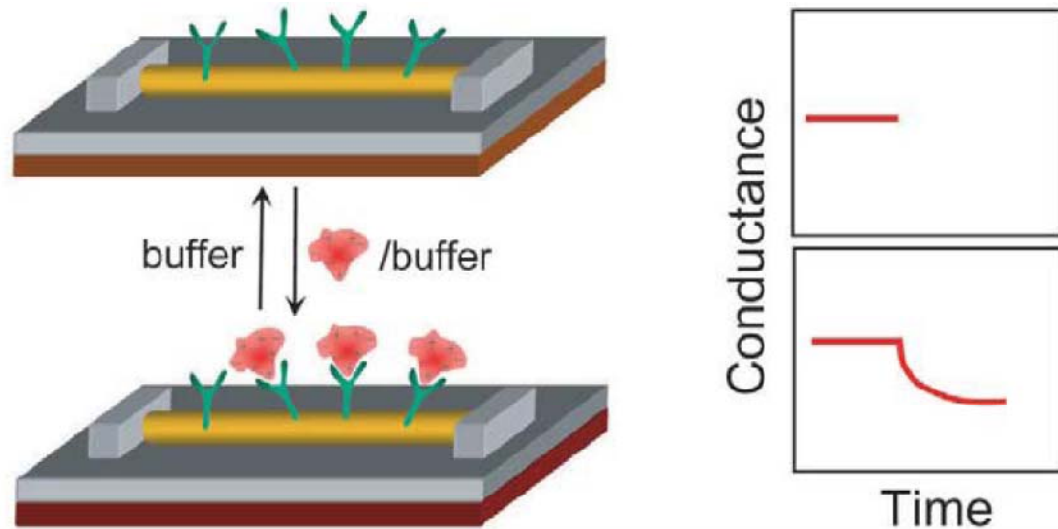
$V_G < 0$  accumulation of carriers conductance increases

Schematic of a regular planar FET device, where S, D, & G correspond to source, drain, and gate, respectively



Cross-sectional diagram and scanning electron microscopy image of a **single Si nanowire sensor device**, and a photograph of a prototype nanowire sensor biochip with integrated microfluidic sample





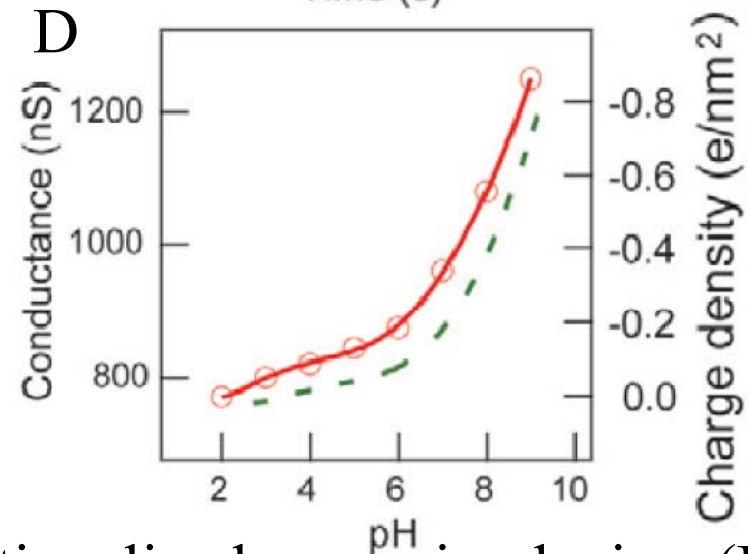
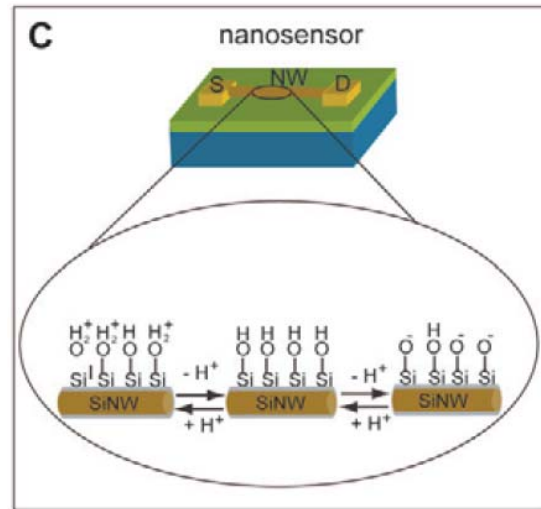
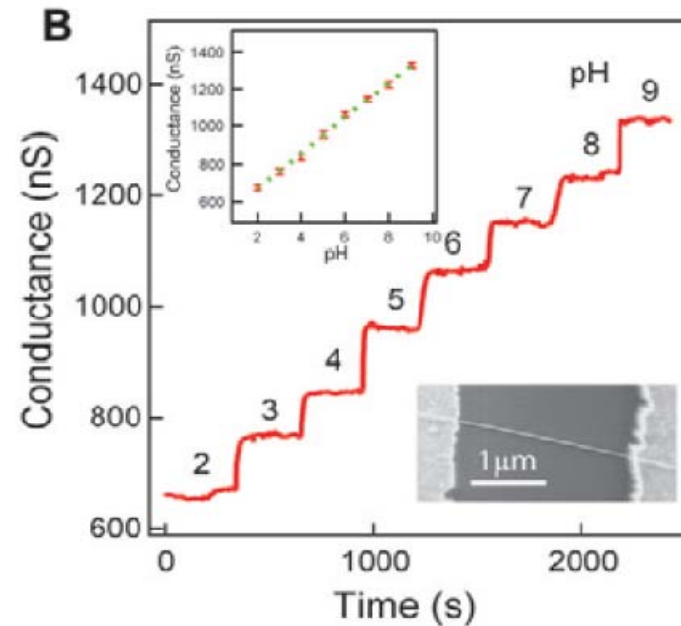
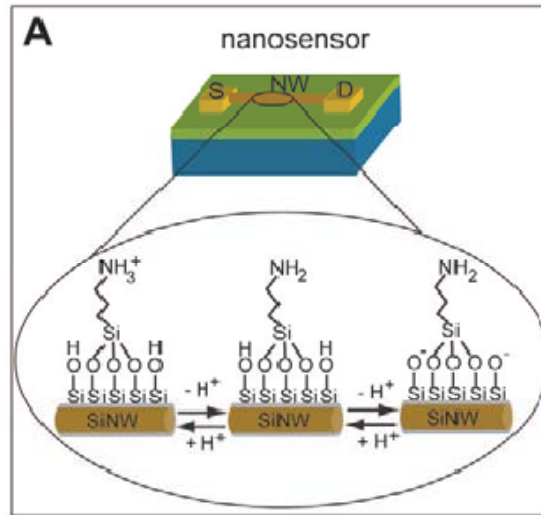
Schematic of a Si nanowire-based FET device configured as a sensor with antibody receptors (green), where binding of a protein with net positive charge (red) yields a decrease in the conductance

➤ A general sensing device can be configured as illustrated in Fig. 1C, where specific sensing is achieved by linking a recognition group to the surface of the nanowire

➤ Si nanowires with their natural oxide coating make this receptor linkage straightforward

➤ When the sensor device with surface receptor is exposed to a

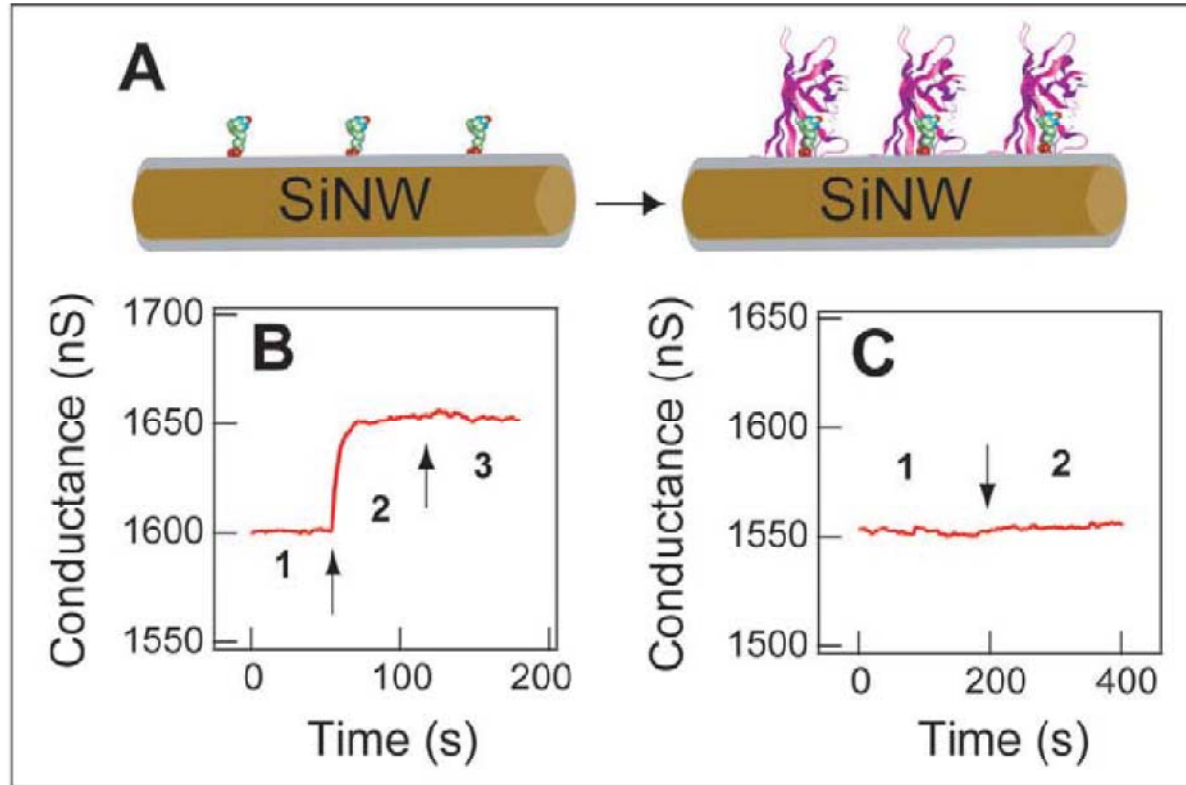
# Nanowire pH sensors



(A) Schematic of an amino-functionalized nanowire device. (B) Changes in nanowire conductance as the pH of solutions delivered to the sensor is varied from 2 to 9; inset is a plot of conductance data

# Real-time detection of proteins and DNA

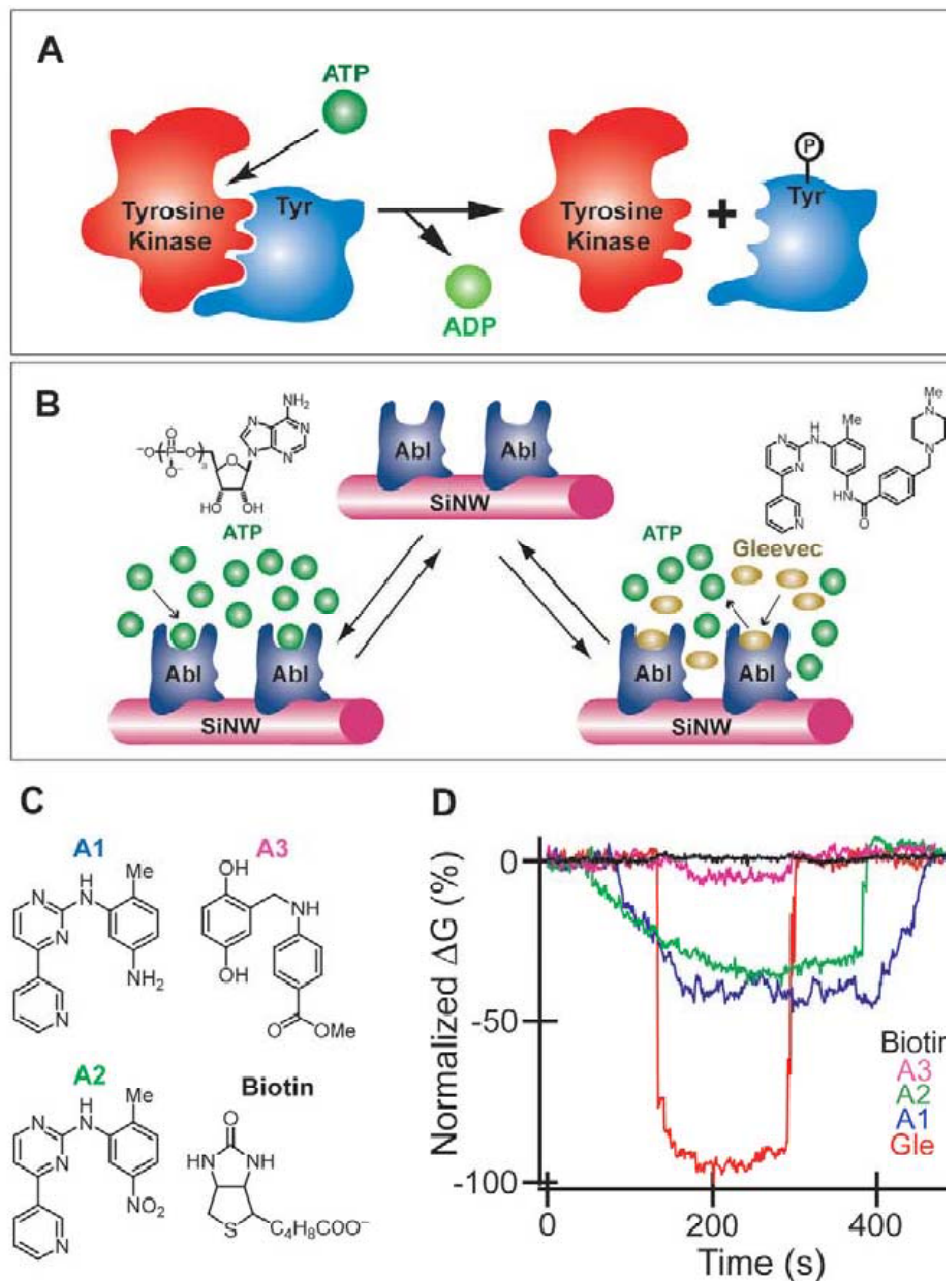
## Detection of Proteins



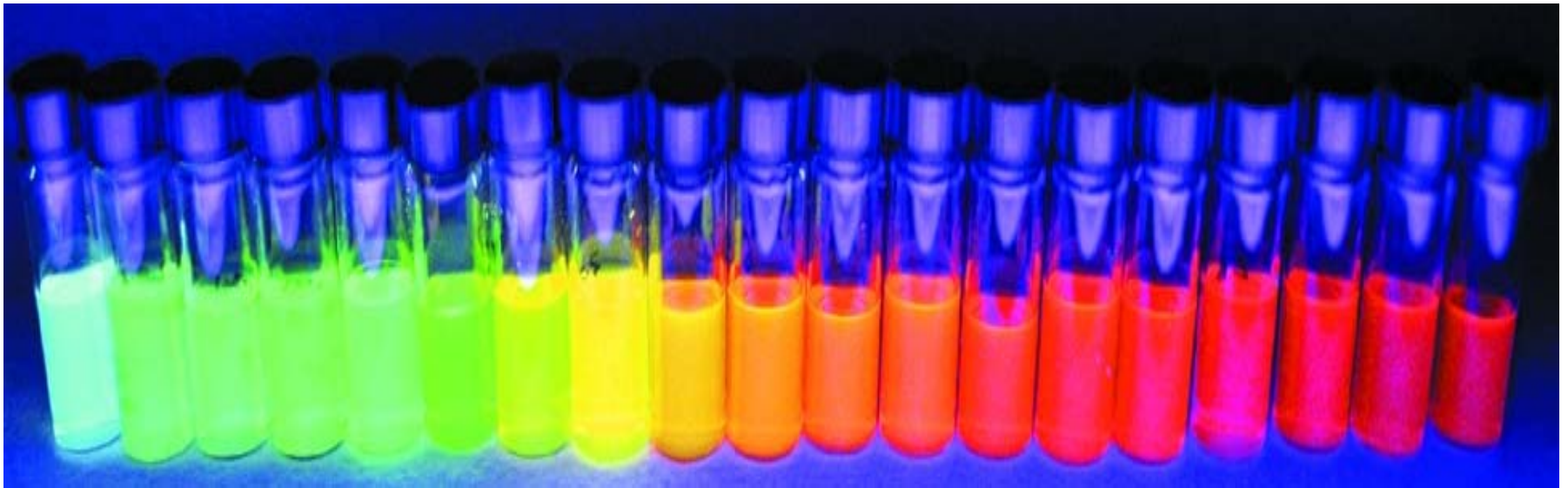
(A) Schematic of a biotin-modified Si nanowire and subsequent binding of streptavidin to the modified surface. (B) Plot of conductance versus time for a biotin-modified Si nanowire, where region 1 corresponds to the buffer solution, region 2 corresponds to the addition of 250 nM streptavidin, and region 3 corresponds to pure

## Nanosensors for drug discovery

- (A) Illustration of tyrosine kinase function, where ATP binds to the kinase active site and then phosphate is transferred to a tyrosine (Tyr) residue of the substrate protein.
- (B) Detection of ATP binding and small-molecule inhibition using a Si nanowire sensor device functionalized with the tyrosine kinase Abl.
- (C) Structures of small molecules investigated for the inhibition of ATP binding to Abl.
- (D) Normalized conductance versus time data recorded from Abl-modified Si nanowire devices using solutions containing 100 nM ATP and 50 nM small molecule Gleevec (red), A1 (blue), A2 (green), A3 (pink), and biotin (black).



# Nano-crystals

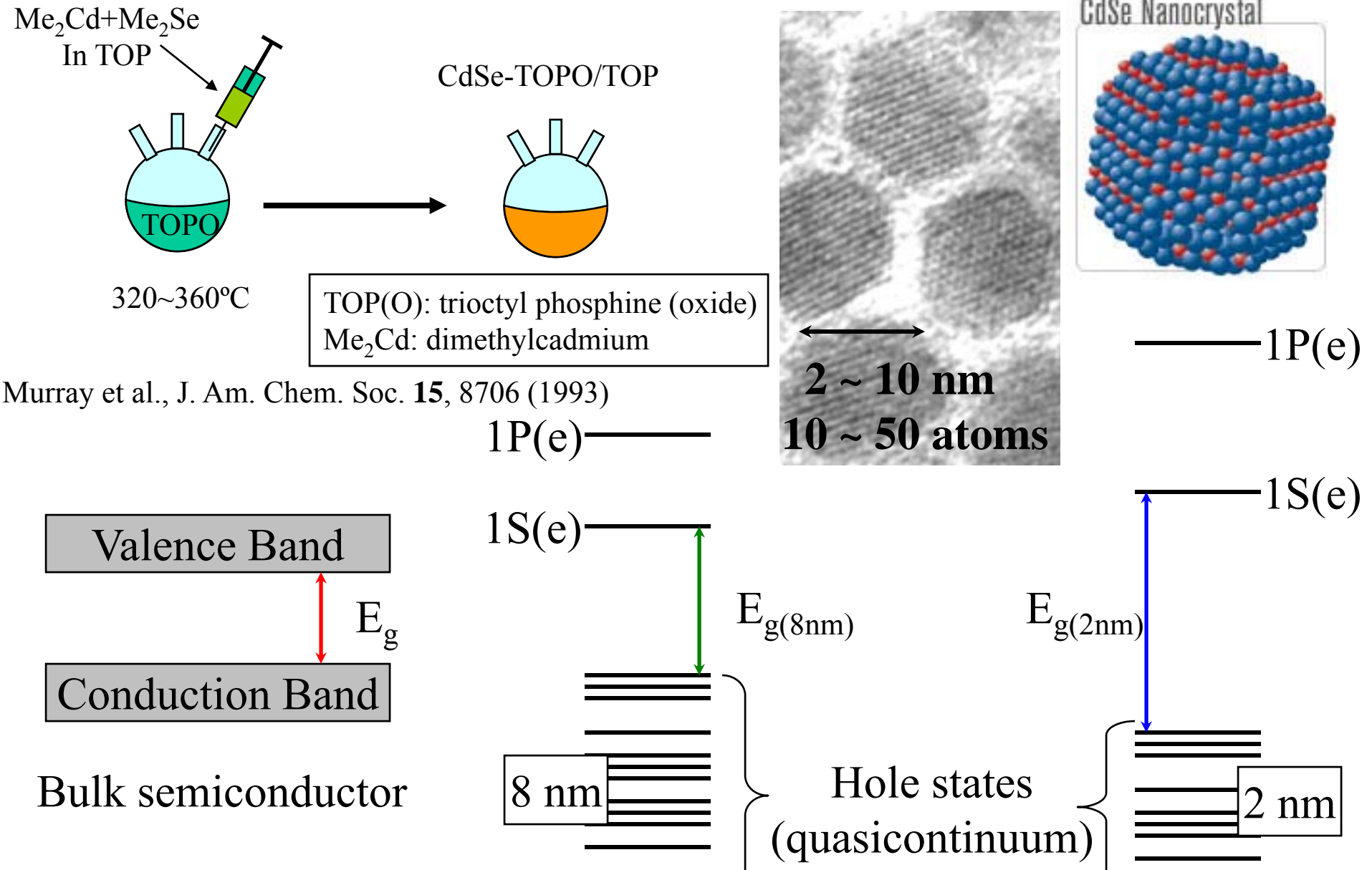


# Outline

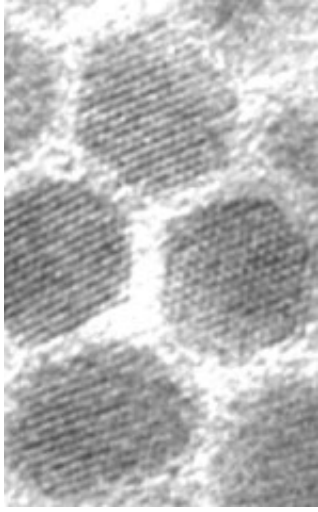
- What are Nanocrystal Quantum Dots
- What are they useful for in Solar Cell technology



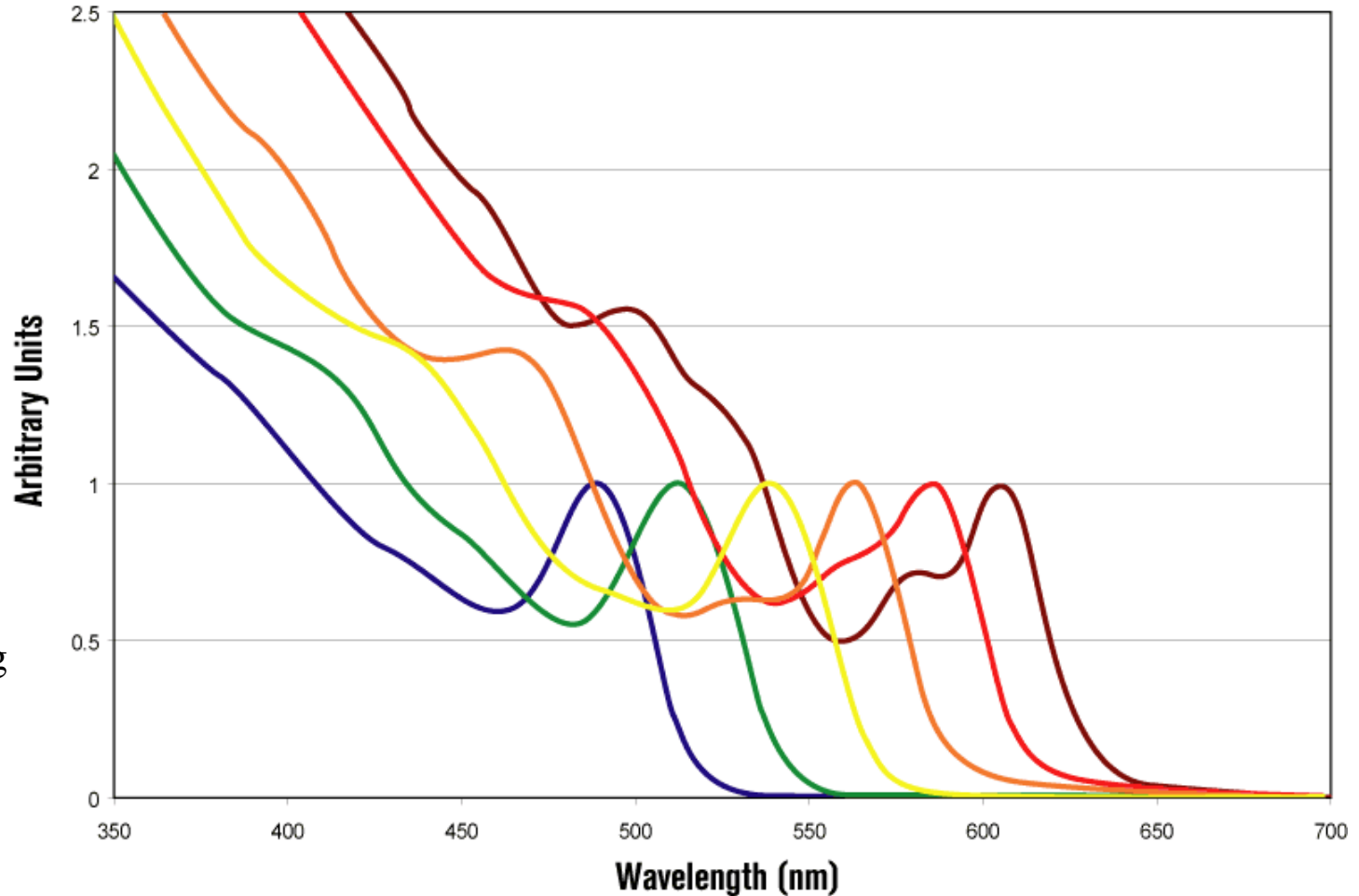
# What are Nanocrystals?



# What are Nanocrystals?



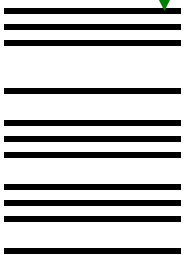
CdSe Core Absorption Spectra



1P(e)

1S(e)

$E_g$





# Nano Crystal as Storing Bit

- Nano Crystal Technology has been studied extensively to replace traditional floating gate as charge storage media.
- Advantages:
  - Scalability with Channel Tunneling and Erase
  - Compatible with Traditional CMOS Platform
  - Improved Charge Retention and Endurance
  - Potential Multi Bit usage
- Challenges:
  - Strictly control the size and distribution of nano crystals
  - Still Litho node limited
  - Much work to be done for a integrated reliable and high yield process

# Silicon Nano Crystal as Storing Media

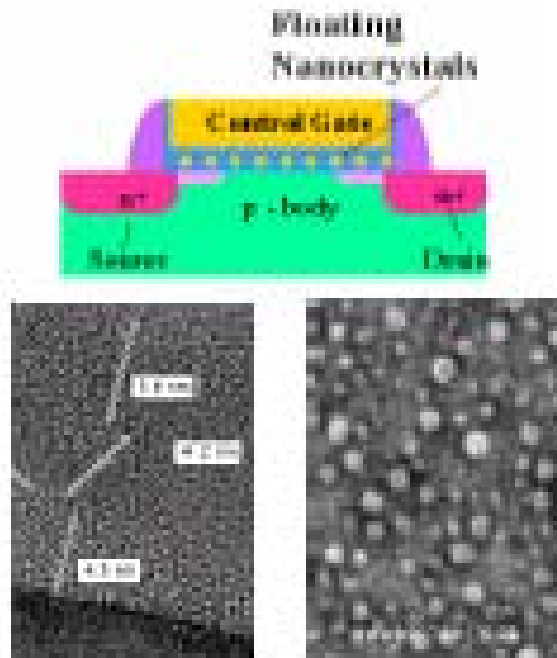


Figure 1 Silicon nanocrystal nonvolatile memory bitcell showing the floating silicon nanocrystals used for isolated charge storage.

- Reduce SILC and thus improve data retention and endurance
- Decrease gate coupling and thus improve leakage and erase saturation
- Possible multi-bit storage as particle size goes down to discrete energy state of electrons

# Metal Dots as Storage Media

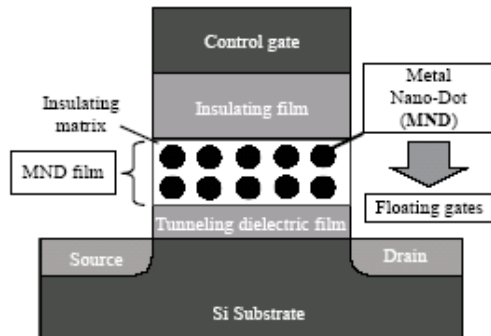
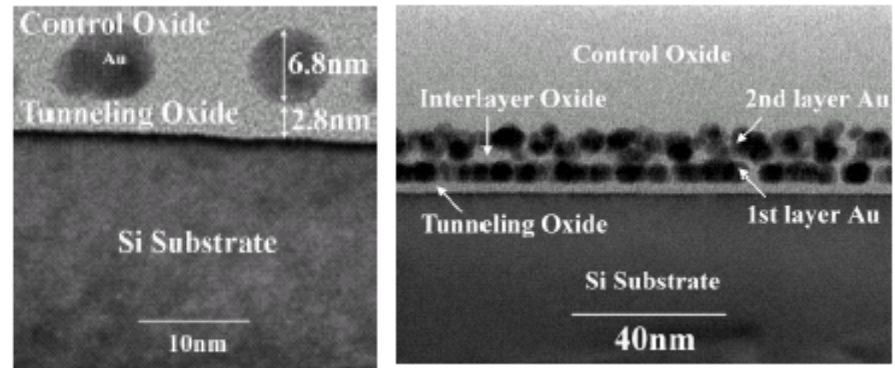


Fig.1 Cross sectional structure of an MND memory cell.



C. Lee, et al, IDEM, 2003

- Metal dots can be Co, W or Au
- Suppose to be better than Si as work function is higher (more attractive to electrons)
- Multilayer can improve retention and endurance

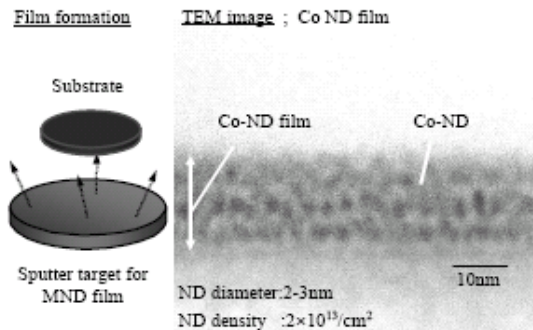
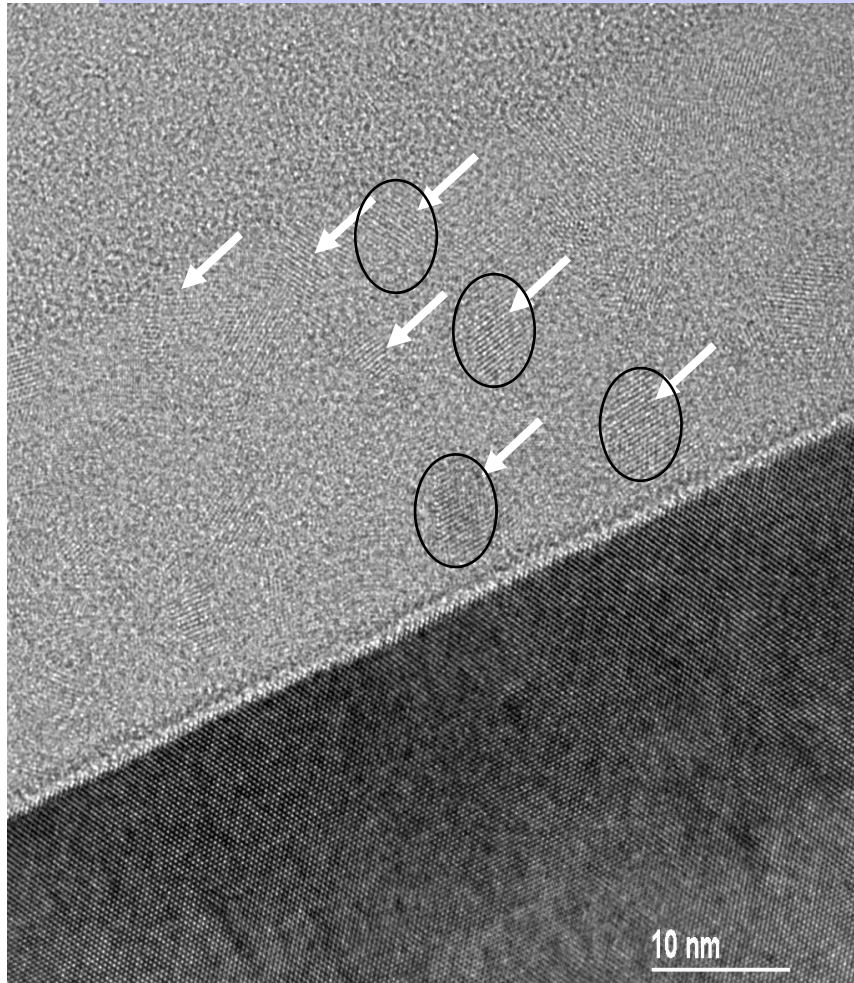


Fig.2 Method of MND film formation and a cross-sectional TEM image of Co-ND (Co-ND/SiO<sub>2</sub>-matrix) film on a non-alkali glass substrate.

M. Takata, et al, IDEM, 2003

# Silicon Nano Crystals Produced by CVD Methods (I)

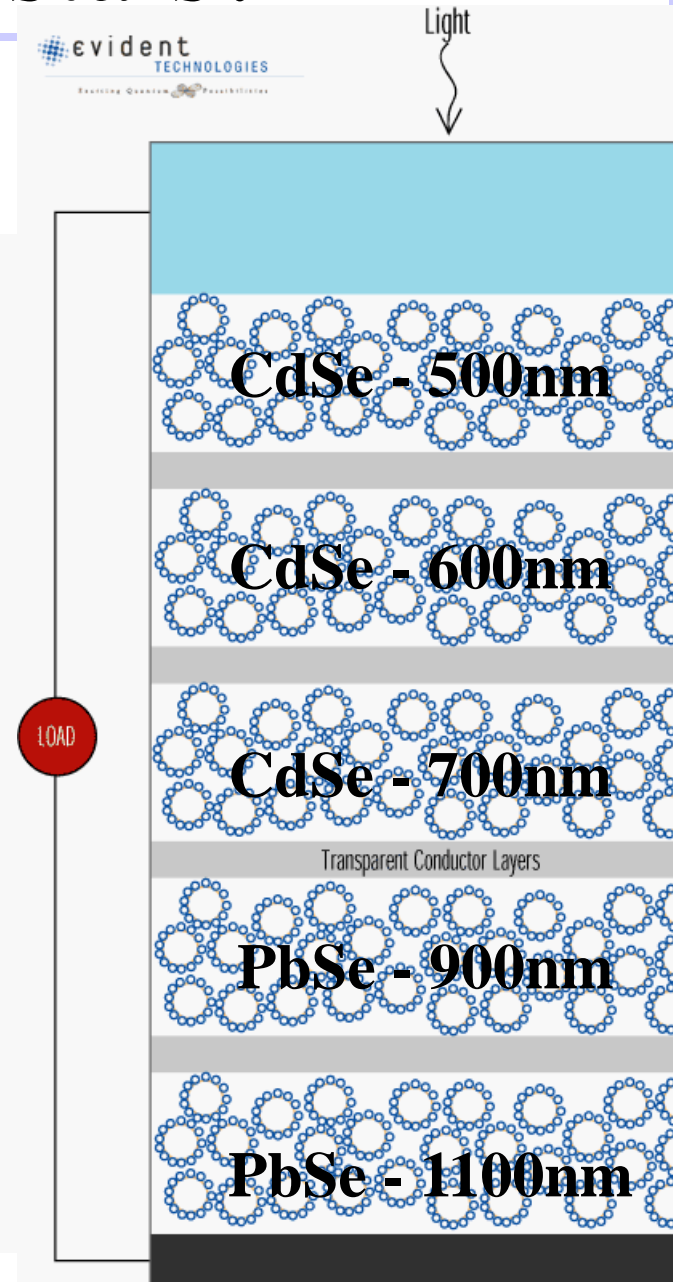
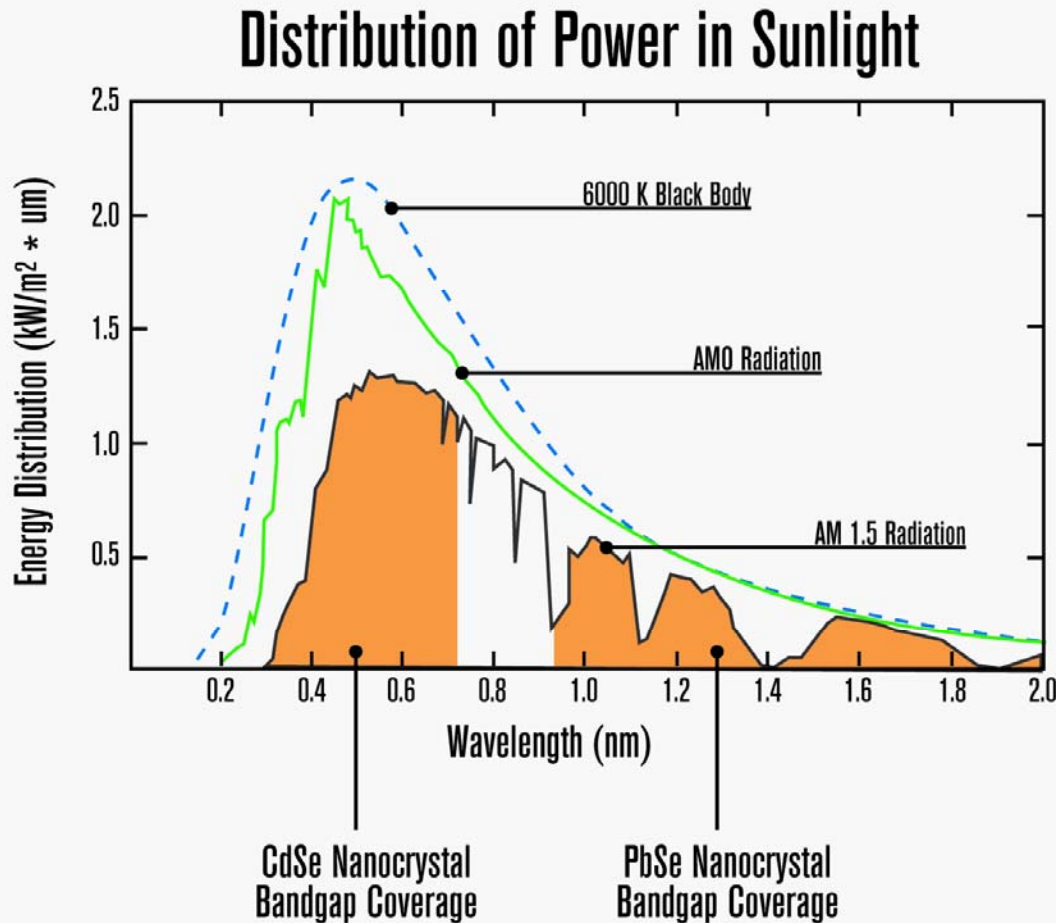


- A Si-rich SiO<sub>x</sub> thin film is deposited on Si surface by PECVD method. The non-stoichiometry are controlled by gas flow ratios.
- An furnace annealing were performed on this film at 1000C in N<sub>2</sub> atmosphere to precipitate Si Nano crystals out of supersaturated film.

-- *U.S. Pattern Pending*  
*Z. Guo, et al.*

# Why use Nanocrystals?

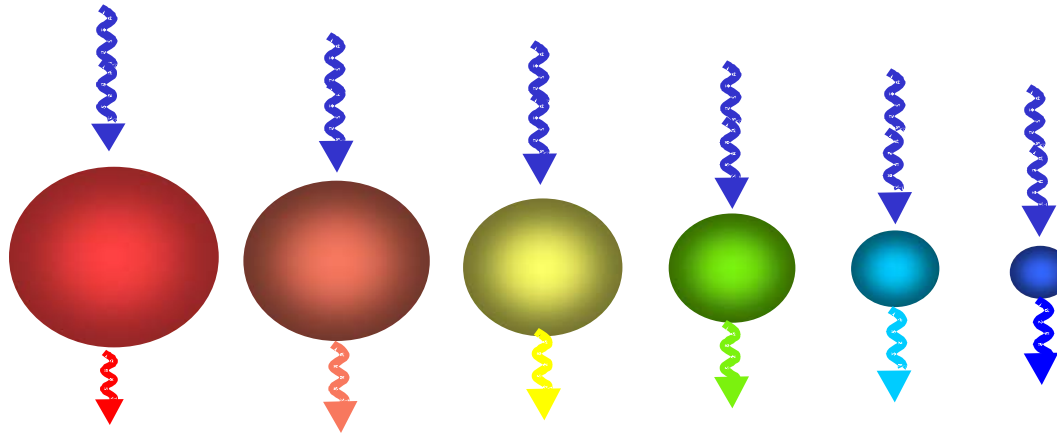
Tunable bandgap





# Optical Properties of Nanocrystals

Ordinary light excites all color quantum dots.  
(*Any* light source “bluer” than the dot of interest works.)



Quantum dots change color with size because additional energy is required to “confine” the semiconductor excitation to a smaller volume.

